



NEW ZEALAND  
GEOTECHNICAL  
SOCIETY inc.

**An NZGS 1 day short course**



NEW ZEALAND  
GEOTECHNICAL  
SOCIETY inc.

# Ground movement control

# Deep excavations

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## Outline

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- ❑ Introduction. Generation of ground movements by deep excavations
- ❑ Empirical methods for estimating ground movements
- ❑ Methods for calculating ground movements
- ❑ Procedures for control and reduction of movements
- ❑ A case history
- ❑ Excavations with large movements: announcing failure?
- ❑ Conclusions

# Excavation-induced ground movements

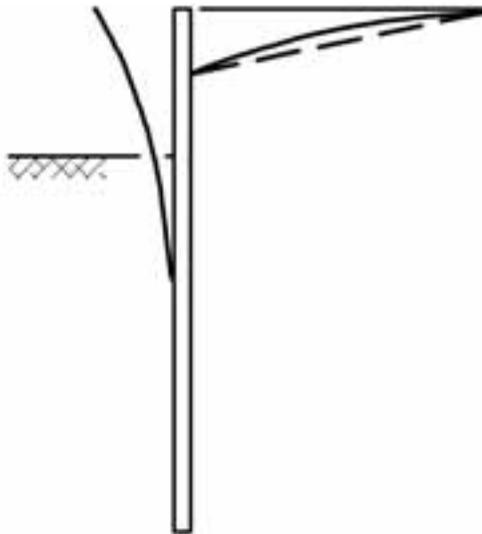
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- ❑ The performance of an excavation with retaining walls inevitably causes movements in the wall and surrounding ground
  - We will mainly focus on “normal” movements (i.e. on those corresponding to an adequate construction not on those arising from accidents)
  - We will focus on movements associated basically to the excavation process
- ❑ Tolerance concerning the acceptable deformation level (in urban environment) has reduced significantly in recent years
  - It means enhanced requirements for estimation and control of movements

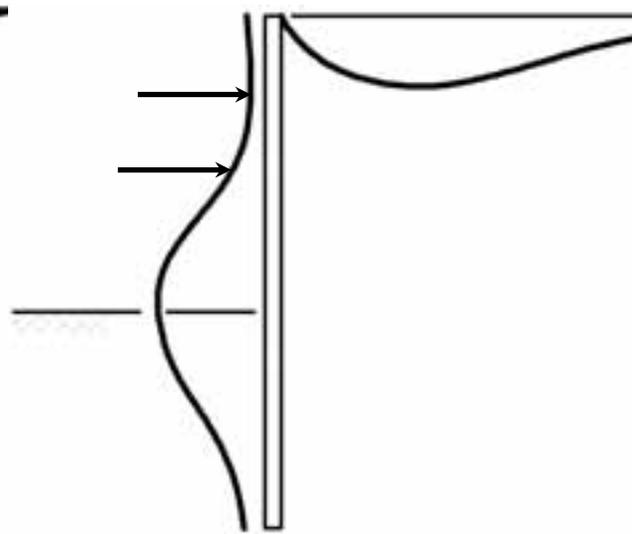
# Deformation mechanisms

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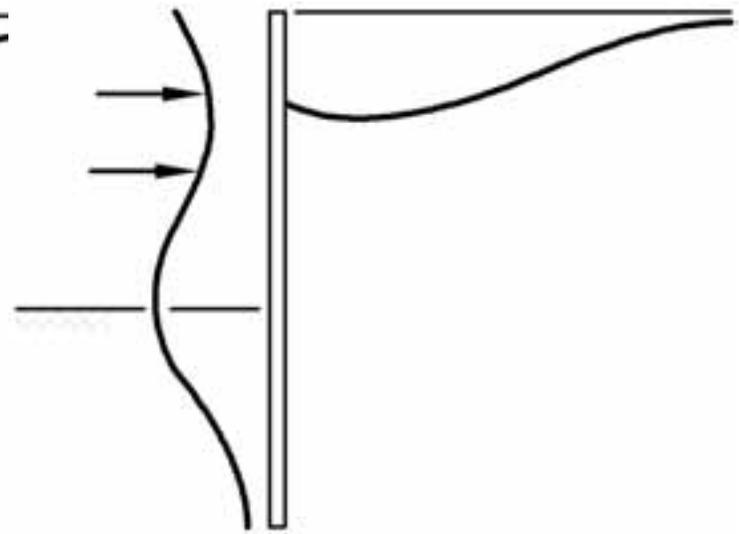
- Two basic deformation modes



Cantilever wall



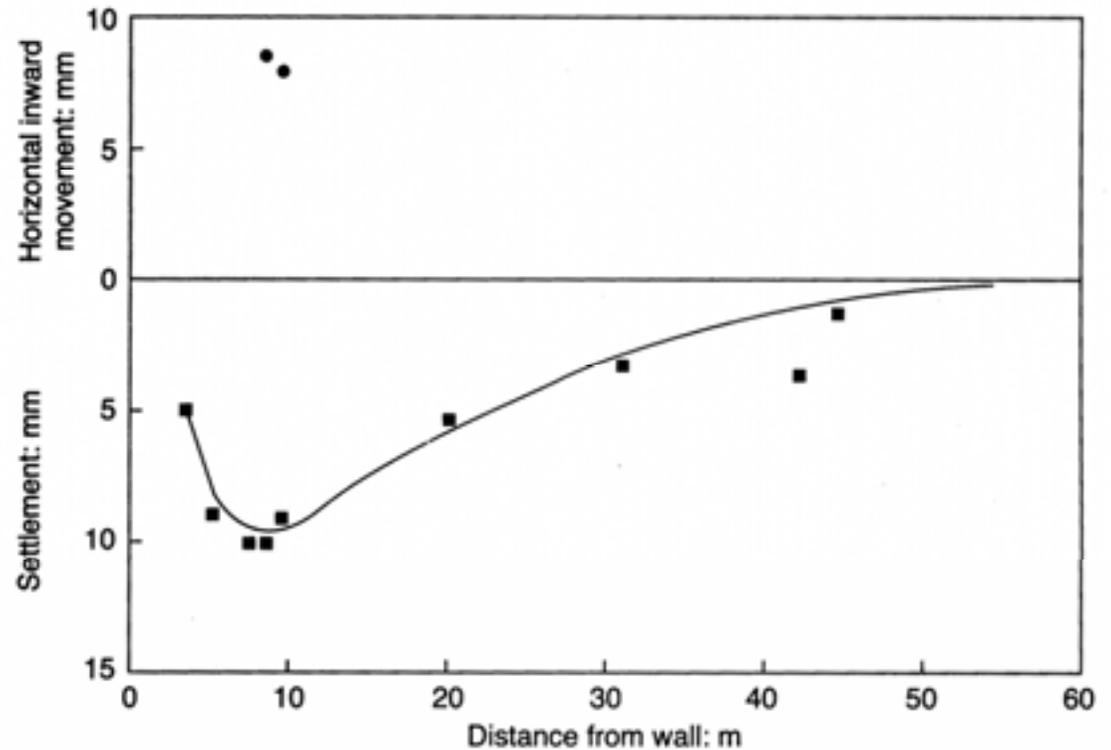
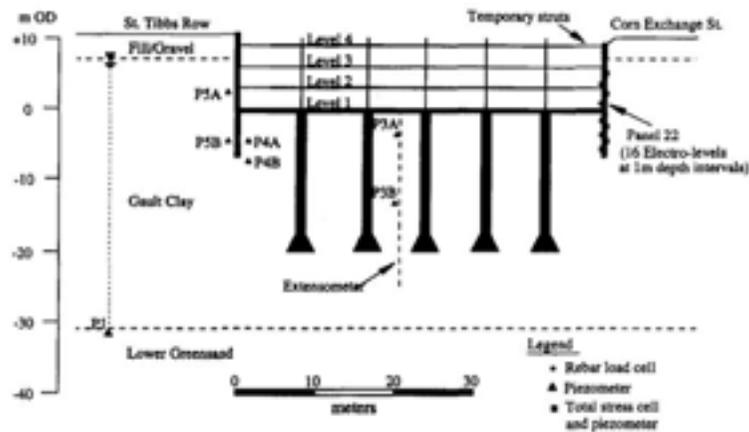
Propped wall



Total displacements

# Deformation mechanisms

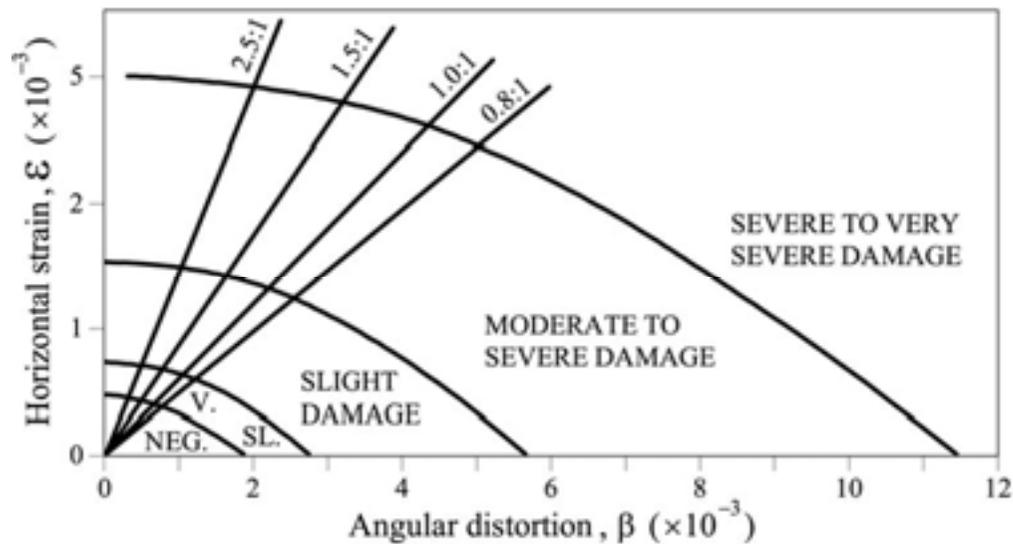
- Surface horizontal displacements often ignored
  - they are more difficult to measure (less experience)



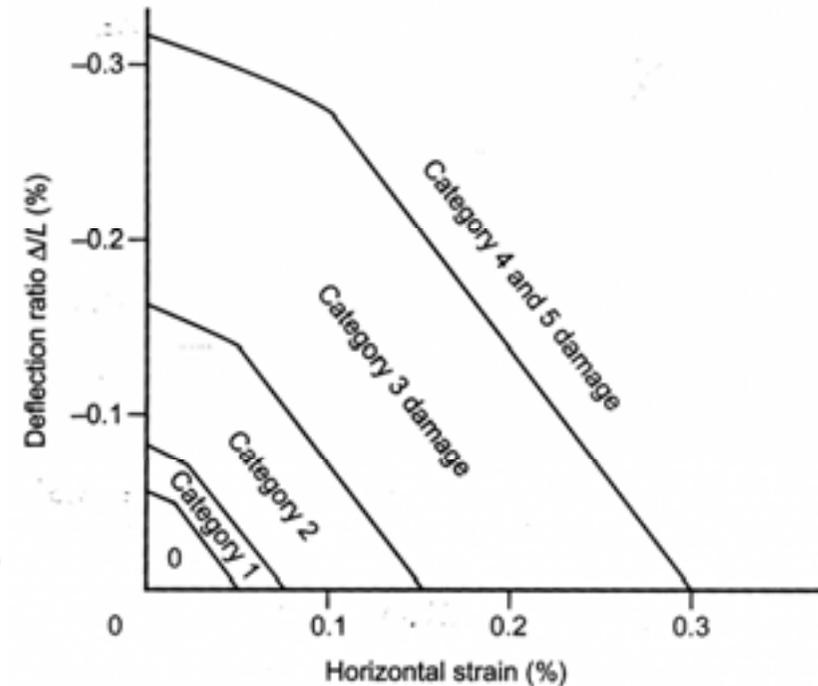
Lion Yard excavation (Ng, 1998)

# Deformation mechanisms

- Tension horizontal deformation contributes significantly to damage of buildings and structures



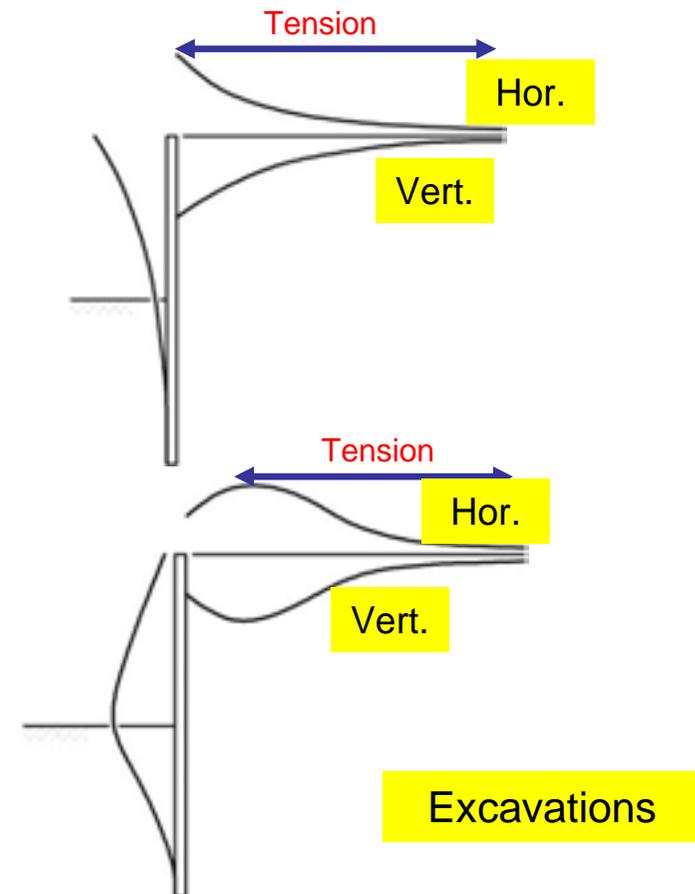
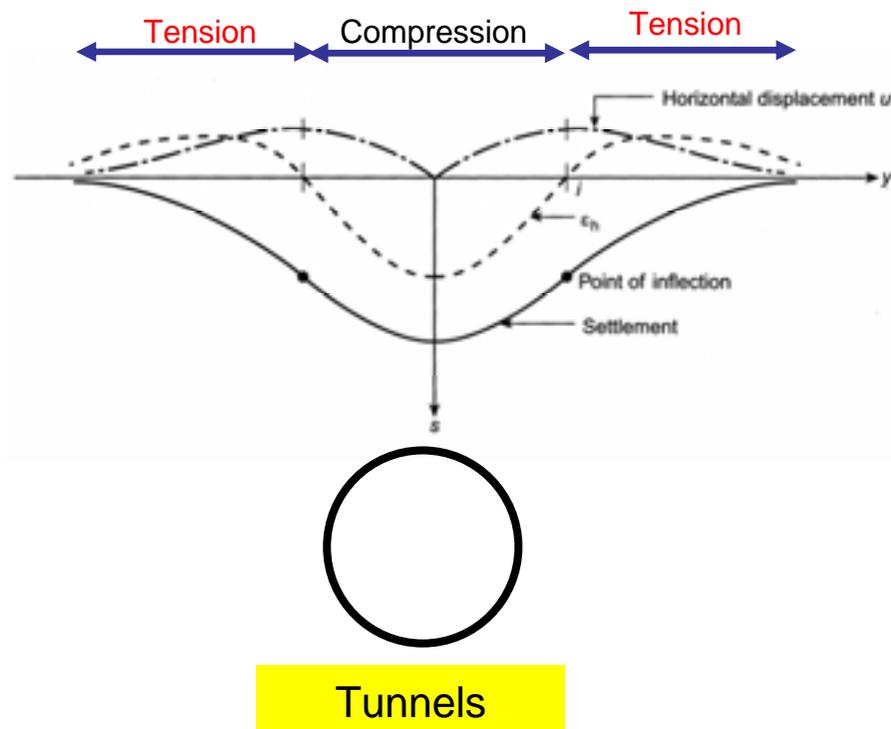
Boscardin & Cording (1989)



Burland (1998)

# Deformation mechanisms

- Tension horizontal strains contribute significantly to the damage of buildings and structures
  - the majority of horizontal strains associated to deep excavations are **tension** strains (more unfavourable than in the tunnelling case)
  - the response of a building may depend significantly on its horizontal stiffness



# Deformation mechanisms

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- Factors that influence ground movements caused by excavations
  - stress changes in the ground (due to excavation and construction)
  - excavation size (depth and width)
  - ground properties
  - initial horizontal stresses in the ground
  - hydraulic conditions and their variation
  - wall and prop stiffness
  - preloading of props and anchors
  - external loads
  - construction procedure
  - construction quality
  - others...

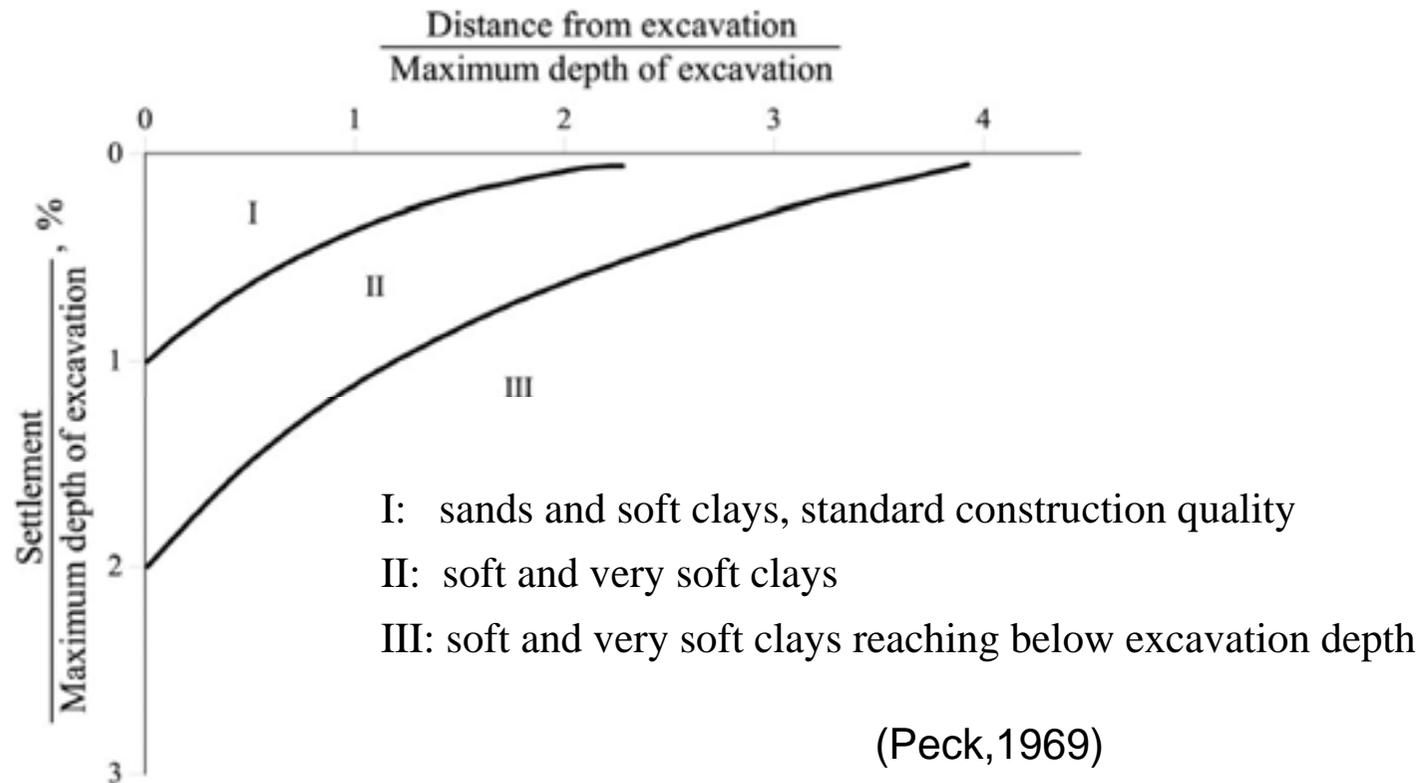
## Esquema de la presentación

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- ❑ Introduction. Generation of ground movements by deep excavations
- ❑ Empirical methods for estimating ground movements
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## Empirical methods for evaluating movements

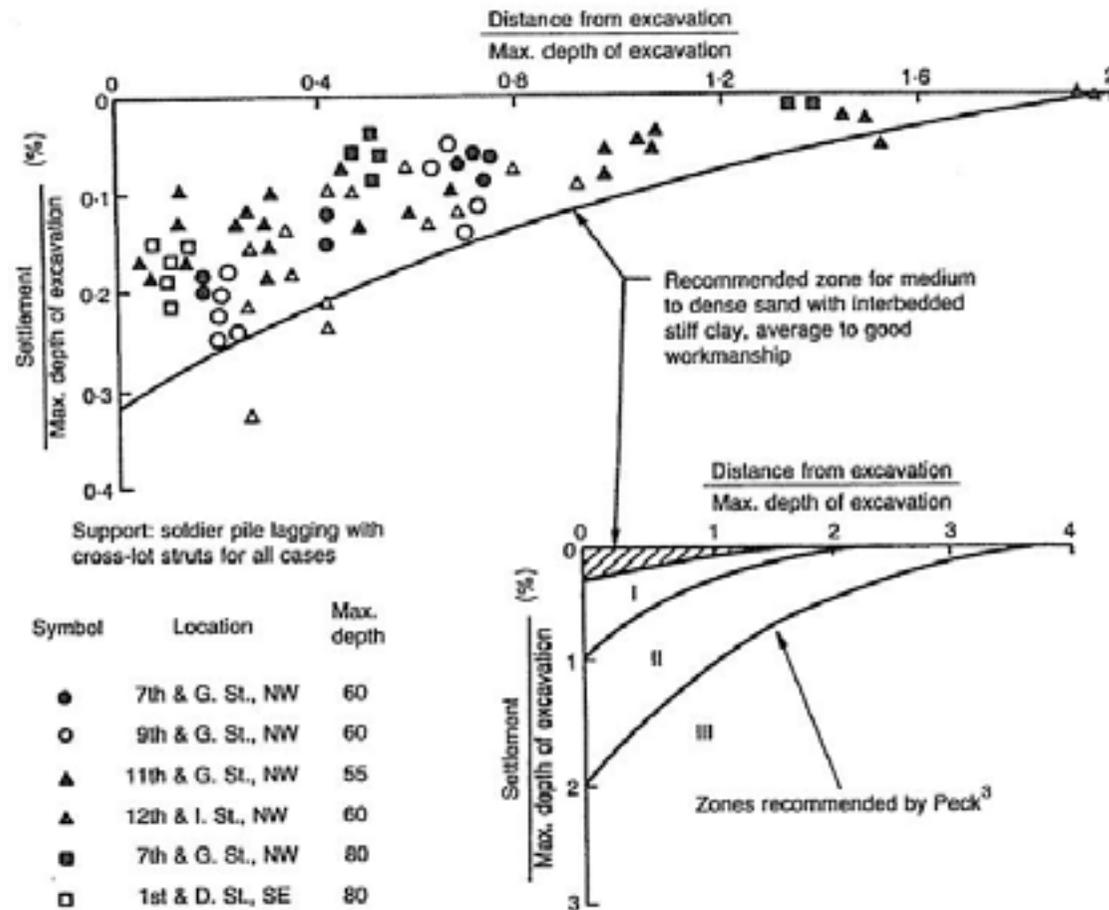
### □ Settlements



- Curves obtained from excavations with sheet pile walls or soldier piles. Reduced embedment

## Empirical methods for evaluating movements

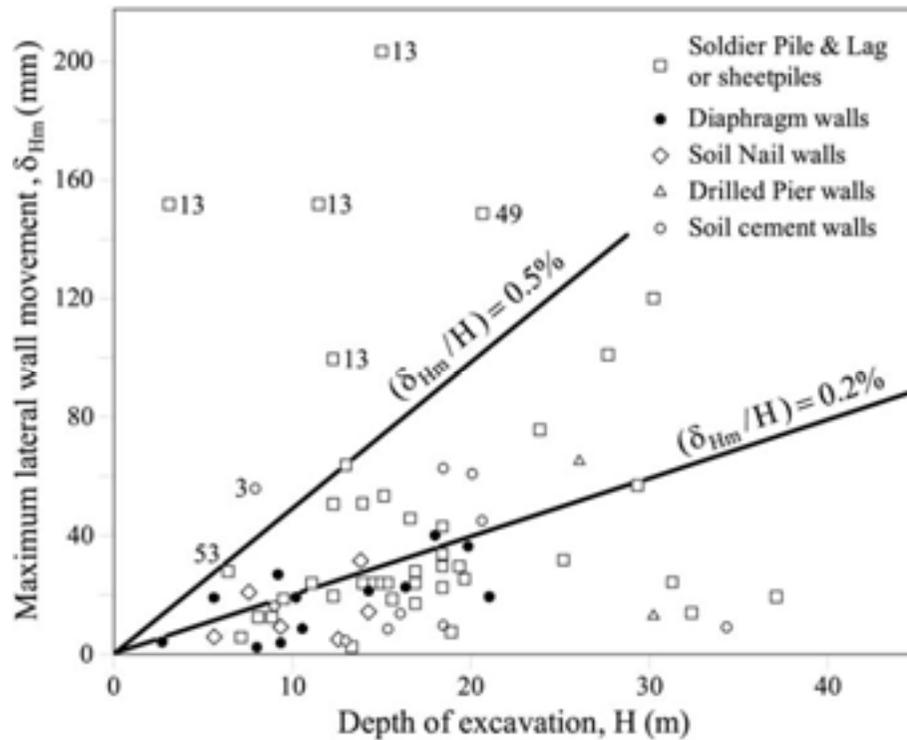
- Support: soldier pile lagging with struts



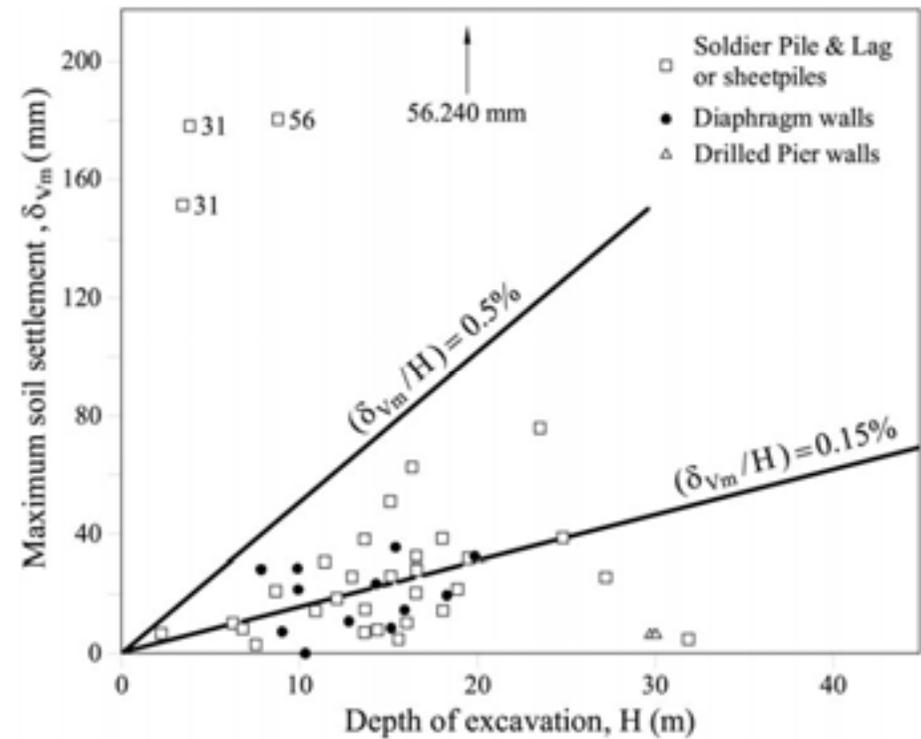
Medium to dense sand with interbedded stiff clay  
Excavations en Washington D.C. (O'Rourke, 1976)

## Empirical methods for evaluating movements

- Stiff clays, residual soils and sands (Clough & O' Rourke, 1990)



Maximum lateral movement

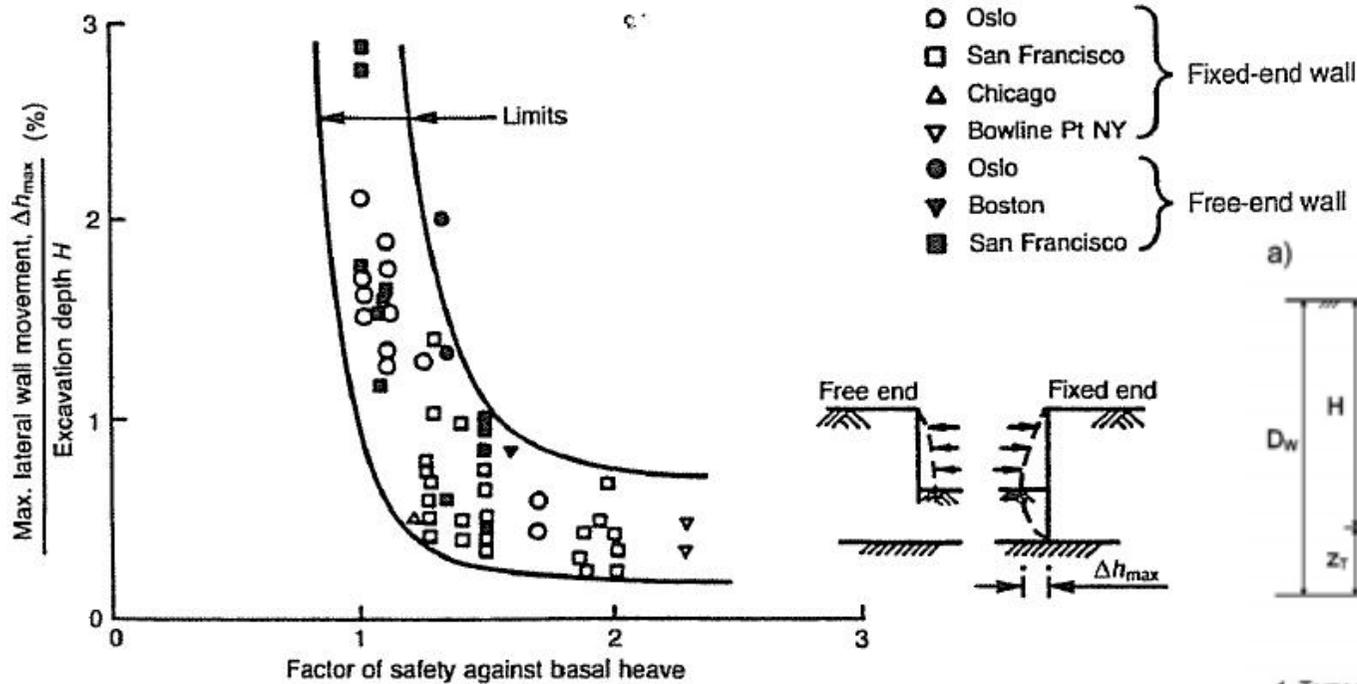


Maximum settlement

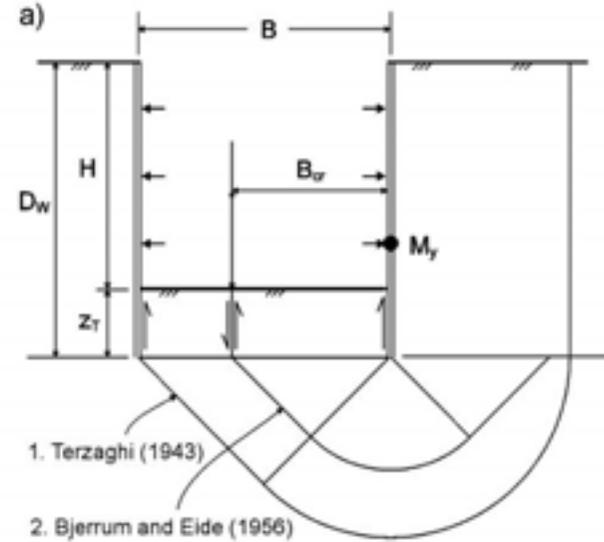
- The mean of maximum lateral movements measured around 0.2%
- The mean of maximum settlements measured around 0.15 %
- Large scatter, more in horizontal movements
- No significant differences between different wall types

# Empirical methods for evaluating movements

## ○ Soft clays (Mana & Clough, 1981)



Maximum horizontal movement

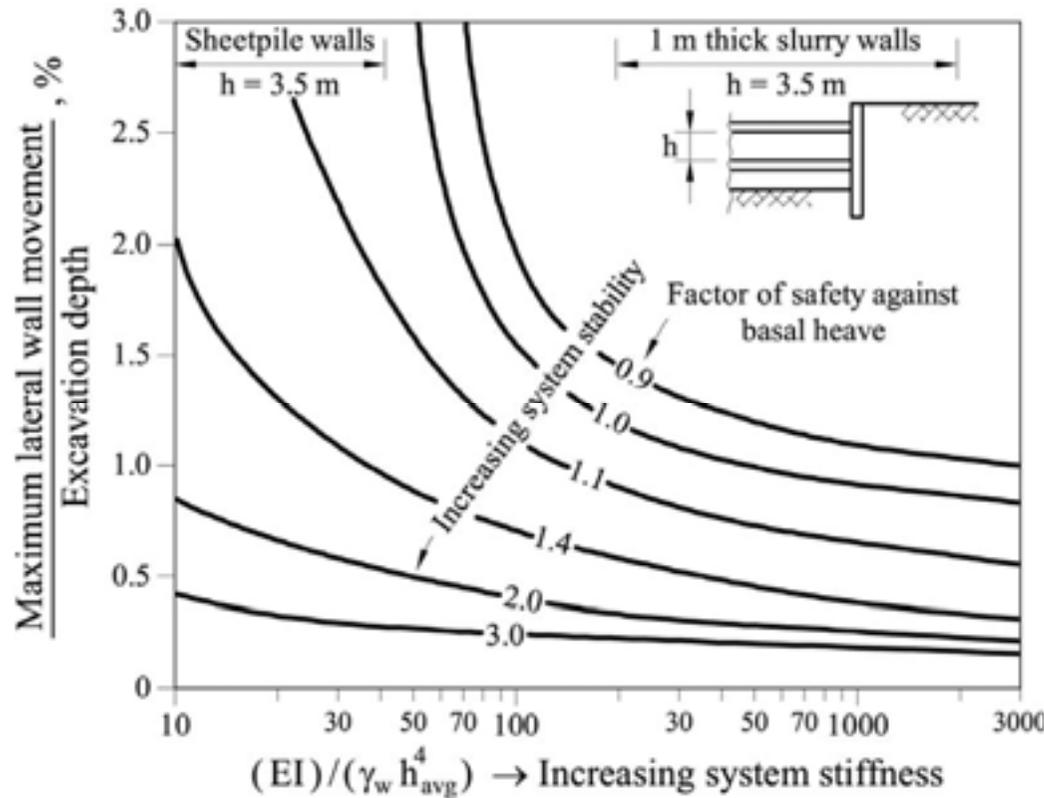


Bottom instability

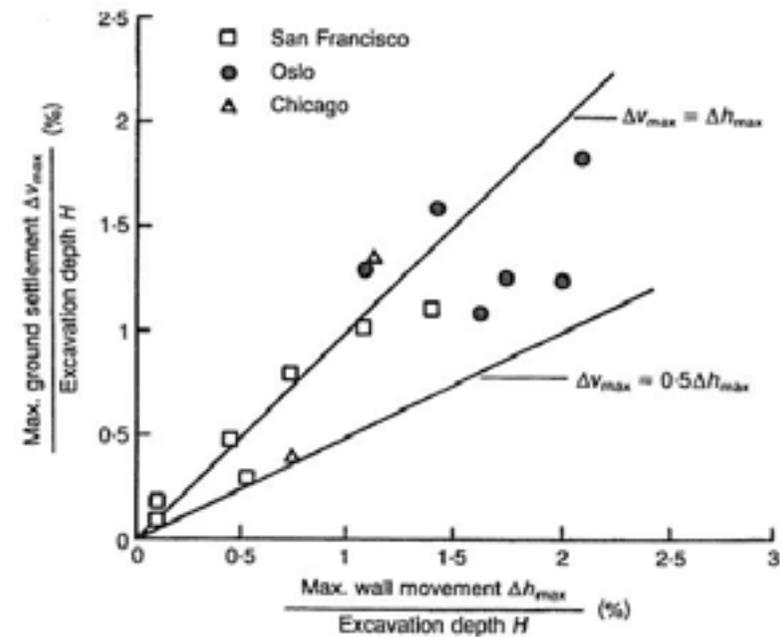
- Movements associated to bottom instability are dominant when the factor of safety is low

## Empirical methods for evaluating movements

- Soft clays (Clough & O' Rourke, 1990)



Maximum lateral movements



Settlements

- System stiffness:  $(EI)/(\gamma_w h^4)$
- Addenbrooke et al. (2000) defined system stiffness as  $(EI)/(\gamma_w h^5)$

## Empirical methods for evaluating movements

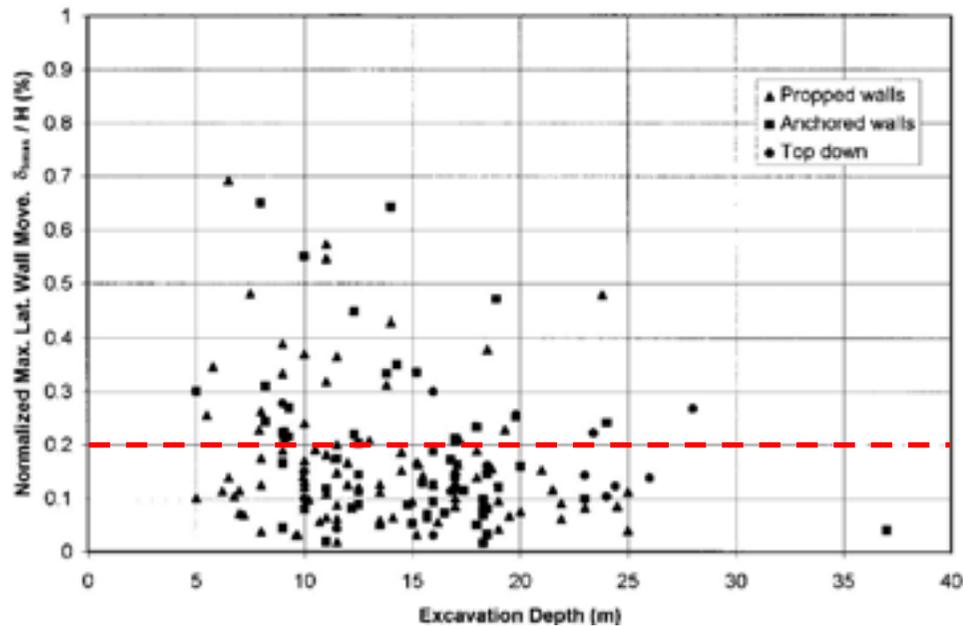
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### ○ Other databases

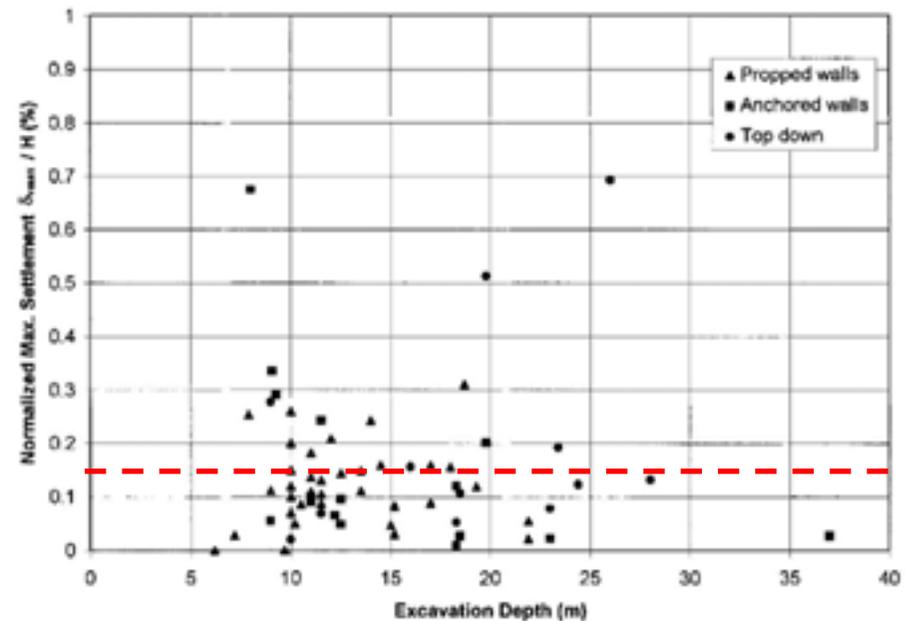
- Karlsrud (1986): Oslo soft clay
- Ou et al. (1993): Taipei soft clay
- Wong et al. (1997): Singapore
- Carder (1995): UK
- Fernie and Suckling (1996): UK
- Long (2001): 296 cases! (COST Action C-7)

## Empirical methods for evaluating movements

- Long (2001) database. Excavations in medium and stiff soils



Maximum lateral movement

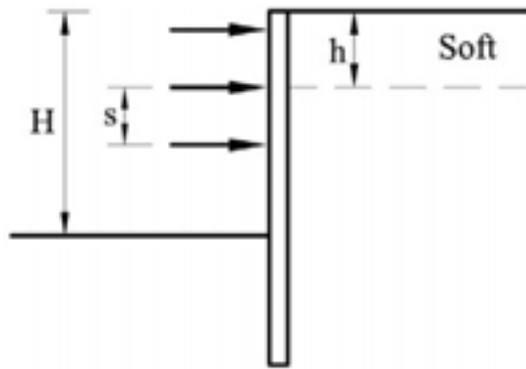


Maximum settlement

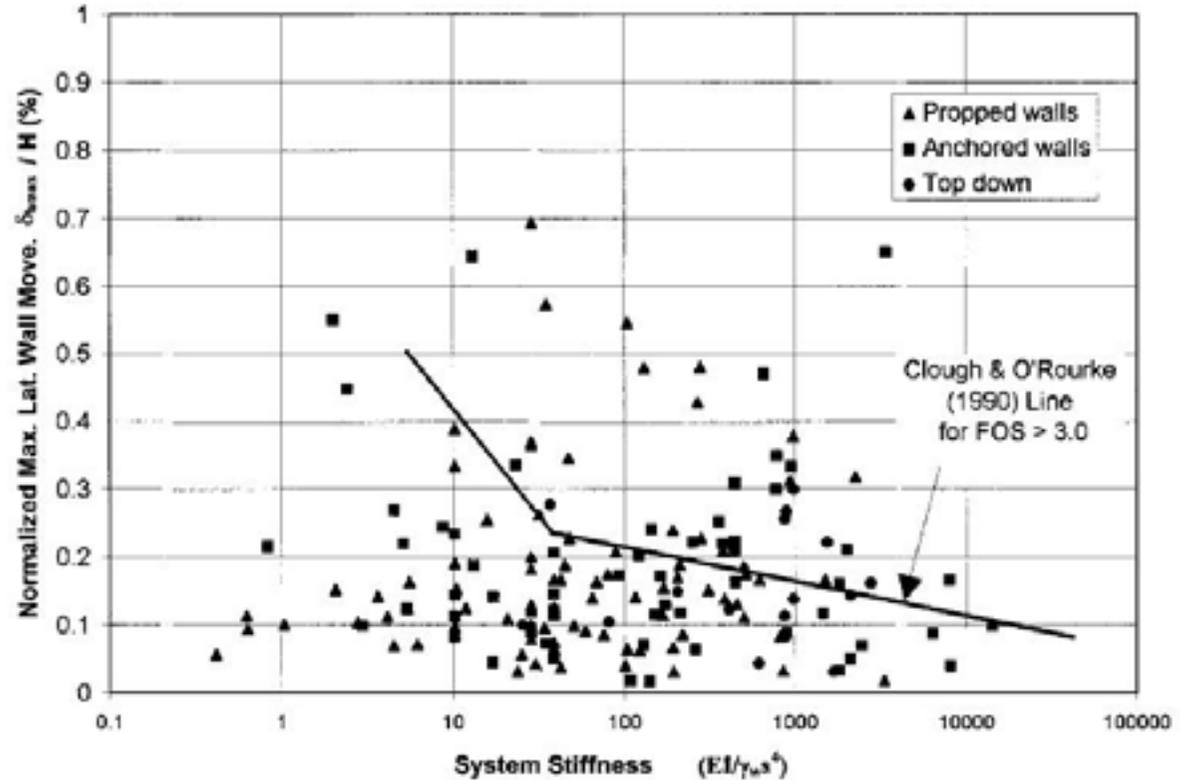
- Large scatter
- Generally values smaller than those collected by Clough & O'Rourke (1990)
- Little influence of the type of bracing (props, anchors, top-down)

# Empirical methods for evaluating movements

- Long (2001) database. Excavations in stiff and medium soils



$h < 0.6H$



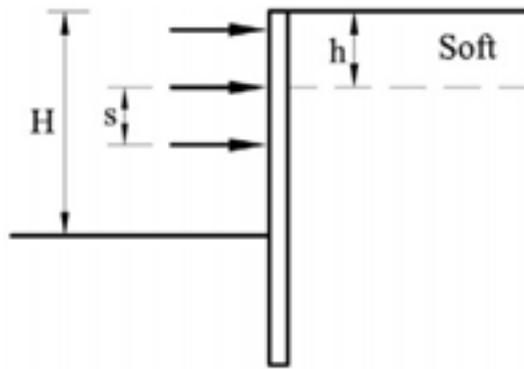
System stiffness:  $(EI/\gamma s^4)$  (Clough & O'Rourke, 1990)

Maximum lateral movement

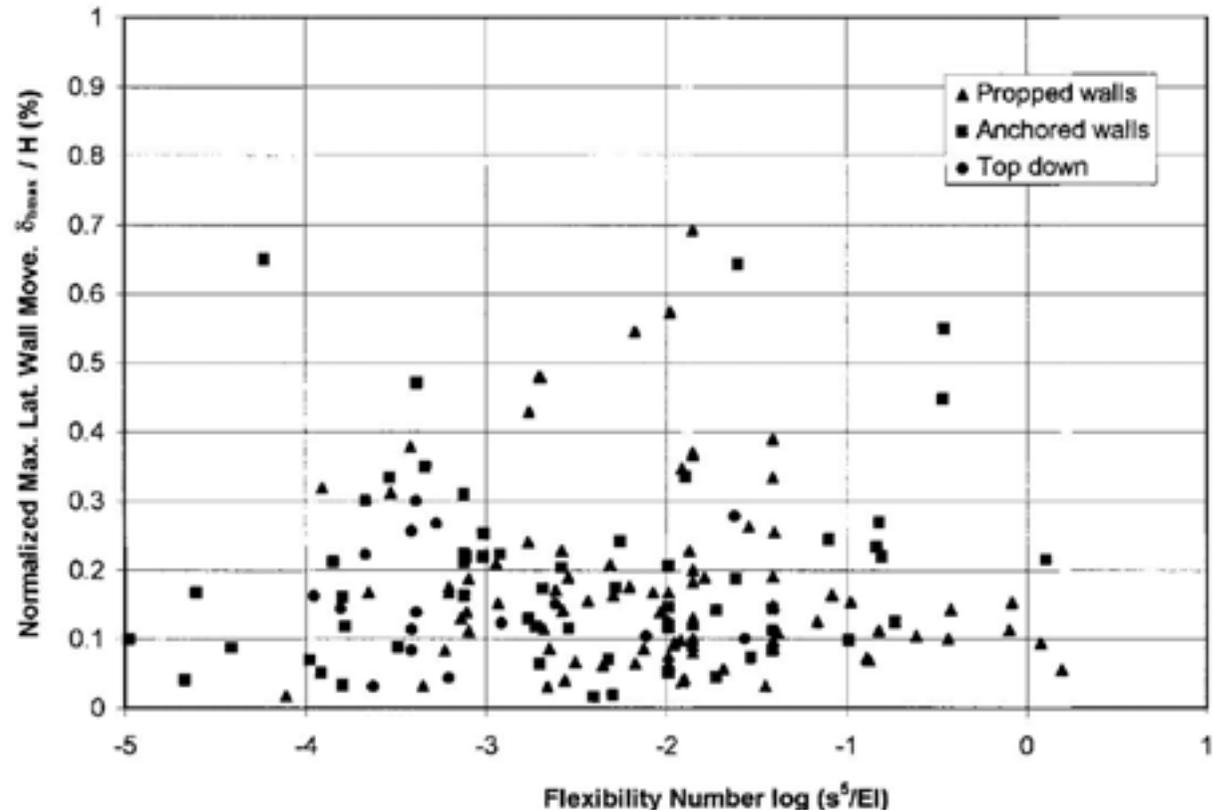
- System stiffness does not appear to be a critical parameter in these cases

## Empirical methods for evaluating movements

- Long (2001) database. Excavations in stiff and medium soils



$$h < 0.6H$$



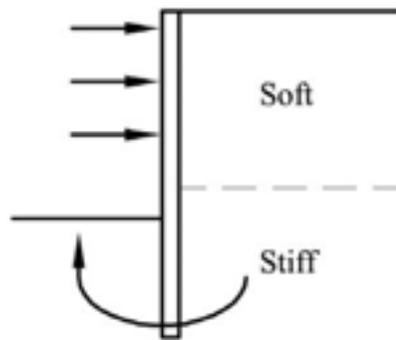
Flexibility number:  $(s^5)/(EI)$  (Addenbrooke et al., 2000)

Maximum lateral movement

- System flexibility does not appear to be a critical parameter in these cases

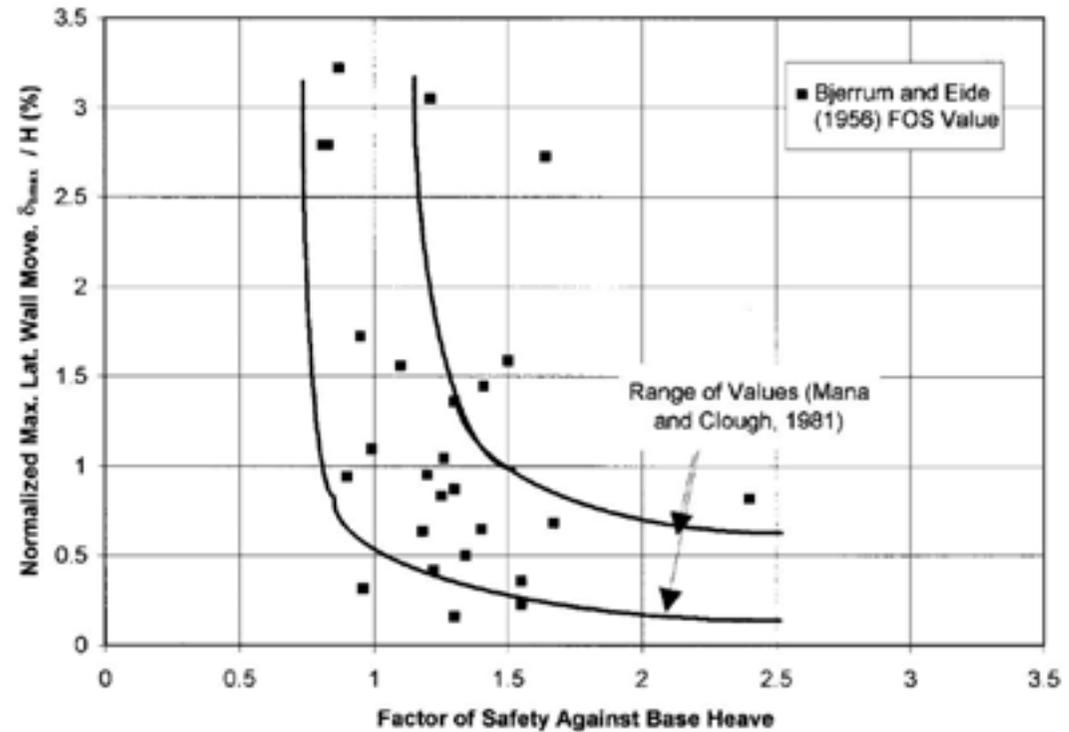
# Empirical methods for evaluating movements

- Long (2001) database. Excavations in soft soils



$h > 0.6H$

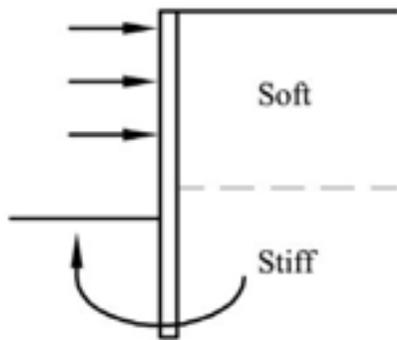
Low FS



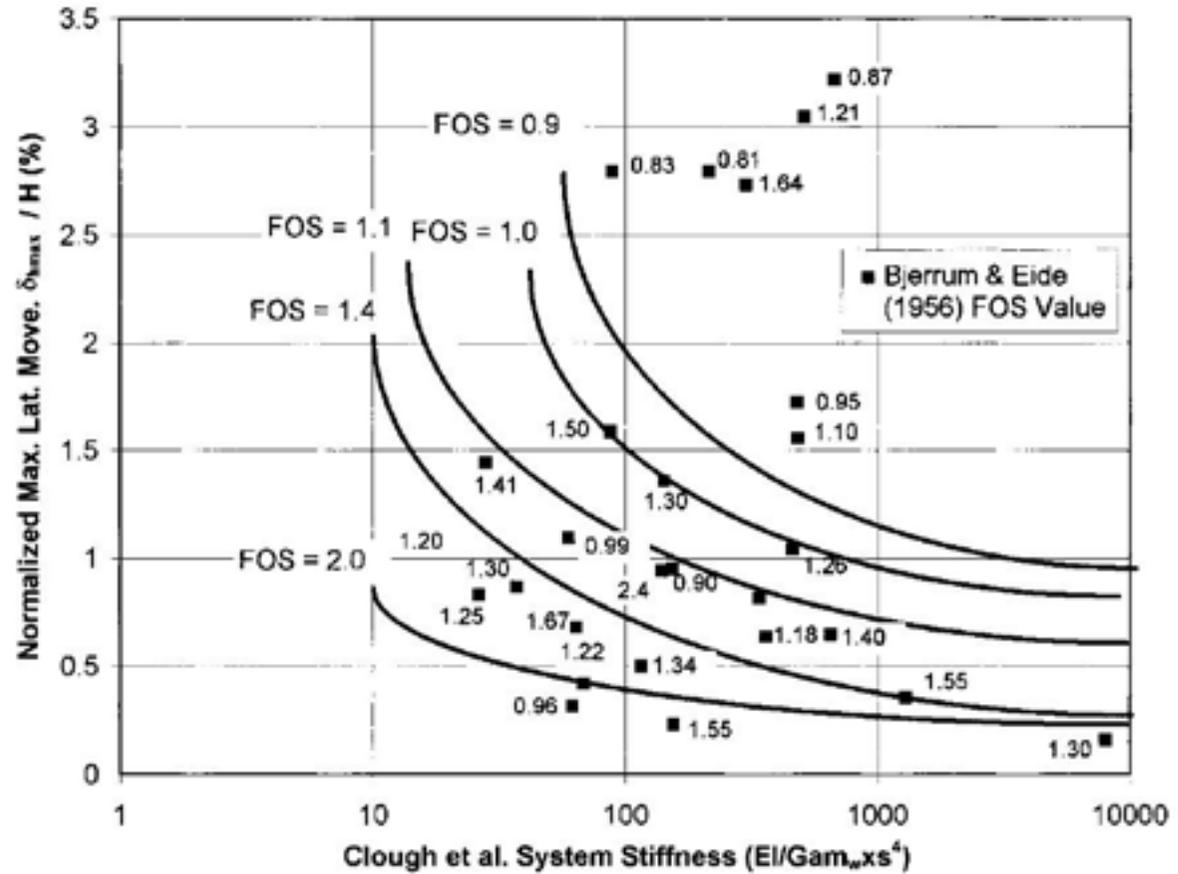
Maximum lateral movement

# Empirical methods for evaluating movements

- Long (2001) database. Excavations in soft soils



$h > 0.6H$   
Low FS



Maximum lateral movement

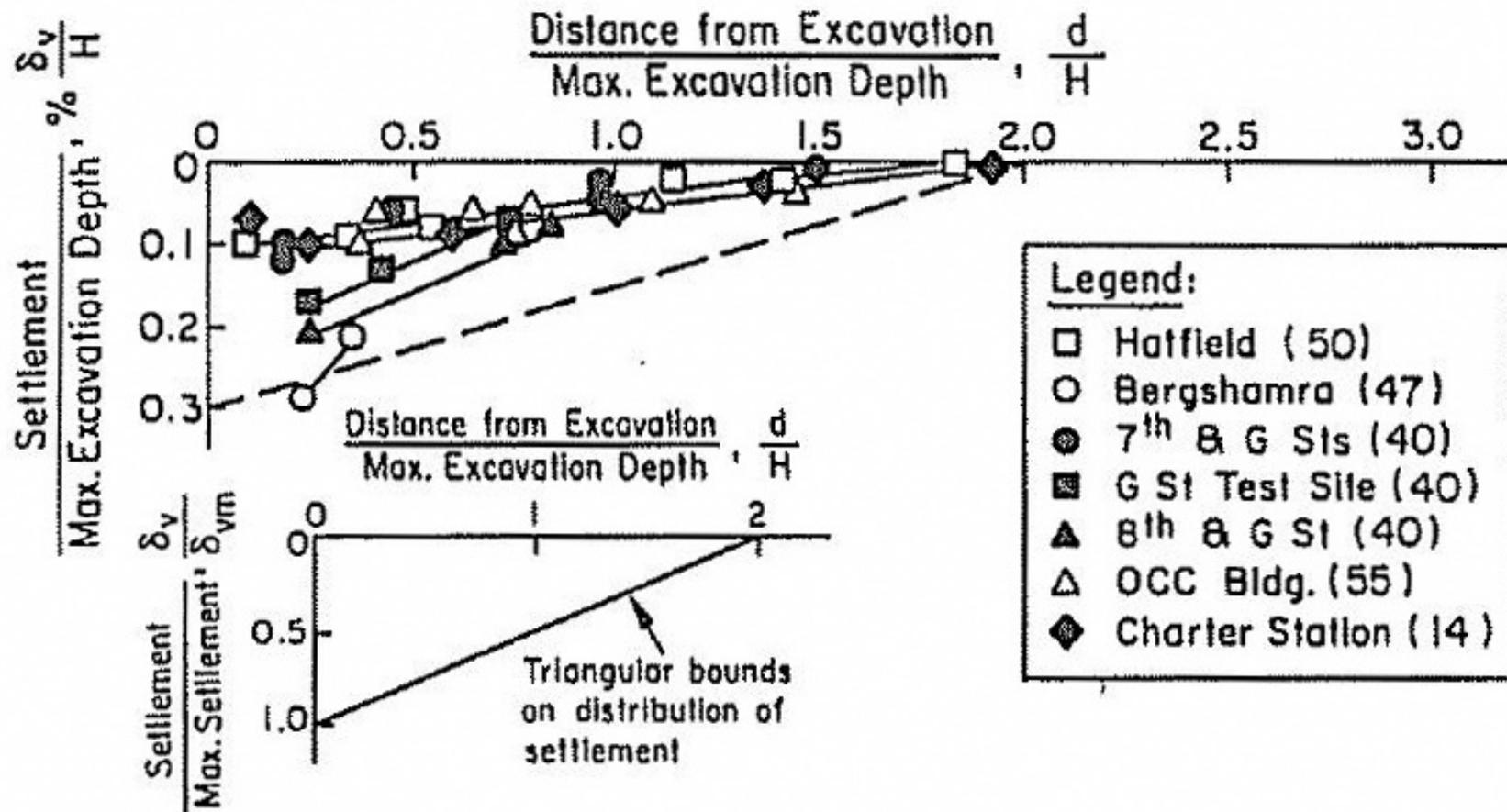
## Empirical methods for evaluating movements

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- Long (2001) database: causes of excessive movements (with respect to the majority of works in similar circumstances). 36 cases examined
  - Excessive movements in cantilever mode: 12 cases
  - Wall too flexible: 8 cases
  - Creep of anchors/props: 3 cases
  - Structural yielding: 2 cases
  - Water inflow: 1 case
  - Pile driving: 1 case
  - Unknown: 9 cases

## Empirical methods for evaluating movements

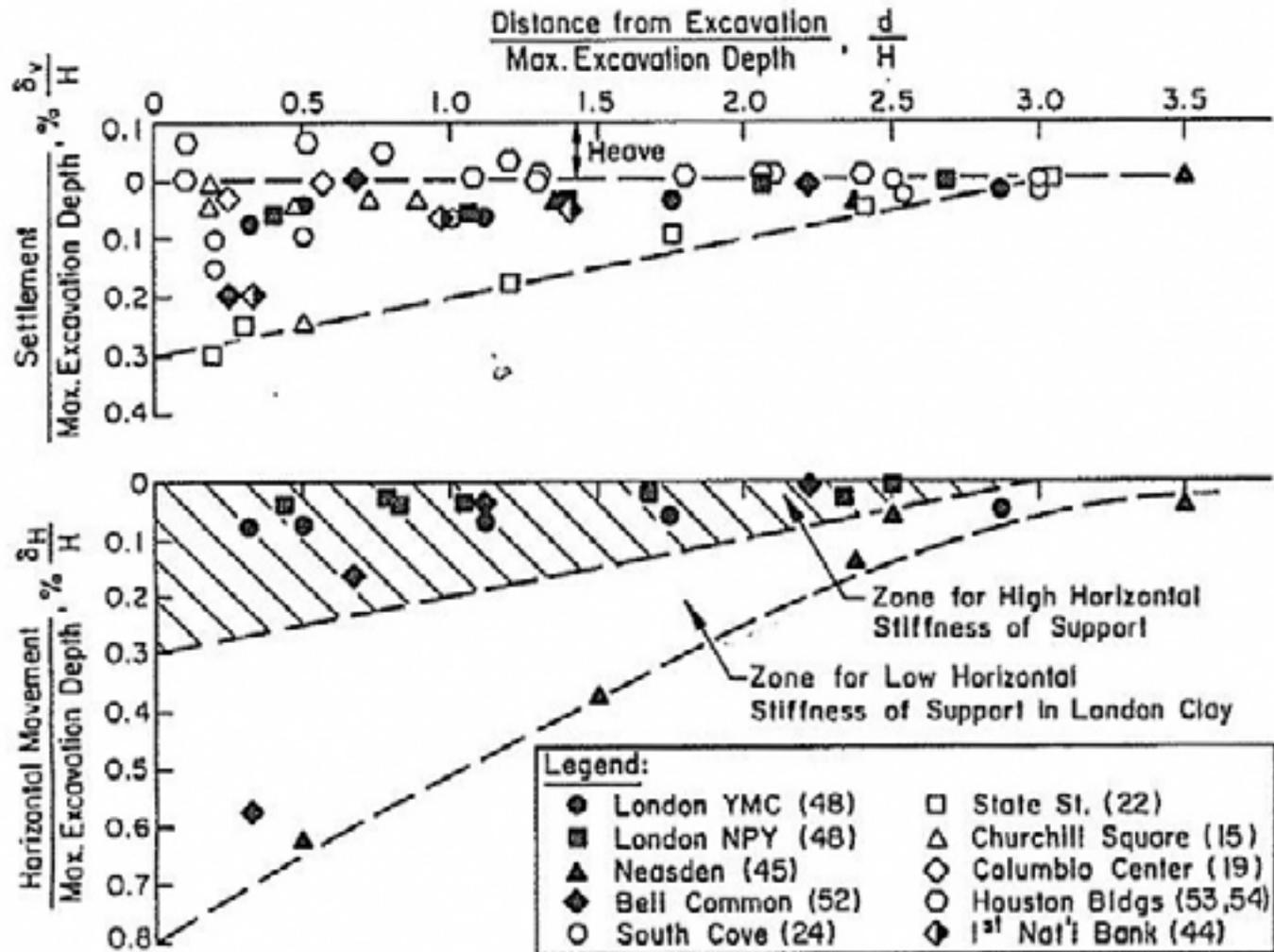
- Distribution of surface settlements (Clough & O'Rourke, 1990)



Sands

## Empirical methods for evaluating movements

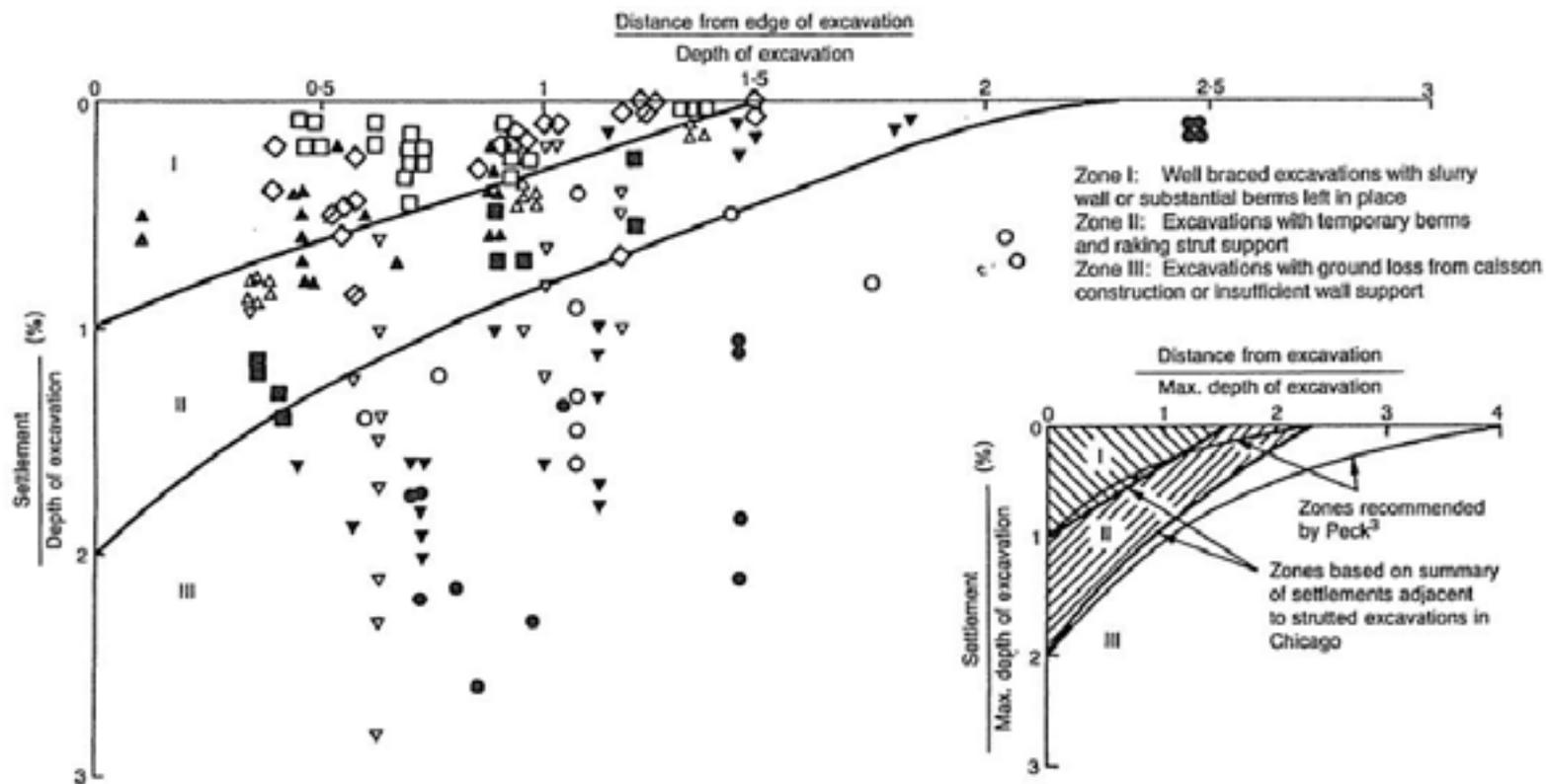
- Distribution of surface settlements (Clough & O'Rourke, 1990)



Stiff to hard clays

## Empirical methods for evaluating movements

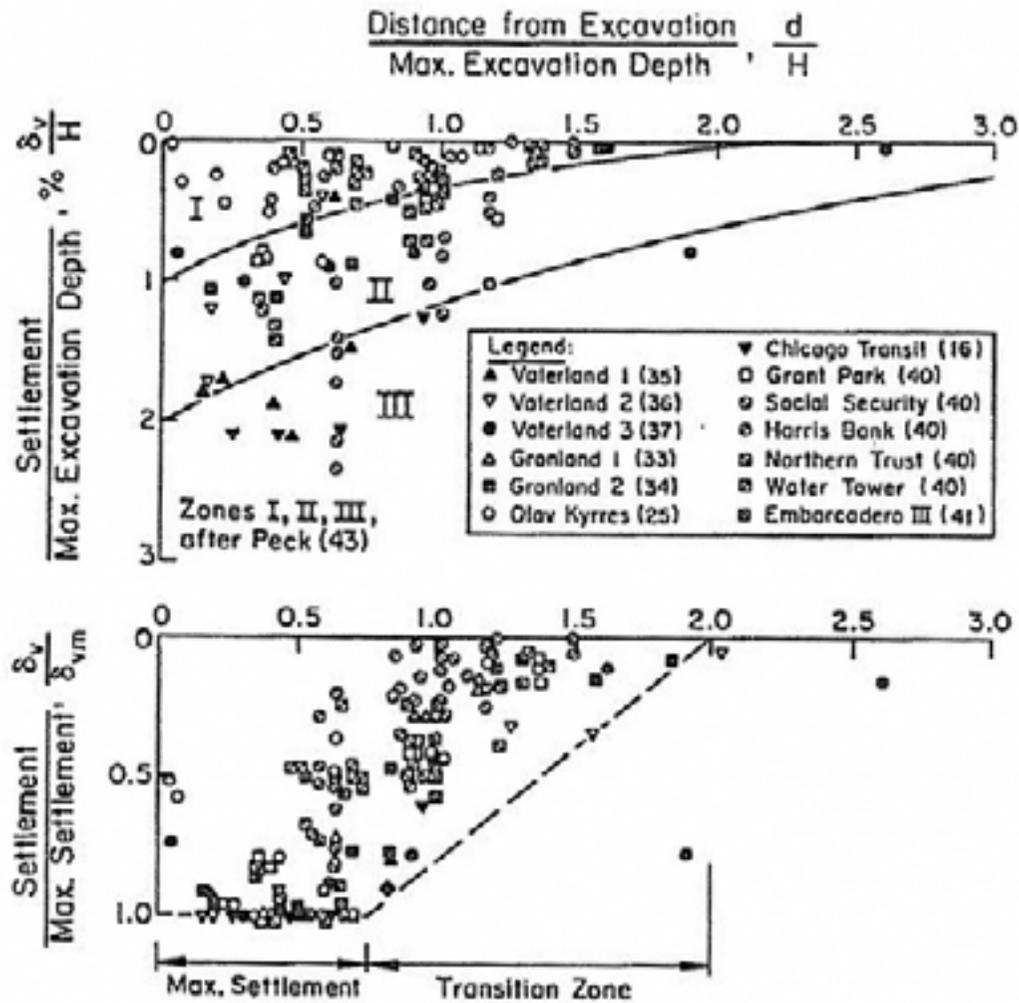
- Distribution of surface settlements (Clough & O'Rourke, 1990)



Chicago excavations (O'Rourke, 1976)

# Empirical methods for evaluating movements

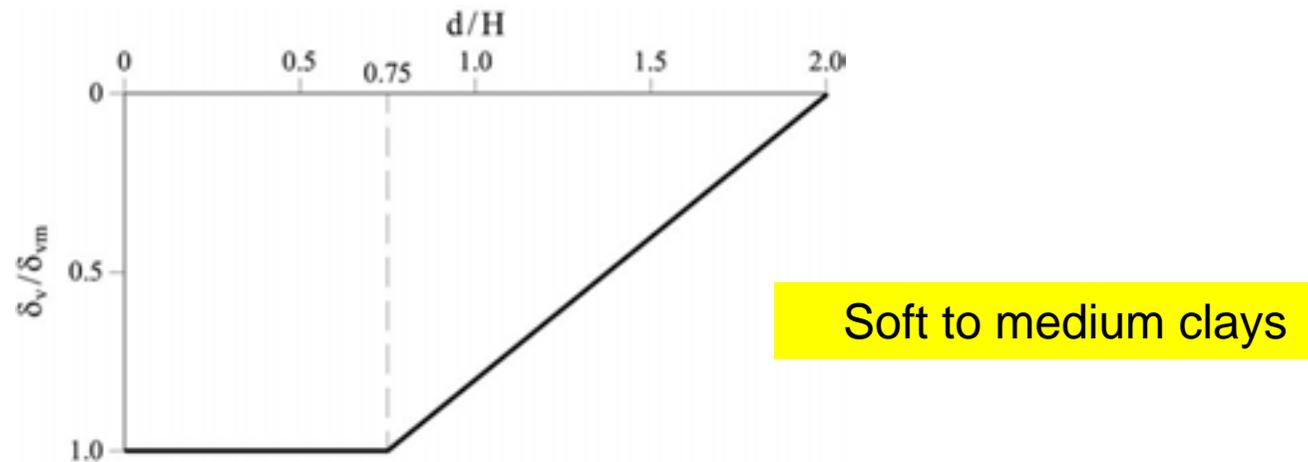
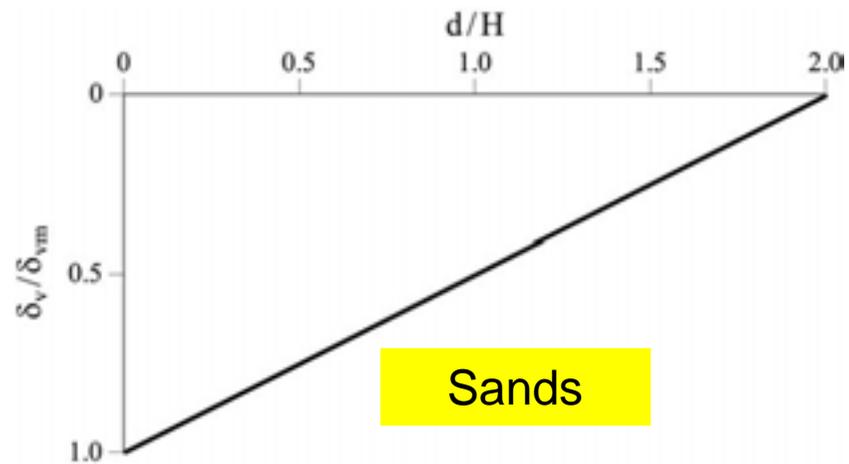
- Distribution of surface settlements (Clough & O'Rourke, 1990)



Soft to medium clays

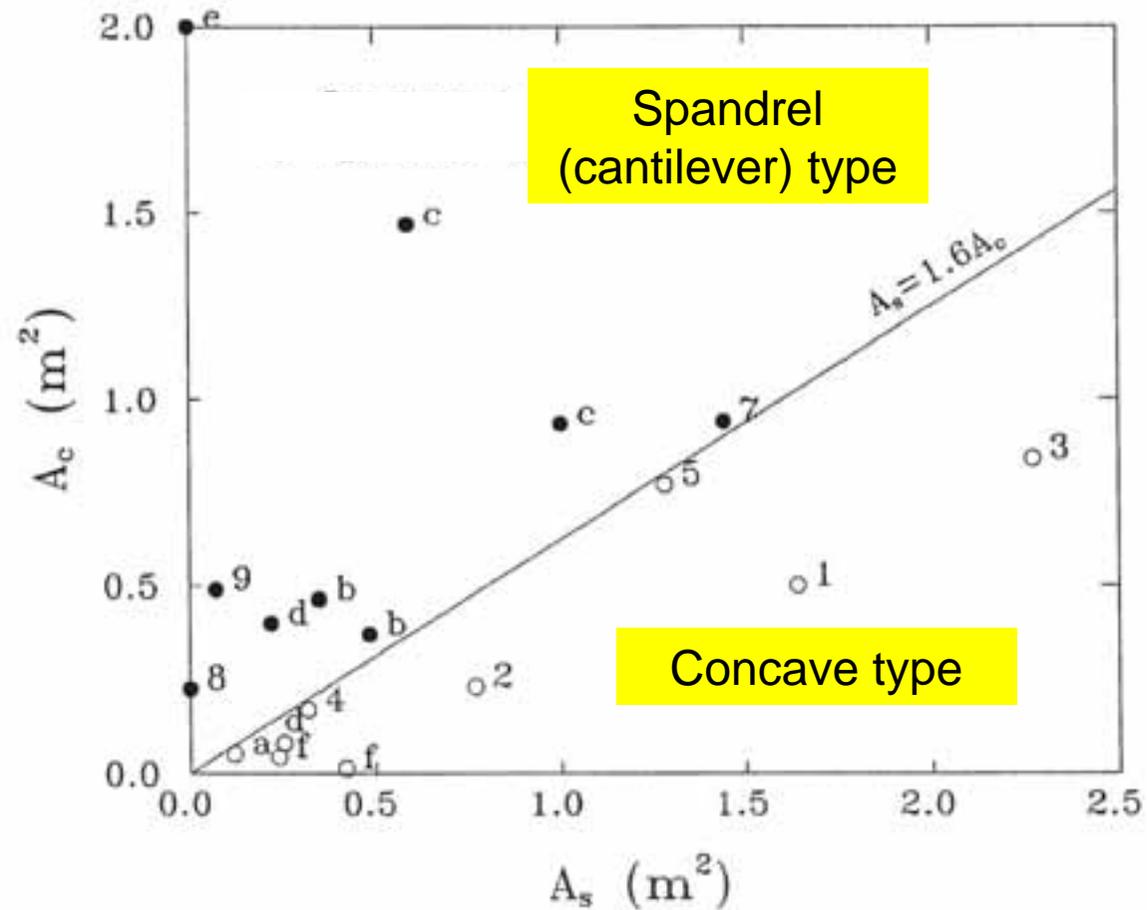
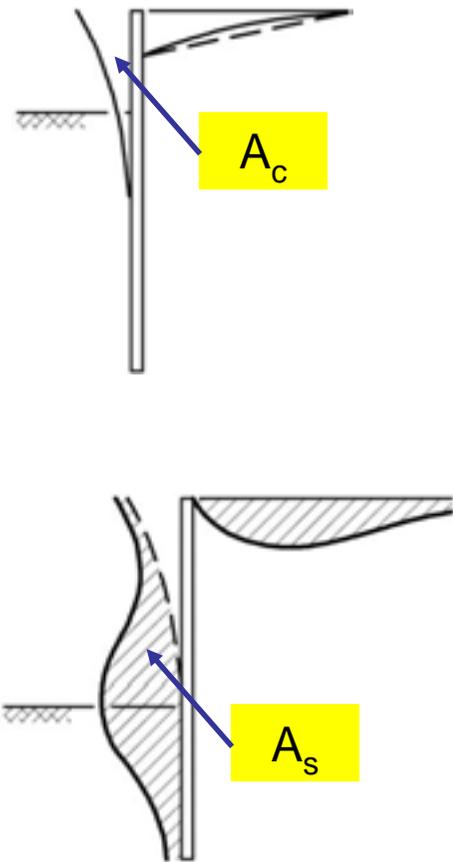
## Empirical methods for evaluating movements

- Distribution of surface settlements (Clough & O'Rourke, 1990)



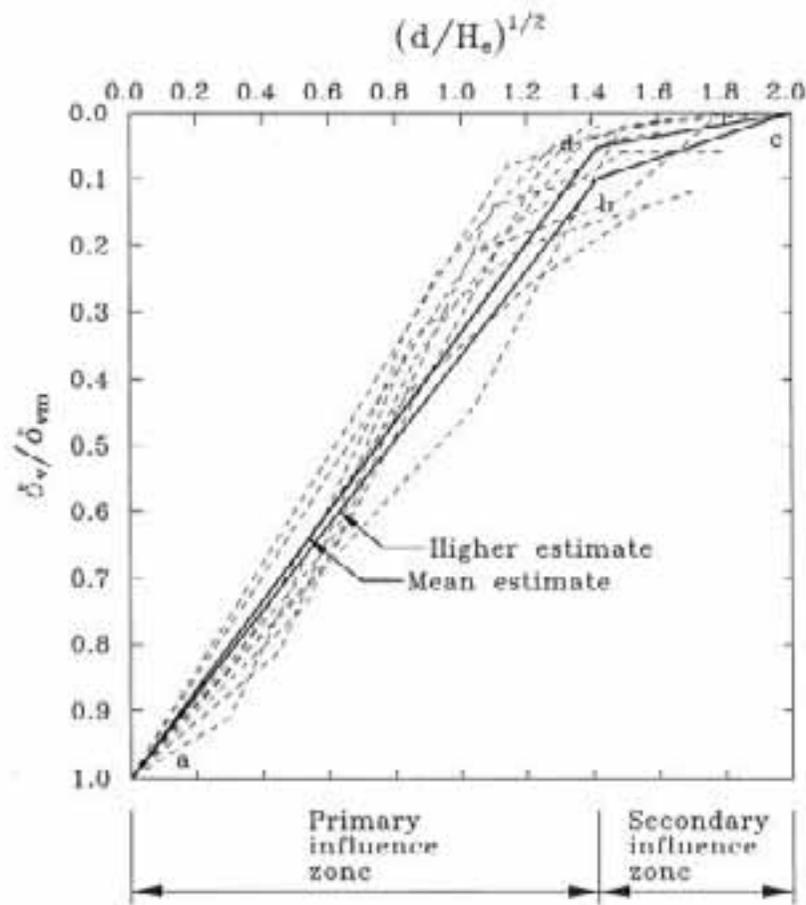
## Empirical methods for evaluating movements

- Distribution of surface settlements (Hsieh and Ou, 1998)
  - They suggest that the shape of the settlement distribution is related to the type of wall deformation

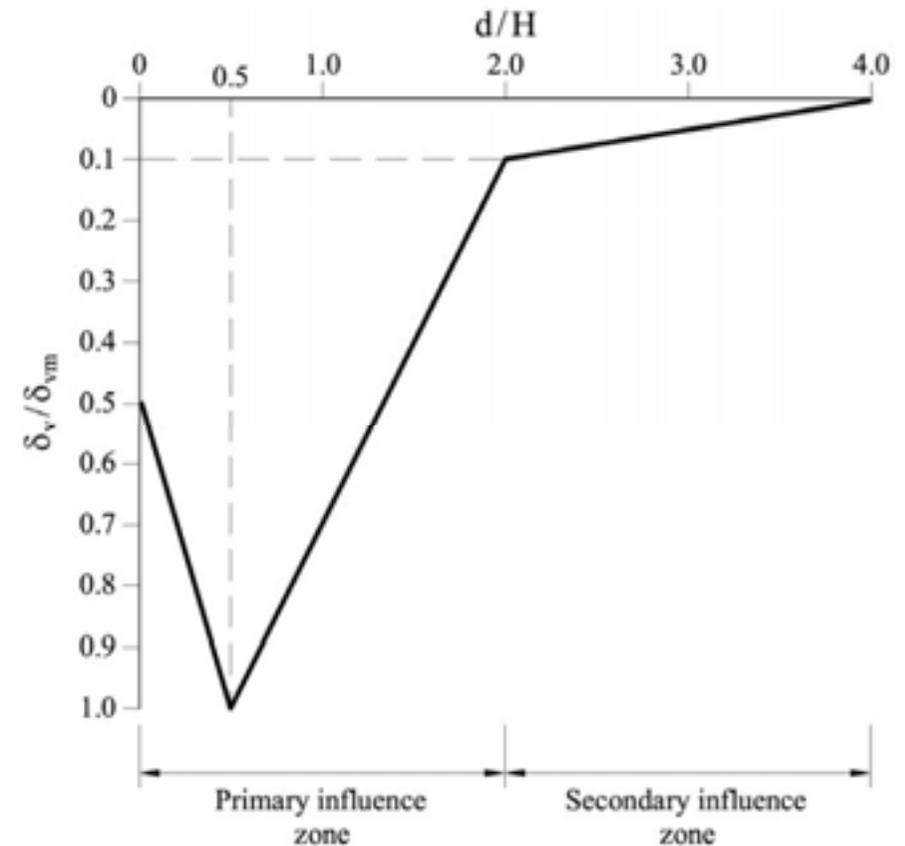


# Empirical methods for evaluating movements

- Distribution of surface settlements (Hsieh and Ou, 1998)
  - Estimation method



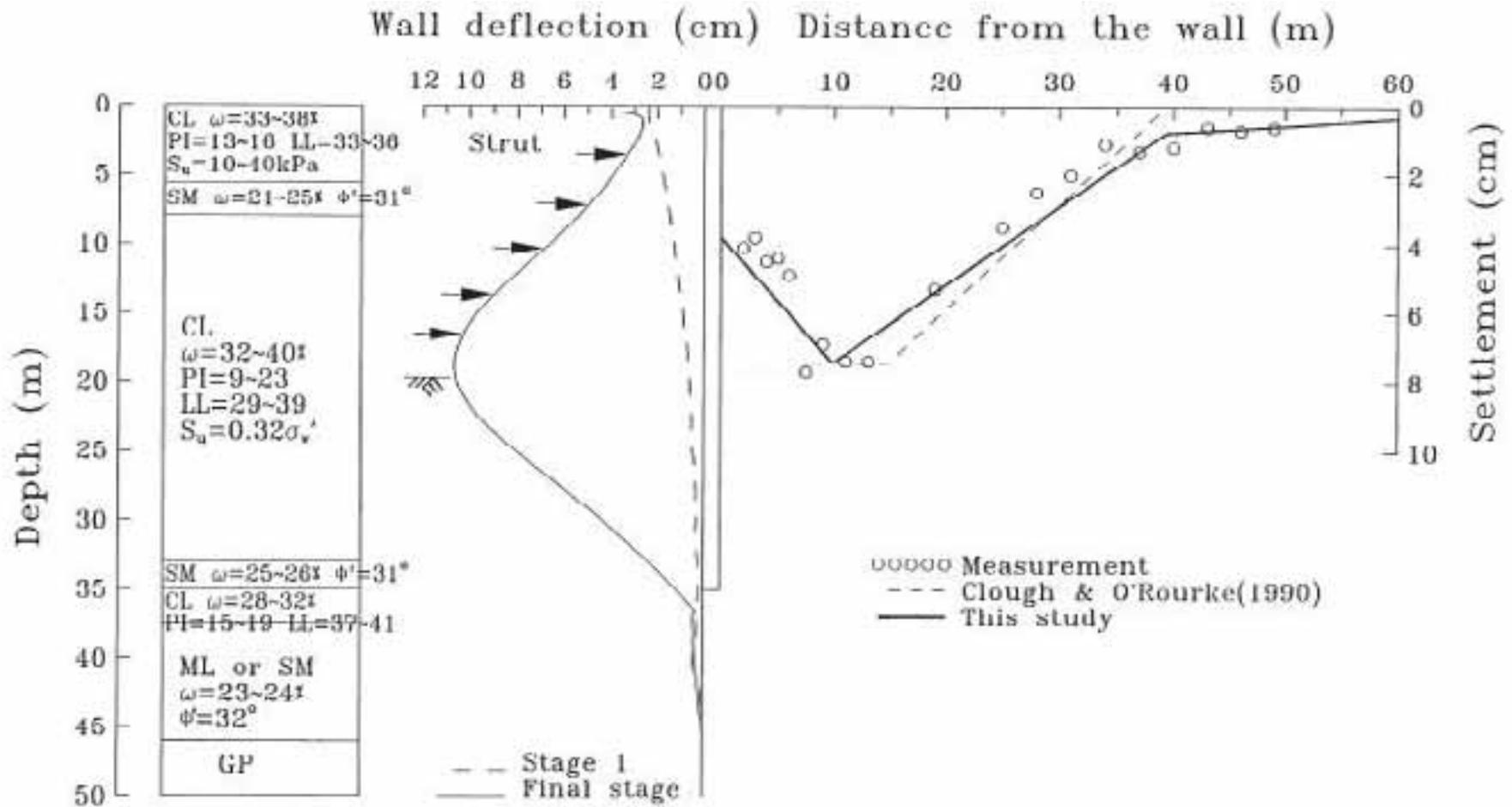
Spandrel (cantilever) type



Concave type

## Empirical methods for evaluating movements

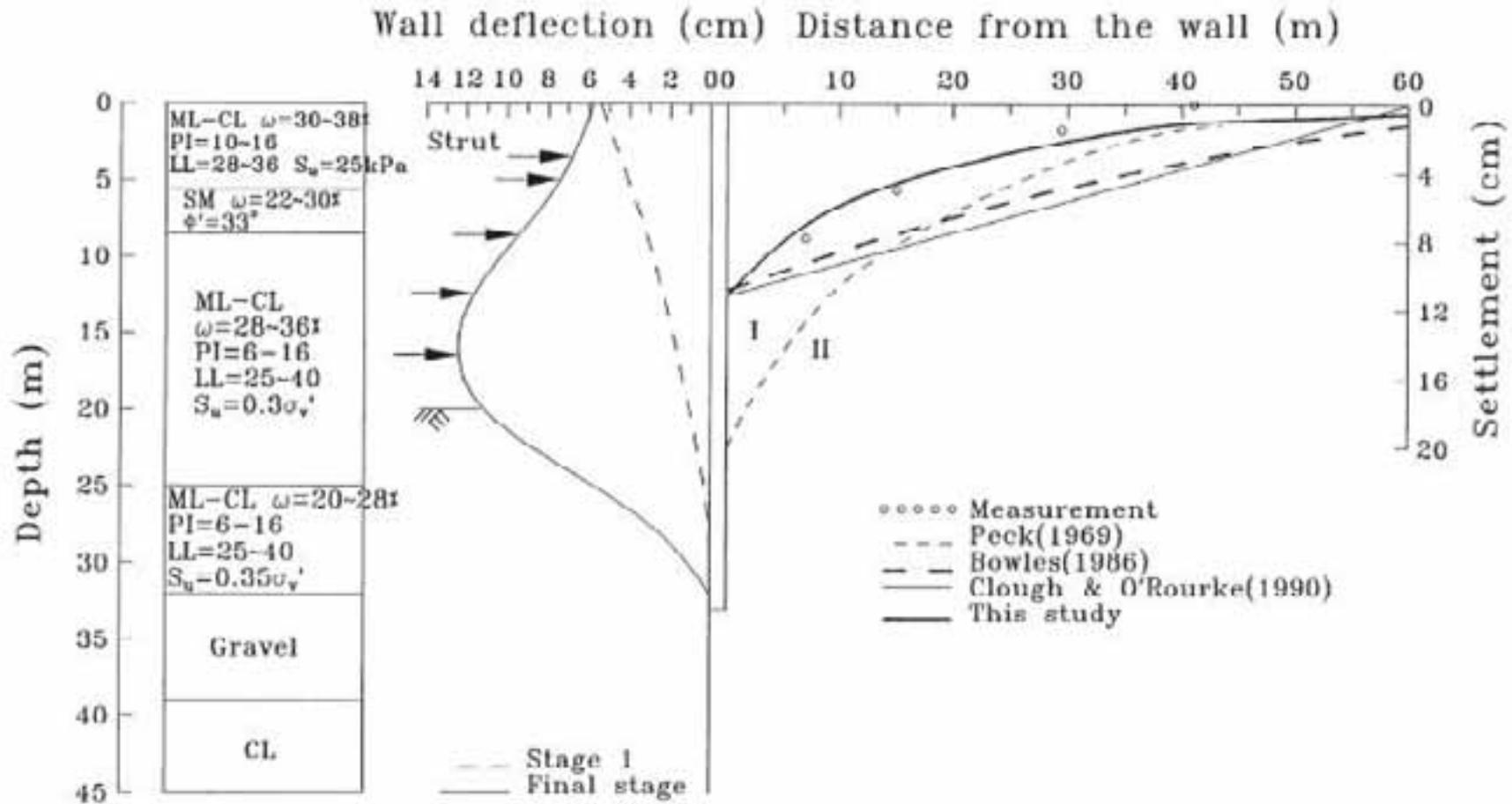
- Distribution of surface settlements (Hsieh and Ou, 1998)
  - Excavation in soft silty clay near the centre of the Taipei basin



Concave type

# Empirical methods for evaluating movements

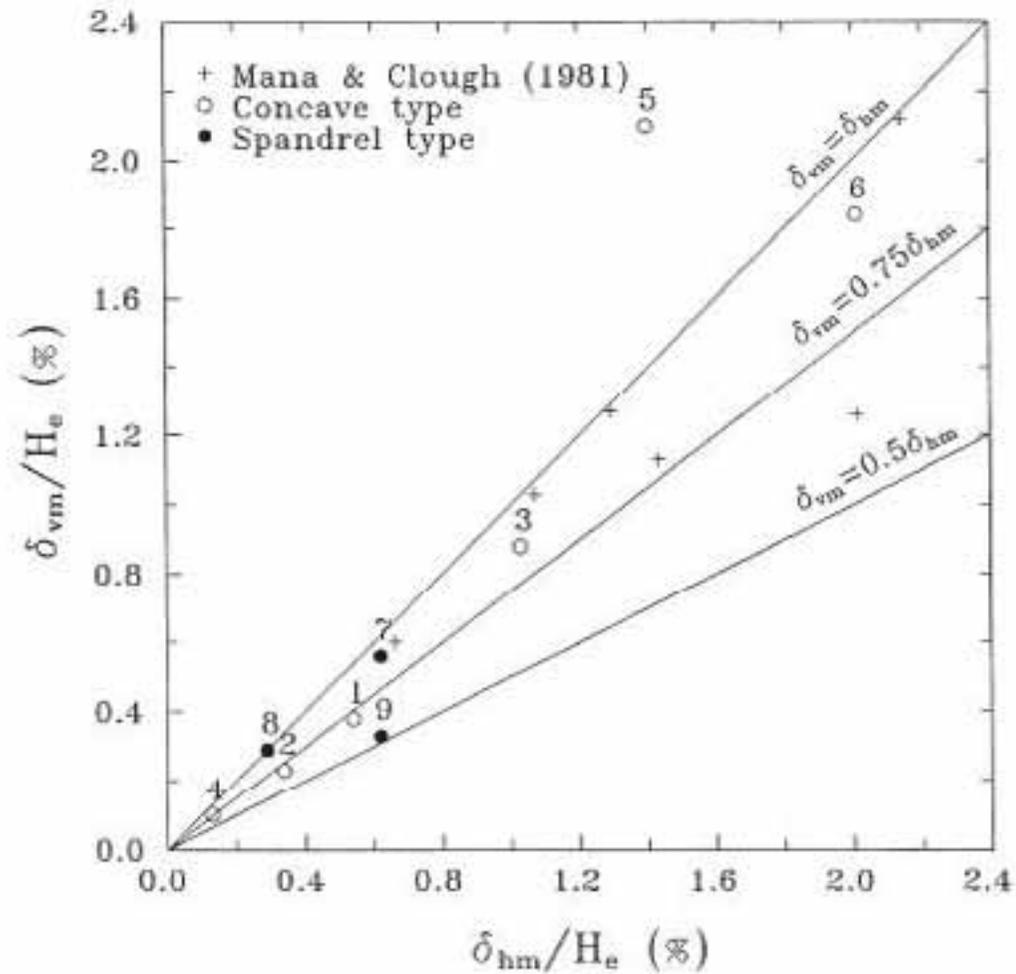
- Distribution of surface settlements (Hsieh and Ou, 1998)
  - Excavation in silty clay for the Far-East Enterprise Center project in Taipei



Spandrel (cantilever) type

## Empirical methods for evaluating movements

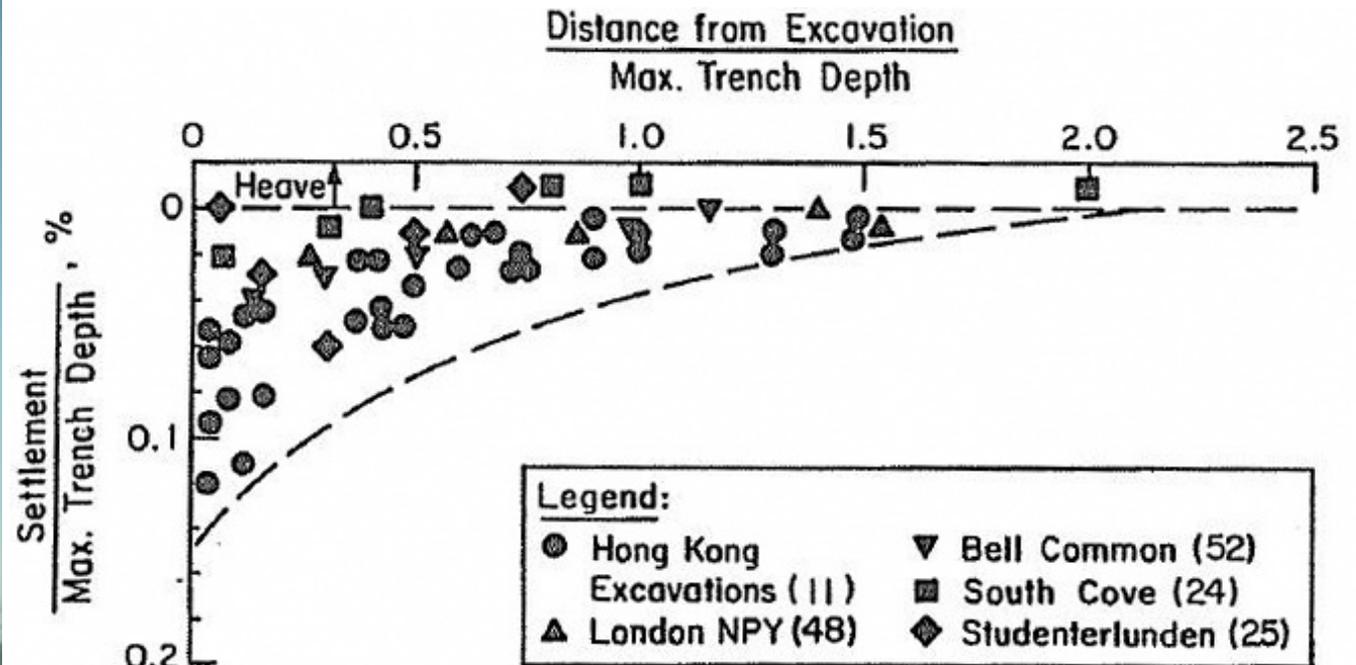
- Relationship between maximum vertical and lateral movement (Hsieh and Ou, 1998)



Independent of settlement distribution type

## Empirical methods for evaluating movements

- Settlements caused by the installation of concrete diaphragm wall (Clough & O'Rourke, 1990)



- Hong Kong, Charter Station: 50mm settlements for a 37m deep trench
- Normally 5 – 15 mm settlement in soft ground

## Esquema de la presentación

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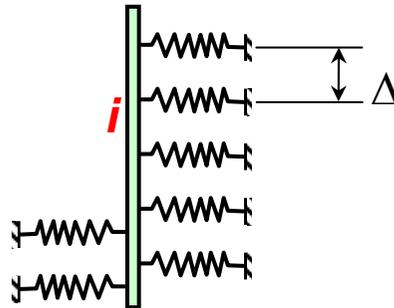
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# Methods for calculating ground movements

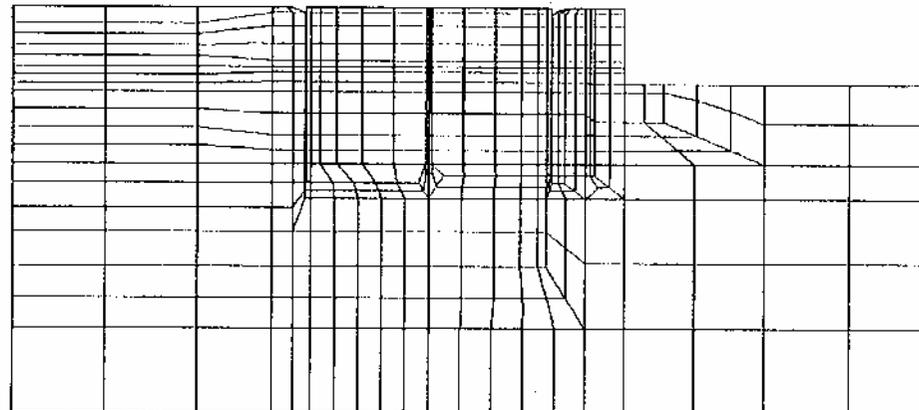
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## □ Calculation methods

- Limit equilibrium (no information on ground movements)
- Diaphragm walls considered as elastic beams on springs (Winkler's model): RIDO, PARATIE, WALLOP...



- Simulation using finite elements or finite differences: ABAQUS, FLAC, PLAXIS



## Methods for calculating ground movements

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- ❑ Diaphragm wall considered as beams supported by springs
  - Relatively simple, they require a limited number of hypotheses and data
  - There is a wide experience of their use in practice
  - They allow the introduction of some nonlinearity
  - The subgrade modulus (a key parameter in this type of calculations) is a parameter with serious limitations
  - The global soil-interaction problem is not considered
  - The horizontal movements of the wall are computed, ground movements must be calculated separately

# Methods for calculating ground movements

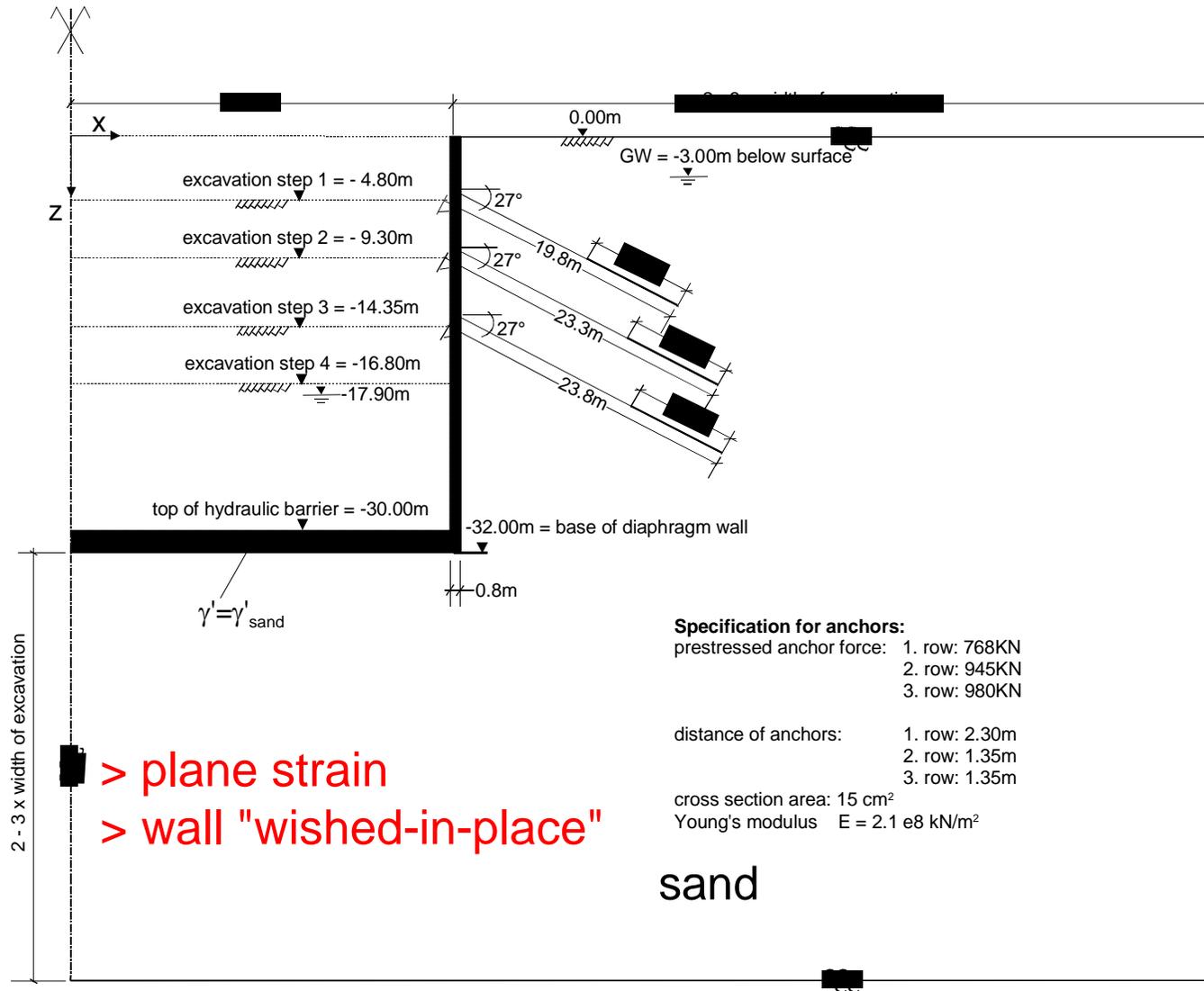
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## □ Finite elements / Finite differences

- More complex, they require a larger number of hypotheses and decisions (constitutive model, type of analysis, initial stresses, boundary conditions. domain size...)
- There is less experience of use in practice (rapidly changing but...)
- Some models require an information level not always available
- They allow the consideration of the global problem, they contribute to the better understanding of deformation and failure mechanisms
- They provide a quite complete information on stresses, strains, displacements
- They are progressively replacing simplified methods
- Validation/calibration with field measurements are essential

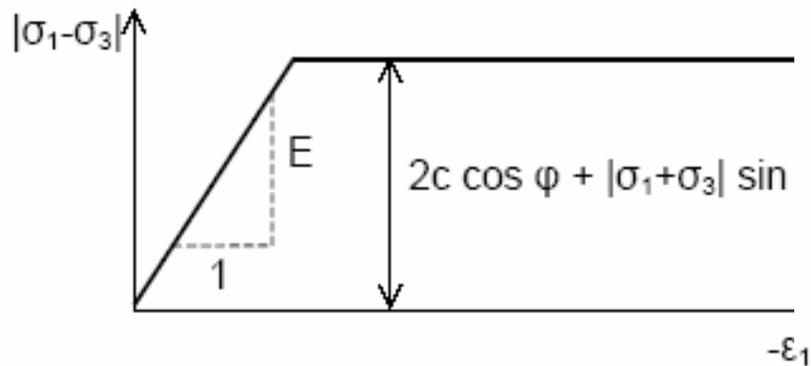
# Methods for calculating ground movements

## Effect of constitutive model (Schwiger, pers. comm.)

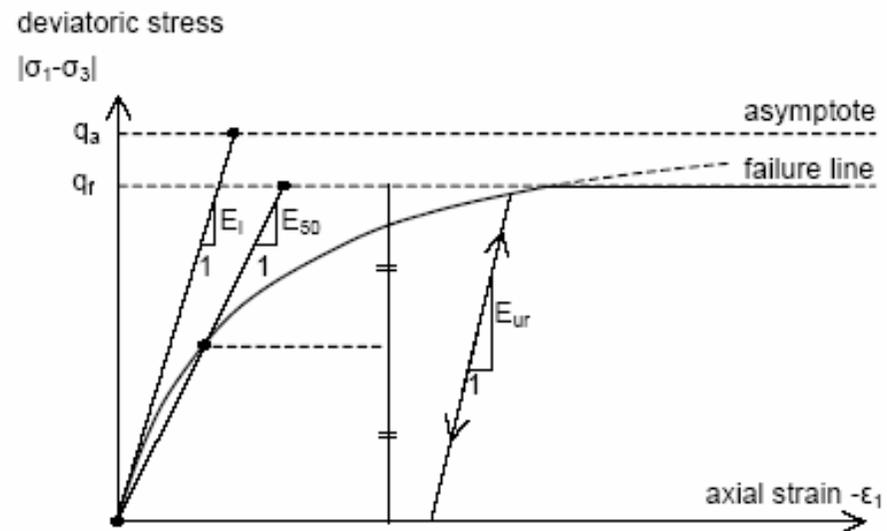


# Methods for calculating ground movements

- Effect of constitutive model
  - Mohr Coulomb vs. Hardening Soil



Mohr-Coulomb

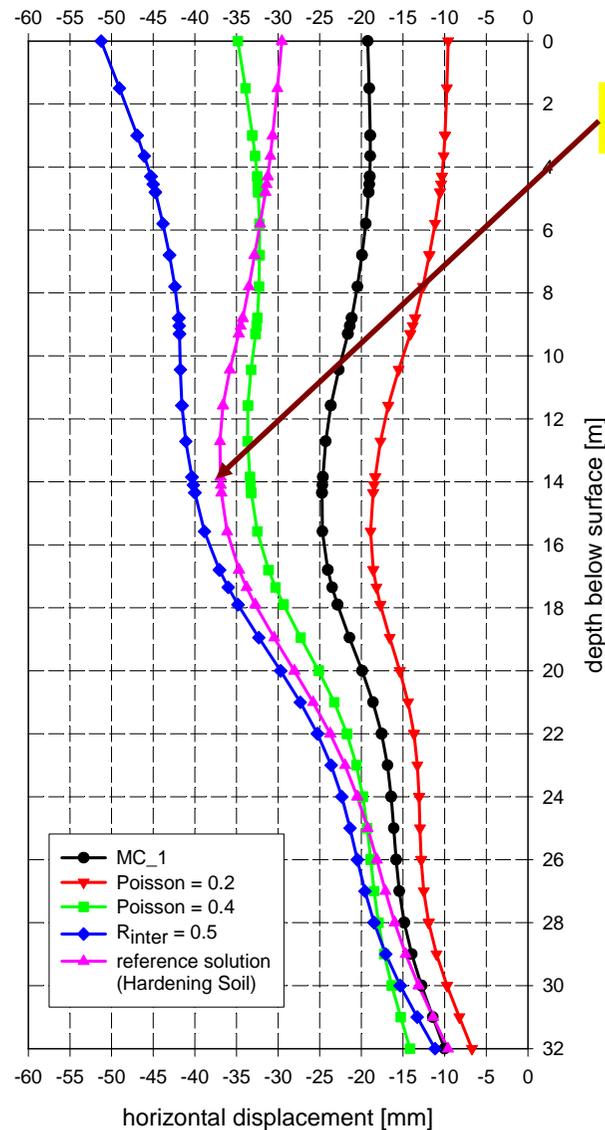


Hardening Soil

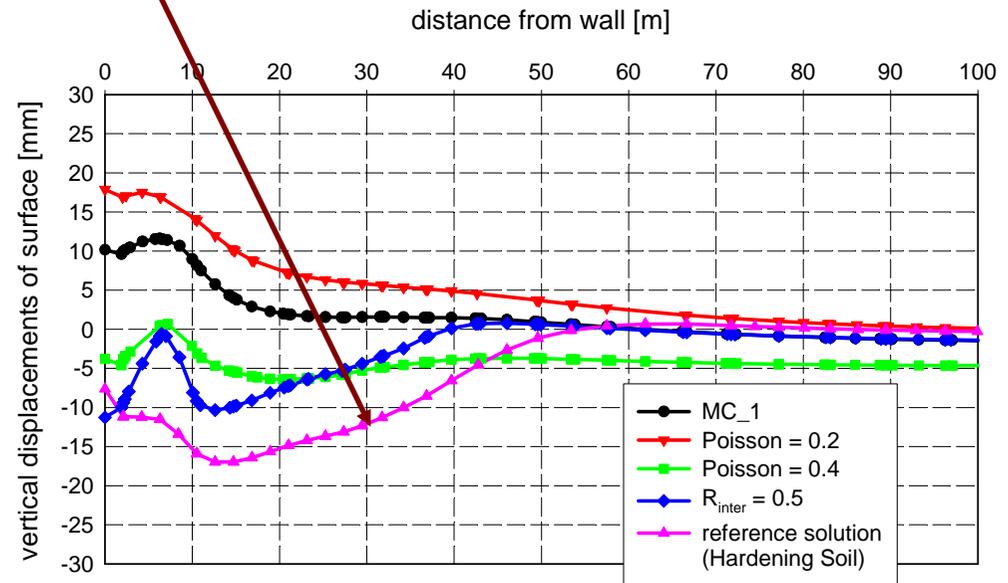
# Methods for calculating ground movements

## Effect of constitutive model

### Mohr Coulomb vs. Hardening Soil



Hard. Soil



## Methods for calculating ground movements

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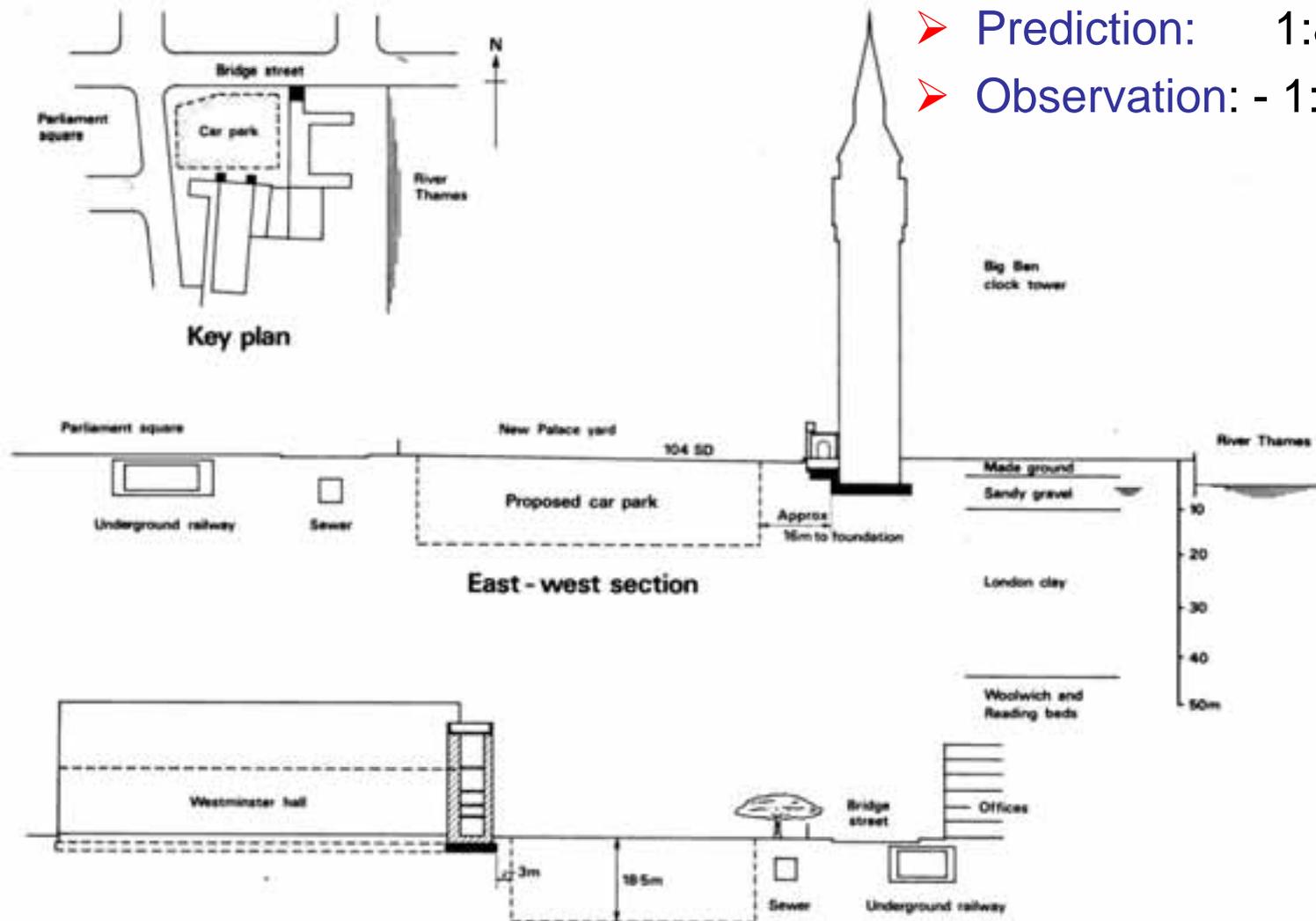
Wesminster Palace, London

# Methods for calculating ground movements

○ Clock Tower inclination

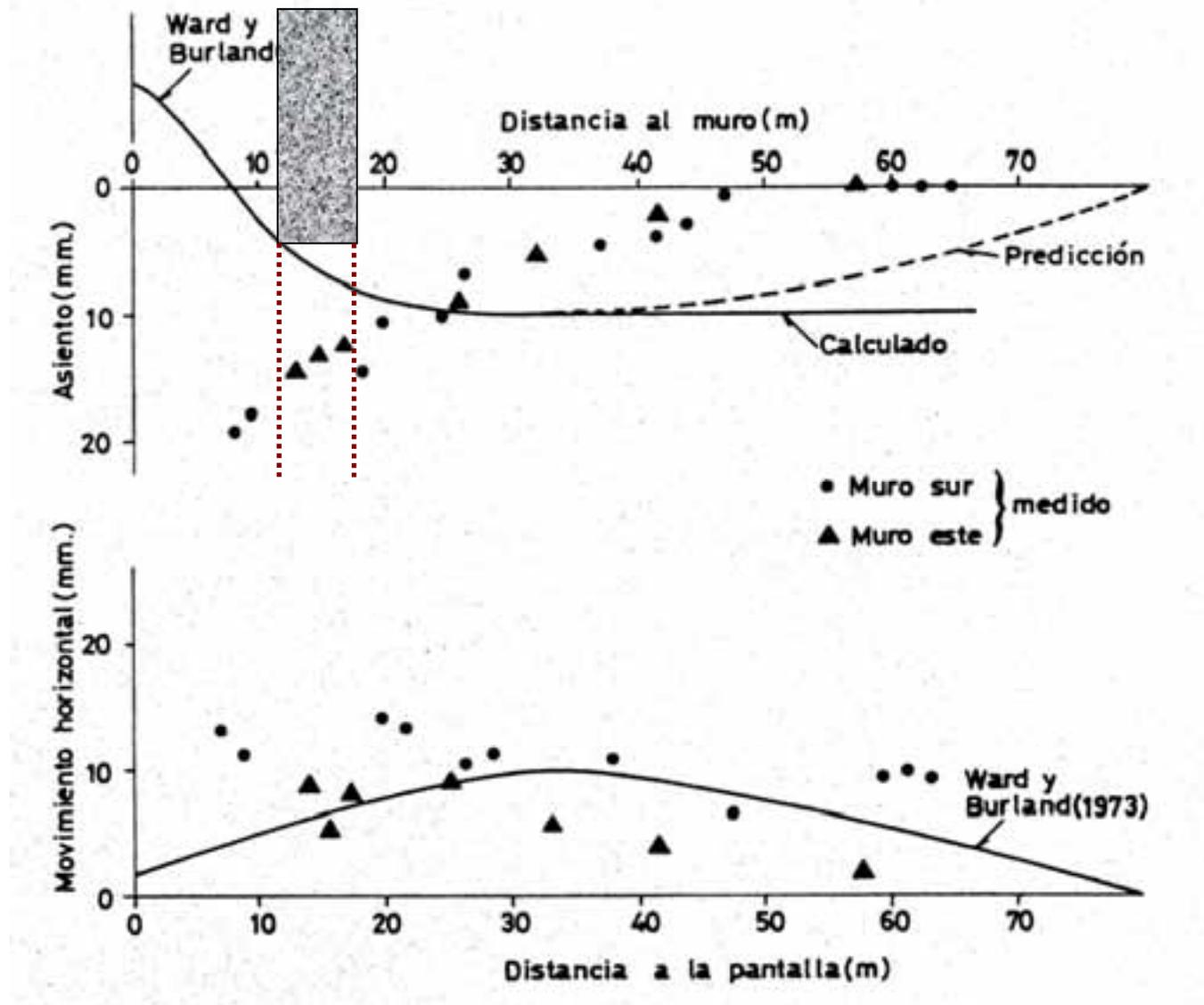
➤ Prediction: 1:8000

➤ Observation: - 1:8600



Westminster Palace, London

# Methods for calculating ground movements



Horizontal and vertical displacements

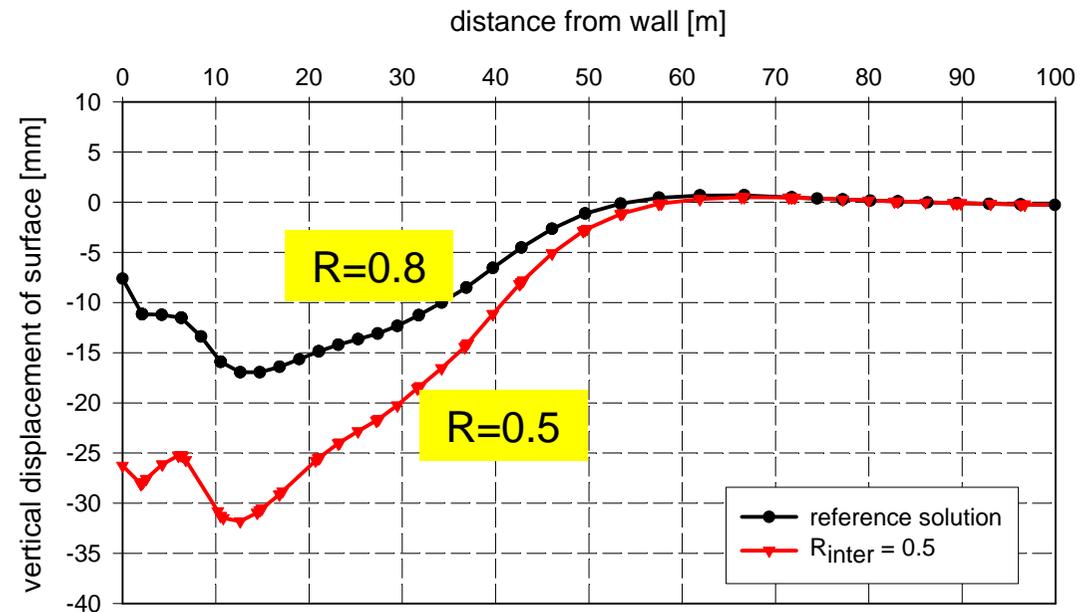
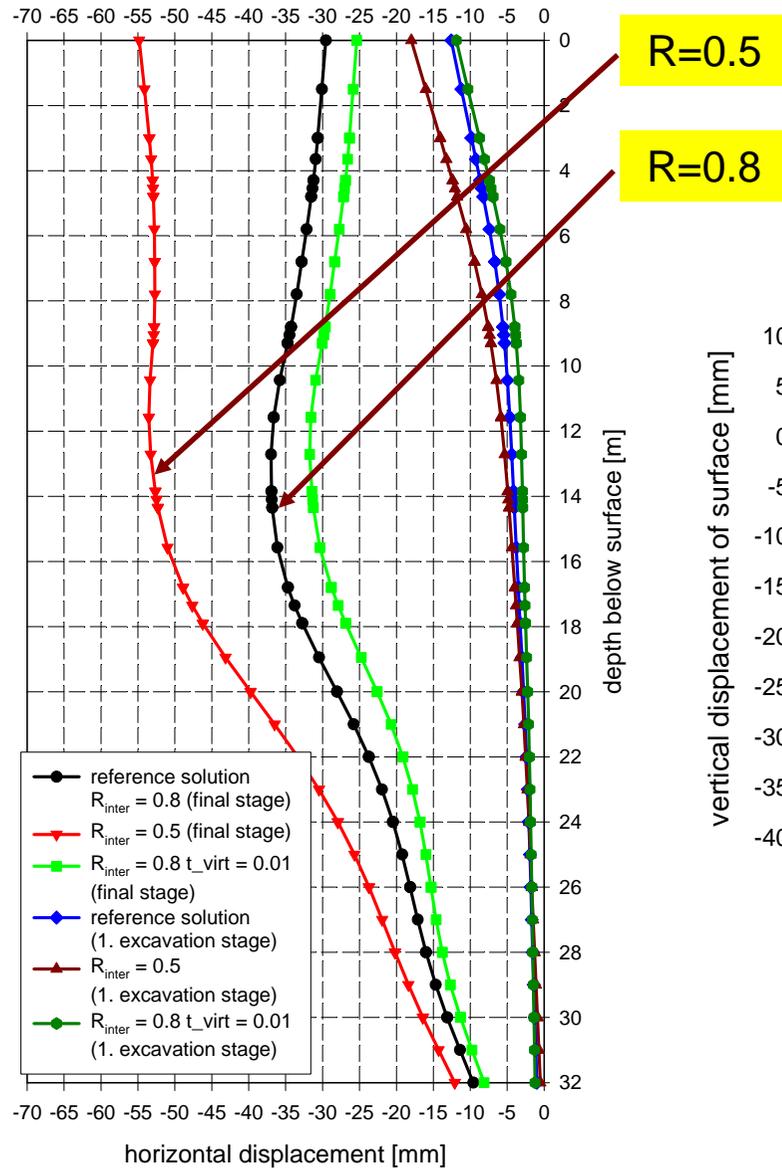
## Methods for calculating ground movements

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- ❑ Mohr-Coulomb model (or any other that incorporates a linear elastic component)
  - Although reasonable wall lateral movements can be obtained, computed settlements are often in error
  - Excavation bottom heave are considerably overestimated
  - The location of the lower boundary of the calculation domain has an decisive influence on computed displacements
  - In the case of excavations in soft soils, the effects are less marked because the soil shear strength plays a dominant role

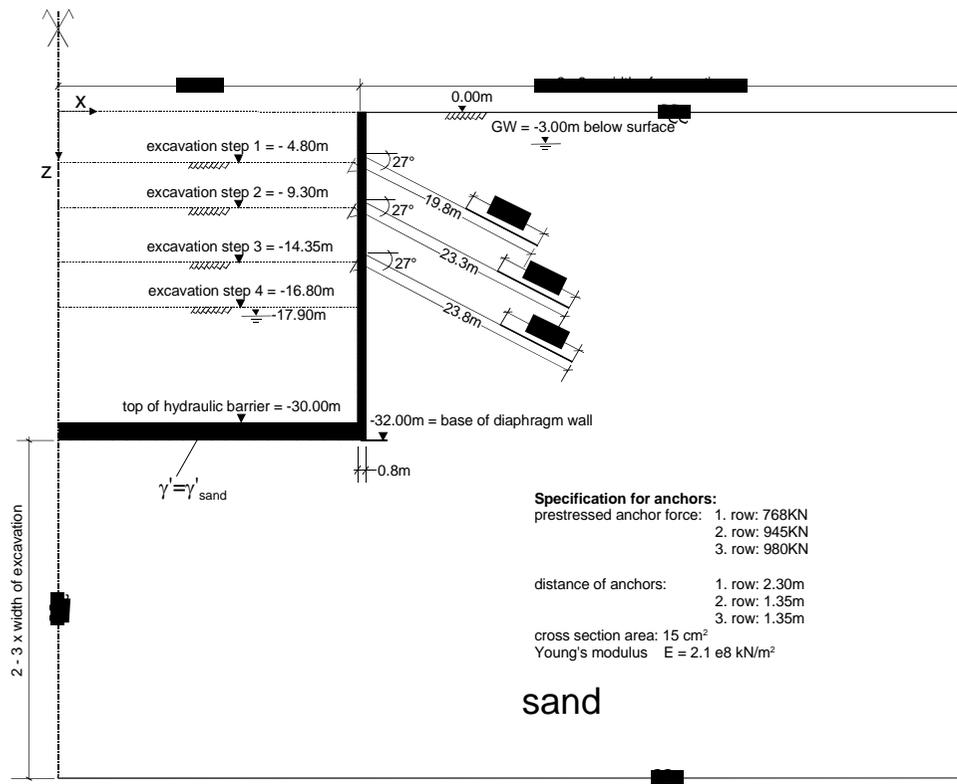
# Methods for calculating ground movements

## Effect of the friction between soil and wall



# Methods for calculating ground movements

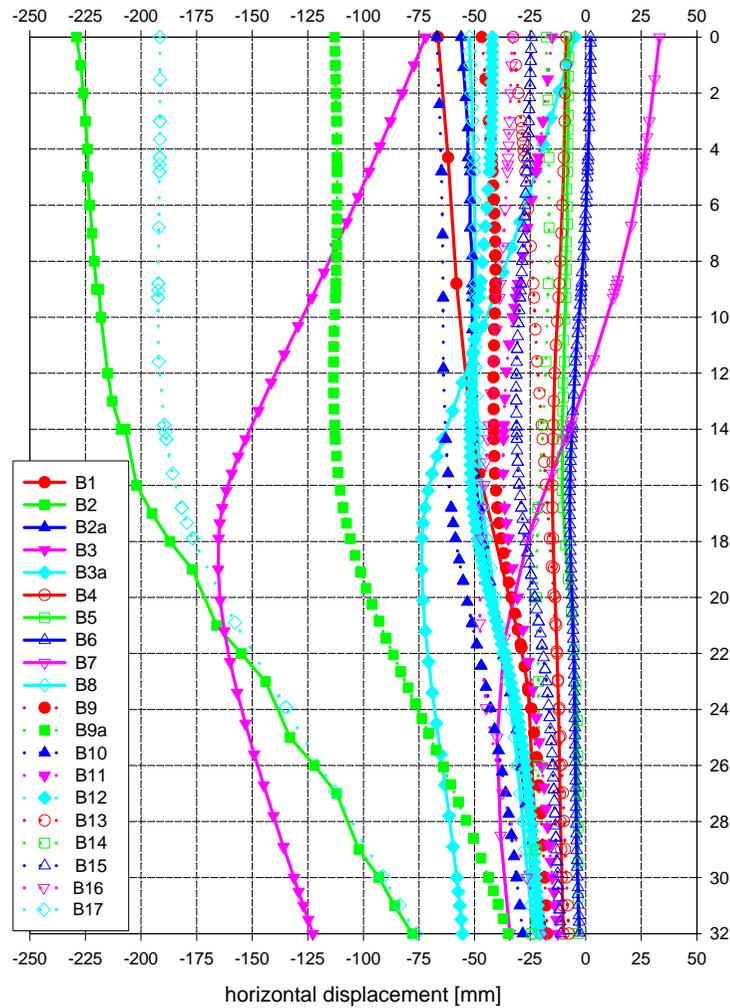
## □ Benchmark (Schwiger, 2006)



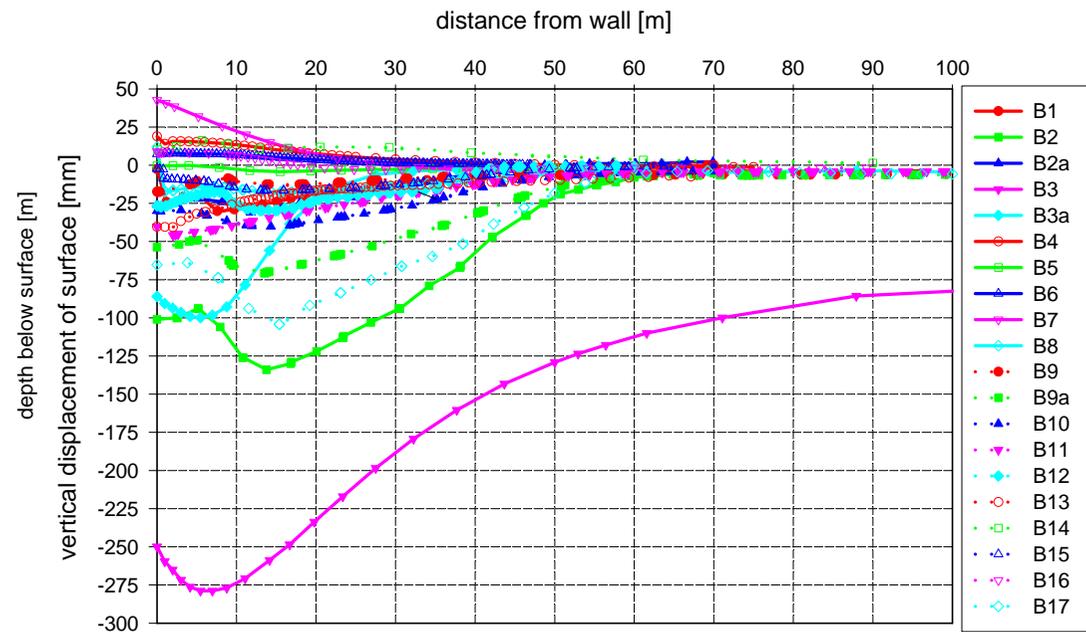
- 17 participants
  - domain size
  - structural elements, interfaces
  - Constitutive models: Mohr-Coulomb, nonlinear elastoplastic, hypoplastic
- similar strength parameters, more differences in stiffness parameters

# Methods for calculating ground movements

## □ Benchmark (Schwiger, 2006)



Horizontal wall displacements



Surface settlements

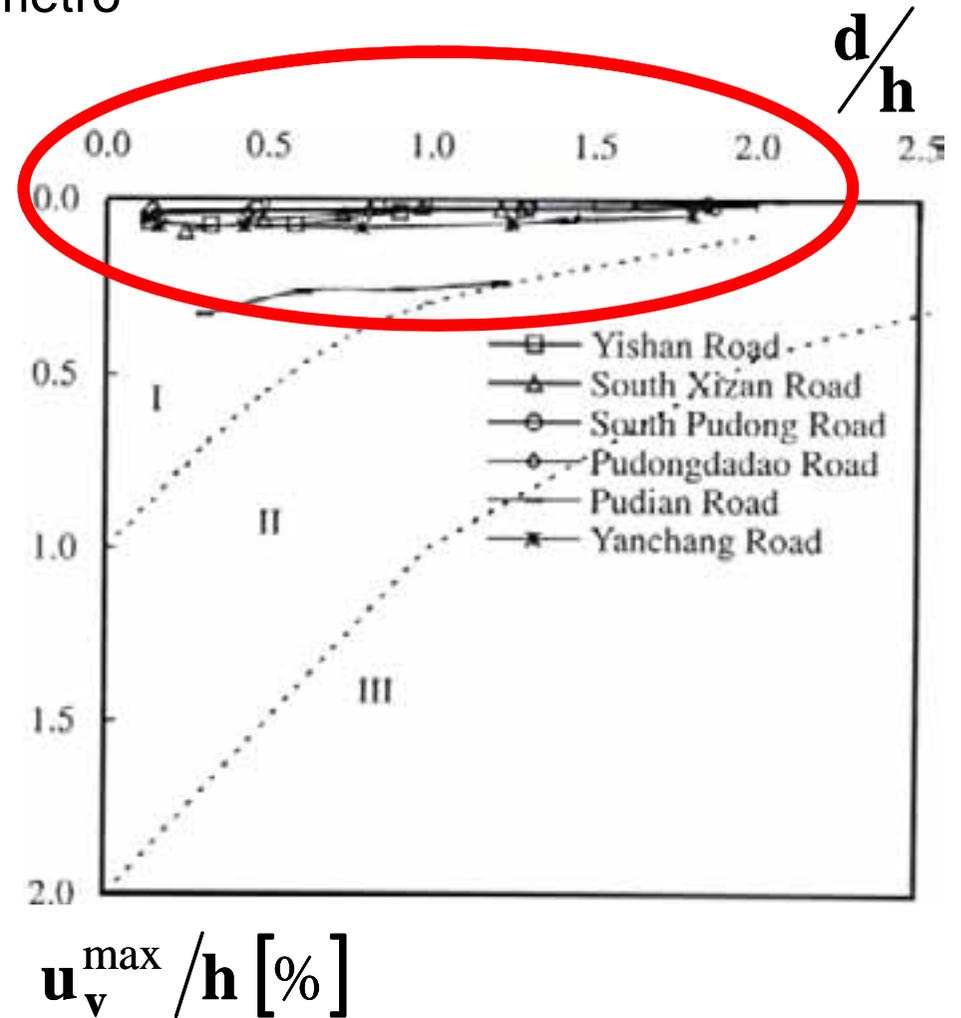
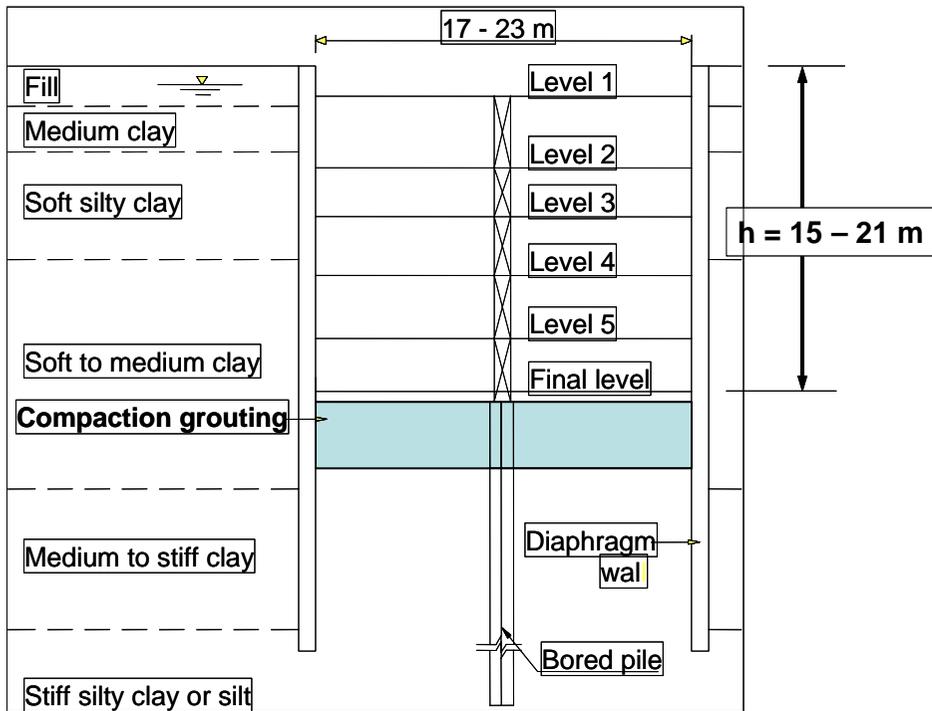
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- ❑ Excavations with large movements: announcing failure?
- ❑ Conclusions

# Procedures for control and reduction of movements

- ❑ Taking adequate measures, it is possible to minimize movements even in soft soils
  - A recent example: Shanghai metro



Wong et al. (2005)

## Procedures for control and reduction of movements

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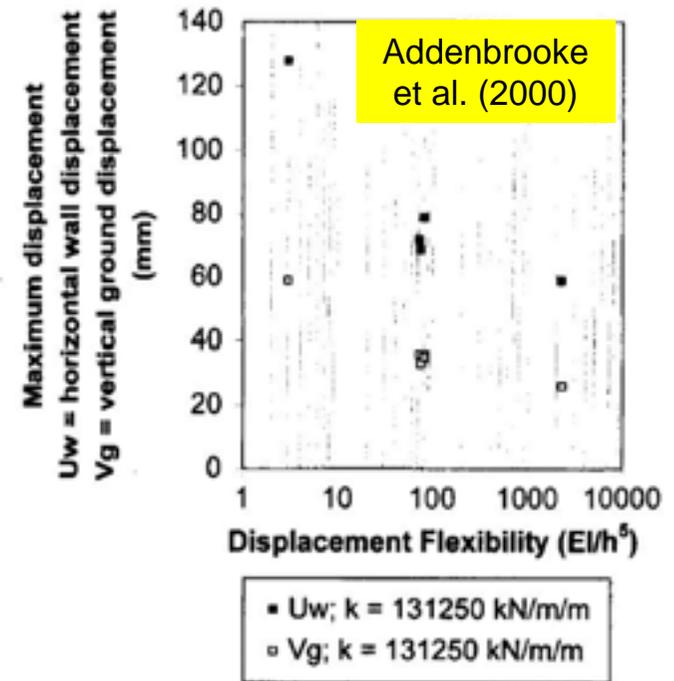
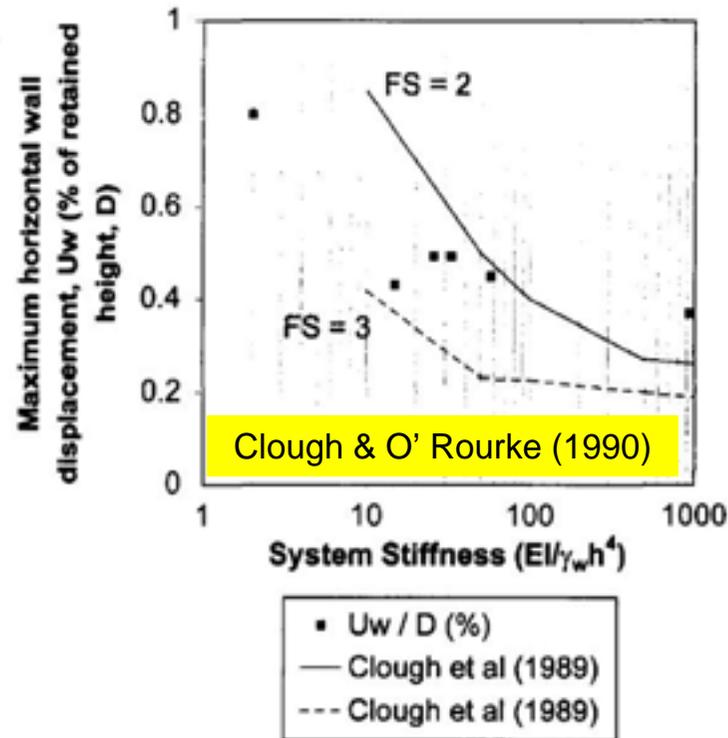
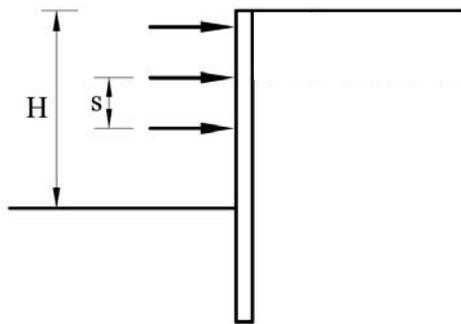
1. Increase stiffness of the retaining system (wall / bracing)
2. Early installation of bracing (especially the top one)
3. Attention to the contact between bracing and wall
4. Preloading the bracing
5. Avoid overexcavations
6. Embedding the wall in a stiff layer
7. Bracing below the maximum excavation depth
8. Impervious wall and water flow control
9. Compensation / correction grouting?

# Procedures for control and reduction of movements

- Increase the stiffness of the retaining system
  - increase wall stiffness (thickness, counterforts)
  - increase bracing system (prop separation, prop stiffness)

System stiffness:  $(EI)/(\gamma_w s^4)$  (Clough & O' Rourke, 1990)

Flexibility number:  $(s^5)/(EI)$  (Addenbrooke et al., 2000)

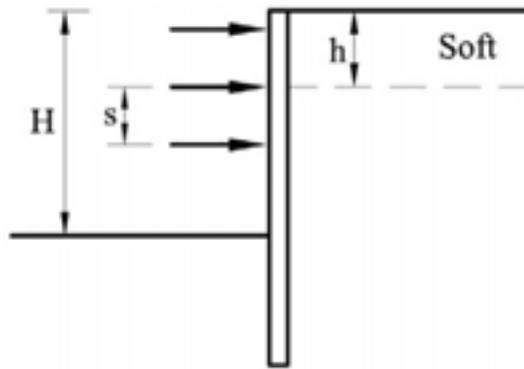


- based on finite elements calculations (nonlinear model with small strain stiffness)

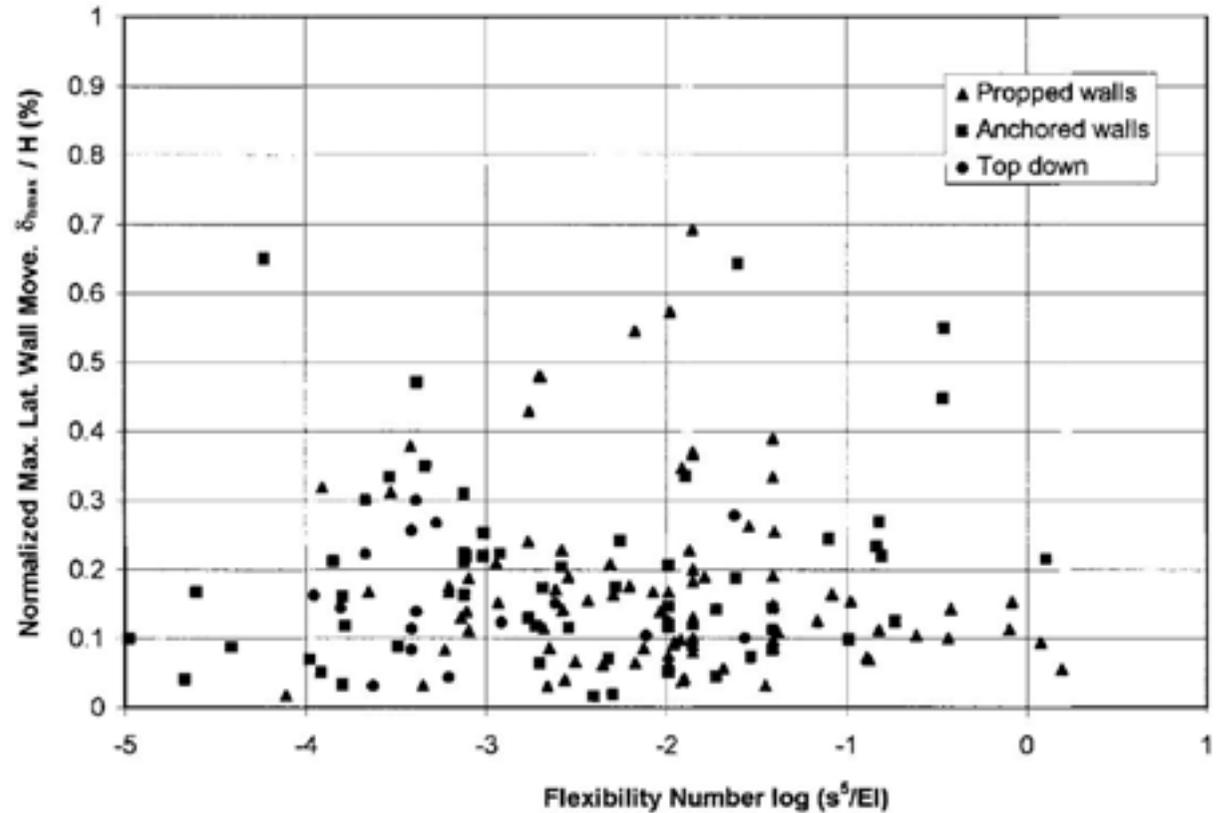
# Procedures for control and reduction of movements

## □ Influence of system stiffness

- Long (2001) database. Excavations in stiff and medium soils



$$h < 0.6H$$

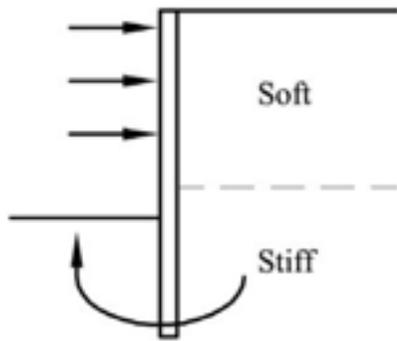


Maximum lateral wall movement

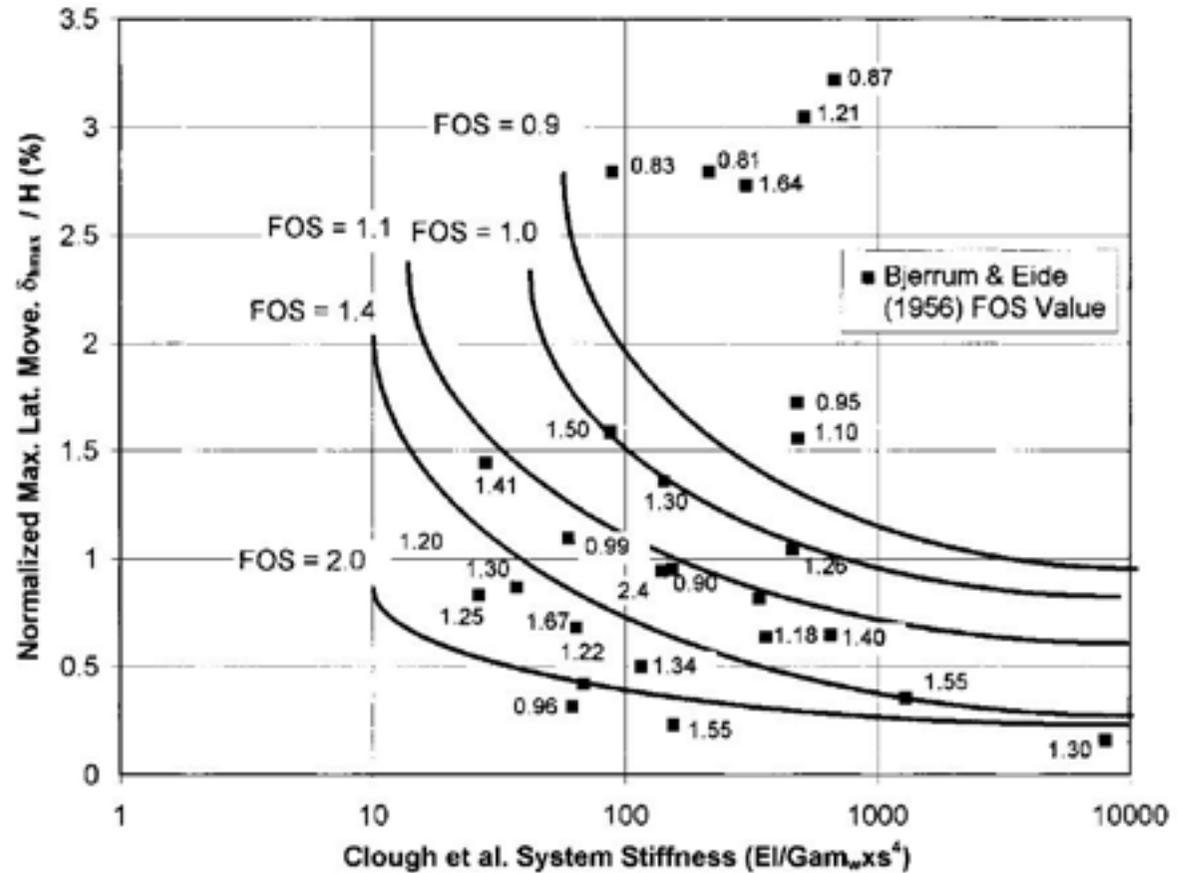
- System stiffness does not appear to be a dominant factor in these cases

# Procedures for control and reduction of movements

- Influence of system stiffness
  - Long (2001) database. Excavations in soft soils



$h > 0.6H$   
Low FOS



Maximum lateral movement

- There appears to be some influence of the system stiffness for low factors of safety

# Procedures for control and reduction of movements

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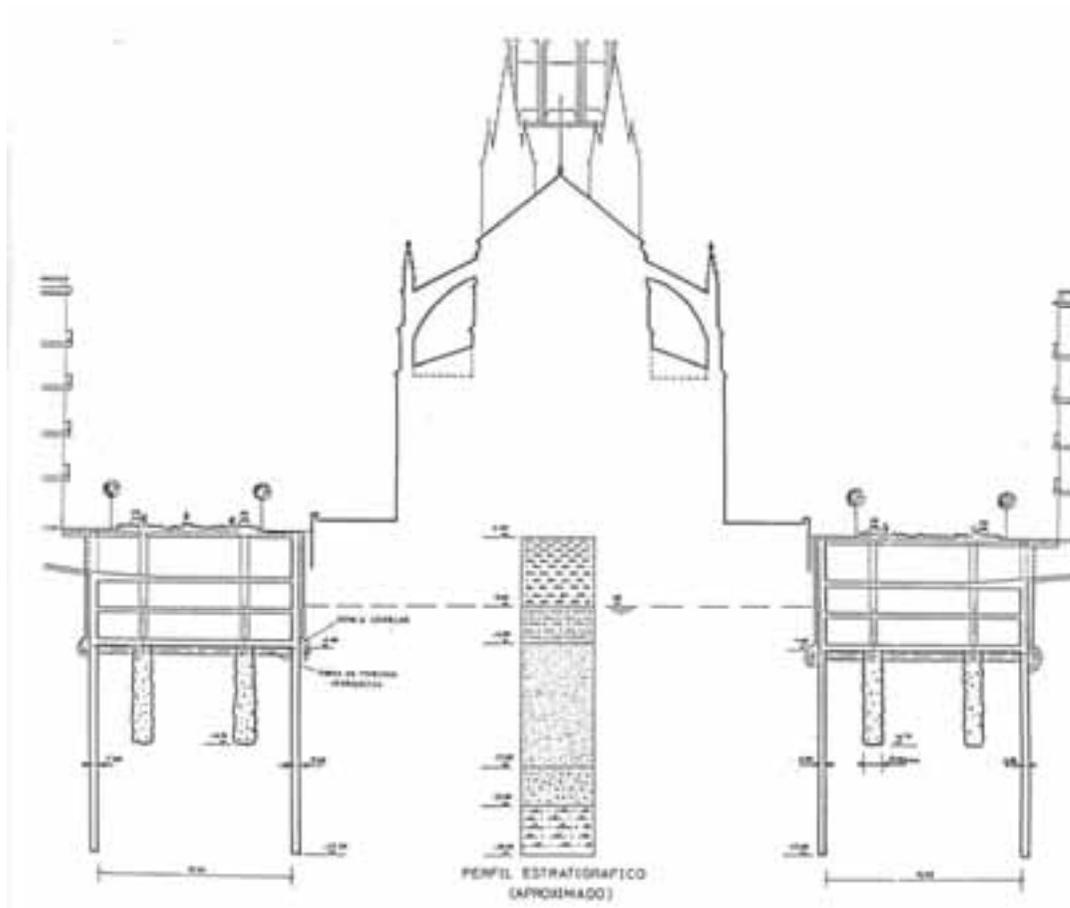
- Early installation of bracing
  - top-down construction



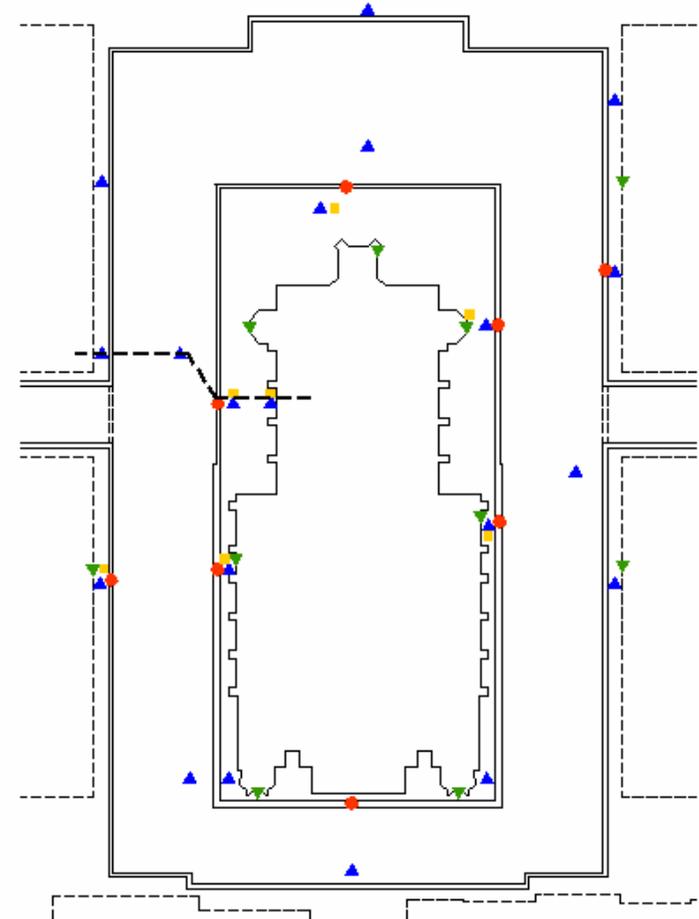
Buen Pastor Cathedral, San Sebastián, Spain

# Procedures for control and reduction of movements

- Early installation of bracing
  - top-down construction



Section

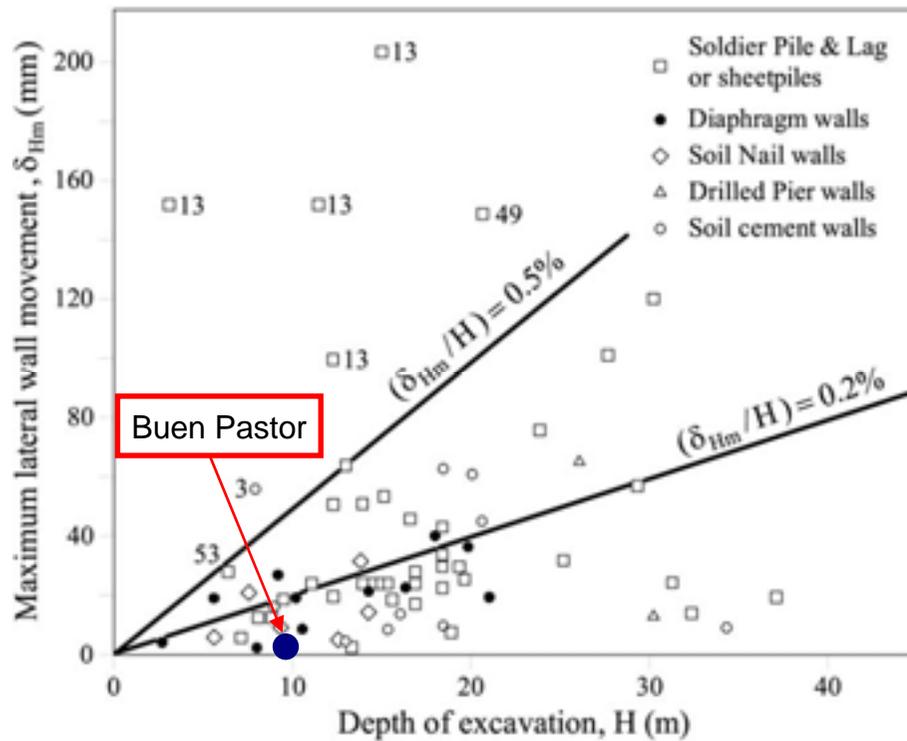


Plan view

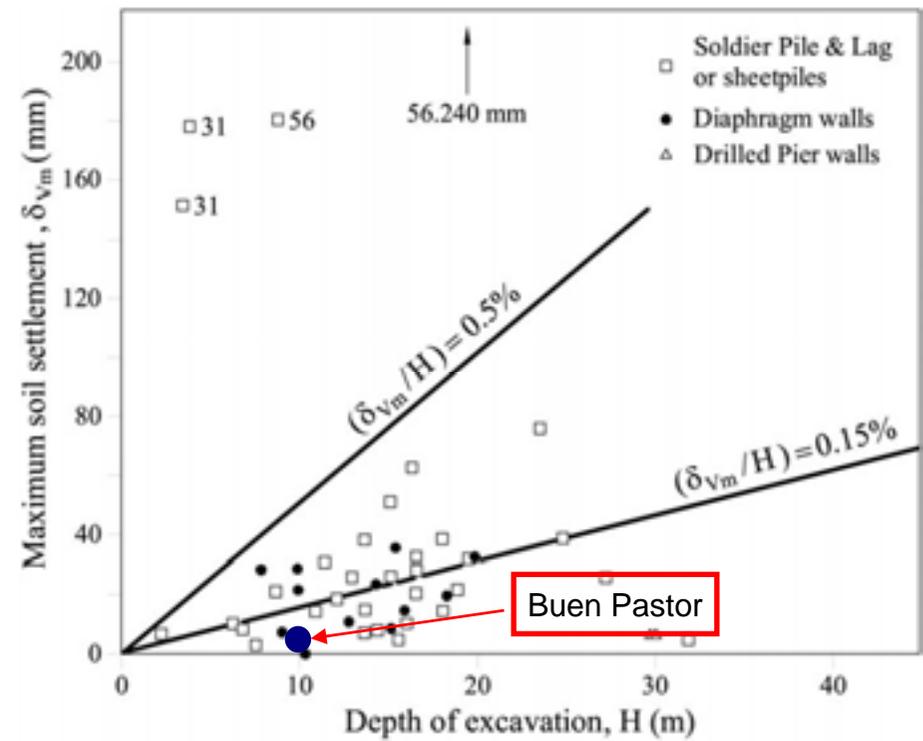
Buen Pastor Cathedral, San Sebastián, Spain

# Procedures for control and reduction of movements

- Early installation of bracing
  - top-down construction



Maximum lateral movement



Maximum settlement

Buen Pastor Cathedral, San Sebastián, Spain

## Procedures for control and reduction of movements

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- Attention to the contact between bracing and wall



Lisbon Metro



Circle Line, Singapore Metro

## Procedures for control and reduction of movements

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- Preloading the bracing (props and anchors)



Lisbon Metro



Diagonal Mar, Barcelona

- prestressing reduces the movements caused by excavation
- prestressing does not increase the stiffness of the bracing

# Procedures for control and reduction of movements

## ○ Preloading of the bracing (props and anchors)

- anchors with a considerable free length exhibit a significant deformability



- ❑ Pile wall (65 cm diameter)
- ❑ Wall length: 80 m
- ❑ Max. excavation depth: 20 m
- ❑ Excavation in weathered granite

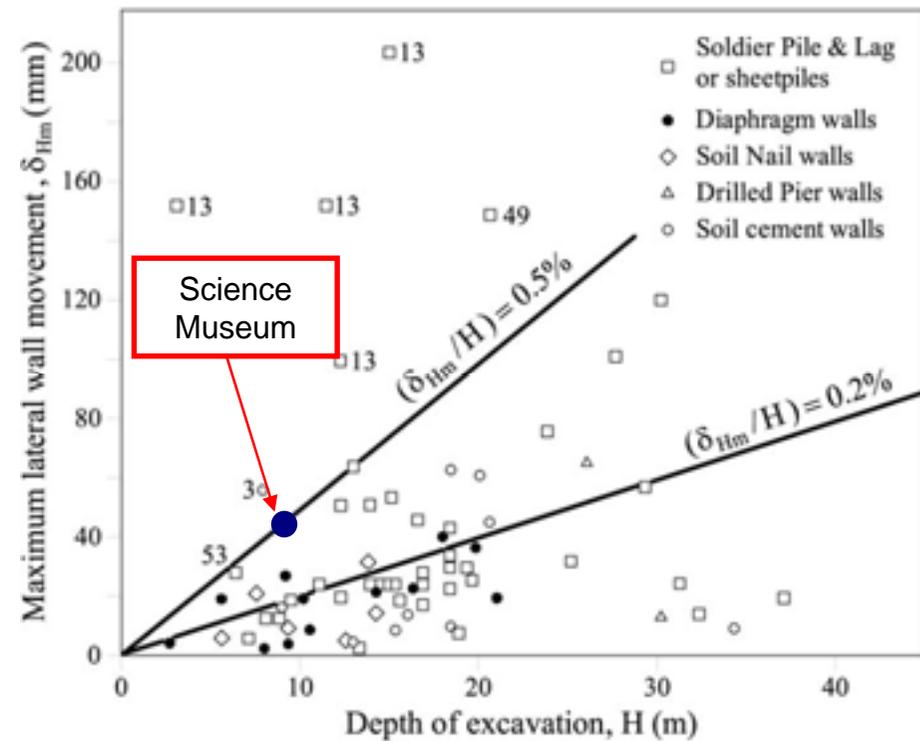
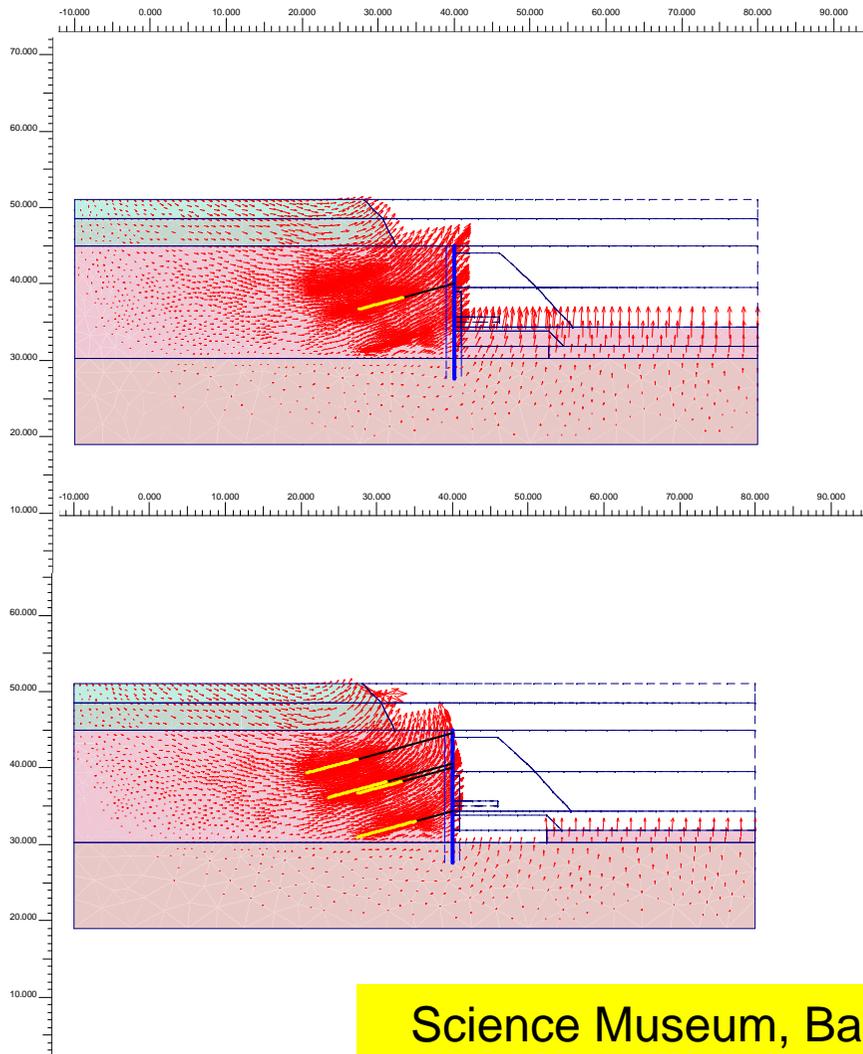


Science Museum, Barcelona

# Procedures for control and reduction of movements

## ○ Preloading of the bracing (props and anchors)

- anchors with a considerable free length exhibit a significant deformability



Maximum lateral displacement

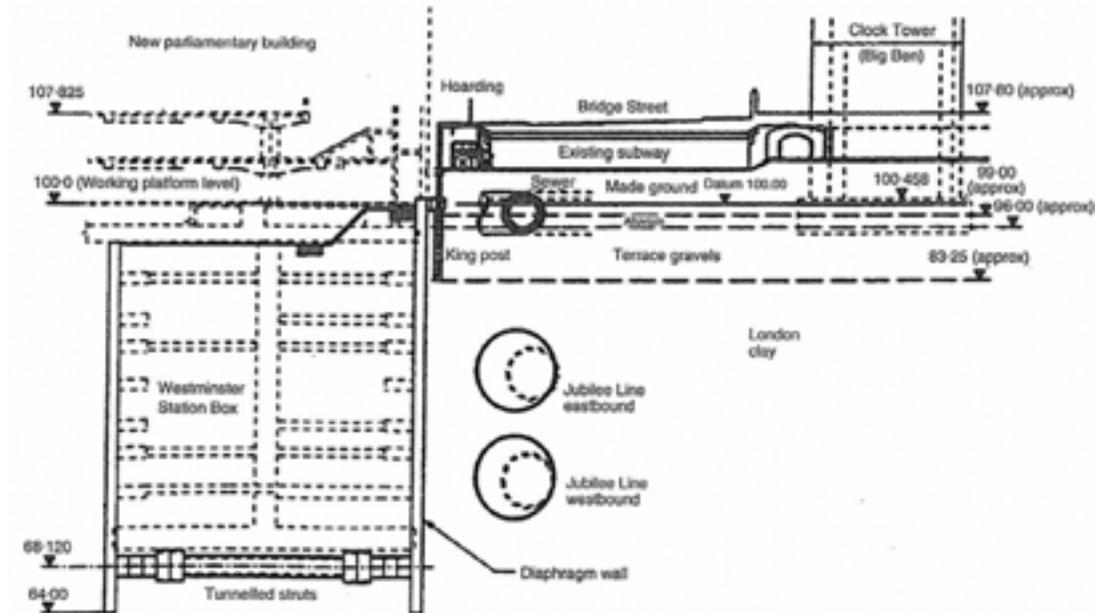
# Procedures for control and reduction of movements

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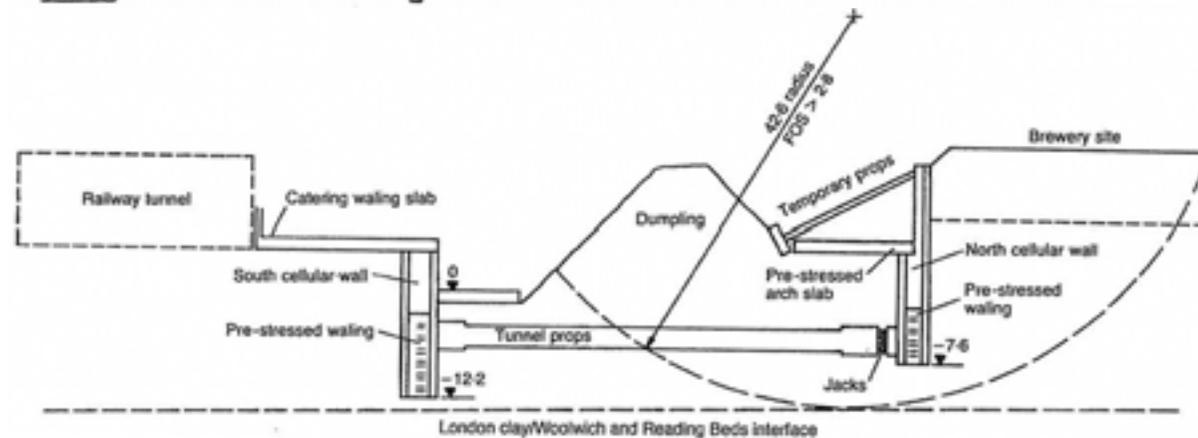
- Bracing below the maximum excavation depth
  - Procedures:
    - Props placed in trenches or tunnels previously excavated
    - Rows of barrettes (diaphragm walls segments)
    - Jet grouting slabs or props
    - Slabs of props constructed with deep soil mixing
    - Slabs of props constructed with compaction grouting
  - For bracing constructed using soil improvement techniques
    - There is no consensus whether it is better to use slabs or thicker discontinuous props
    - Ground improvement techniques often produce brittle materials that can lose strength if failure strain is exceeded
    - Constructing the bracing may weaken the overlying soil

# Procedures for control and reduction of movements

- Bracing below the maximum excavation depth
  - Props placed in pre-excavated trenches or tunnels



Westminster Station  
London

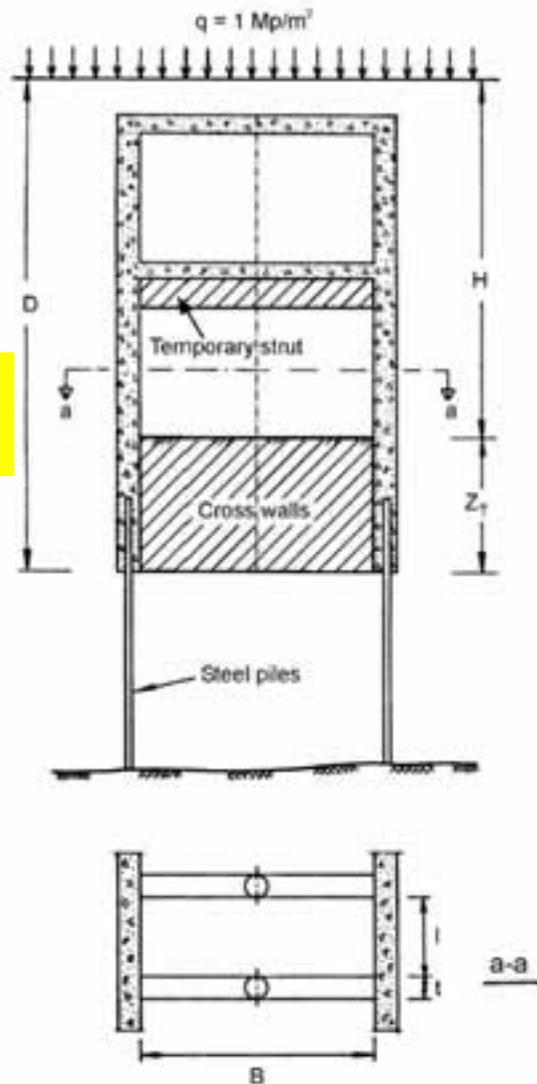


Barbican Arts Centre  
London

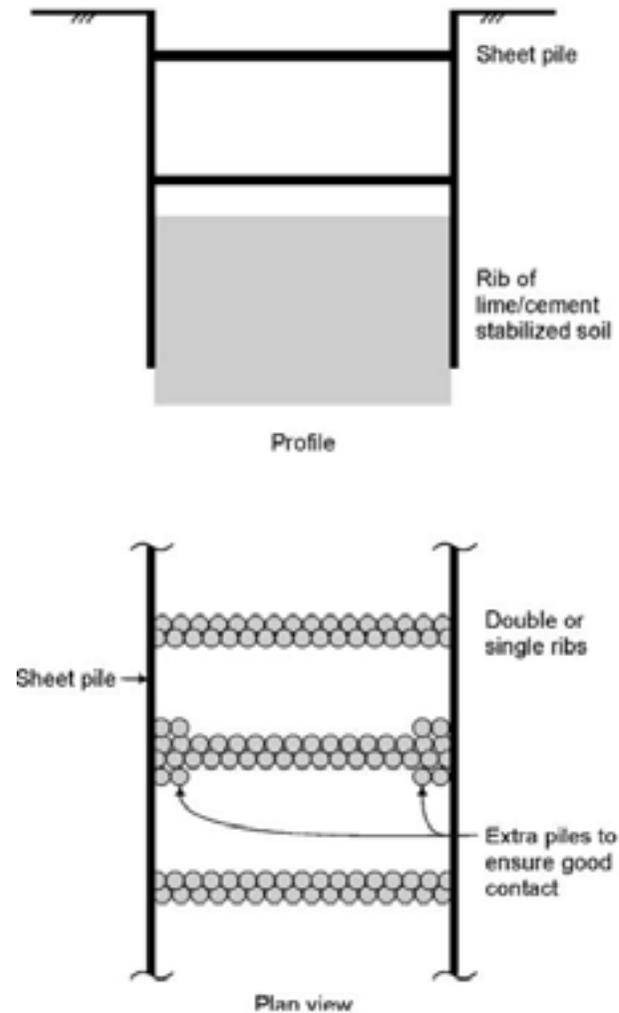
# Procedures for control and reduction of movements

- Bracing below the maximum excavation depth

Studenterlinden  
Oslo



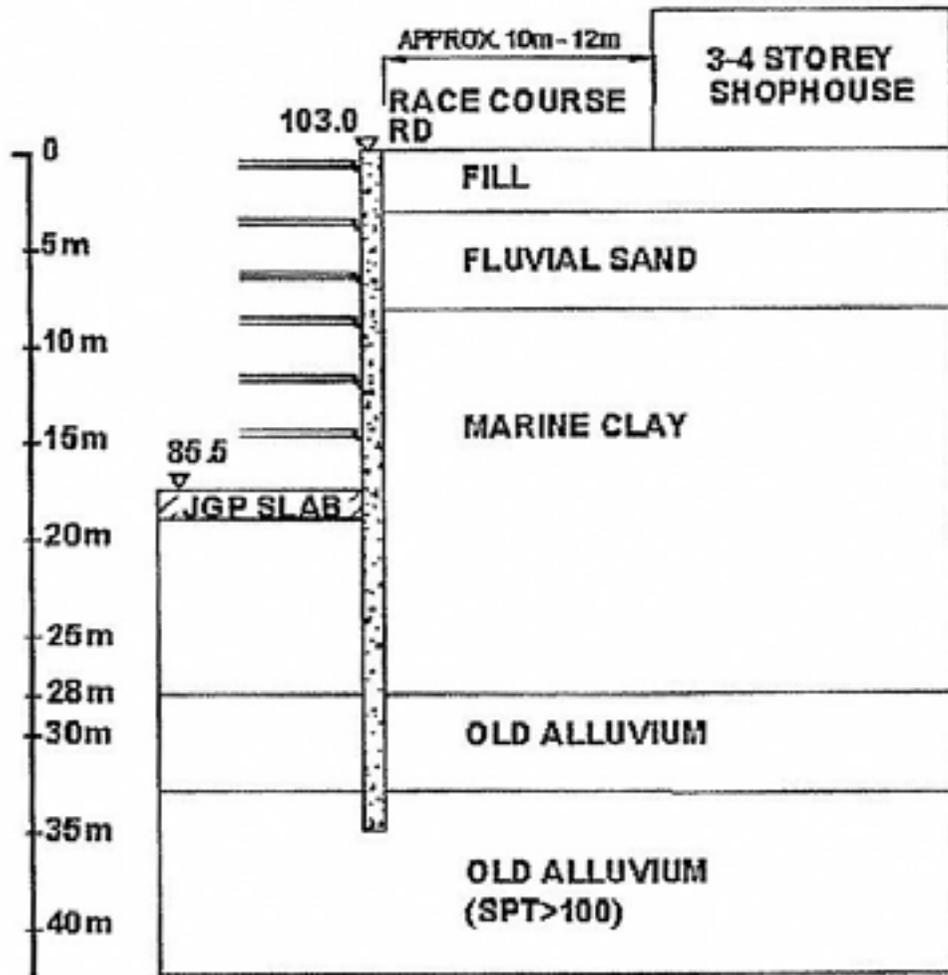
Diaphragm wall segments



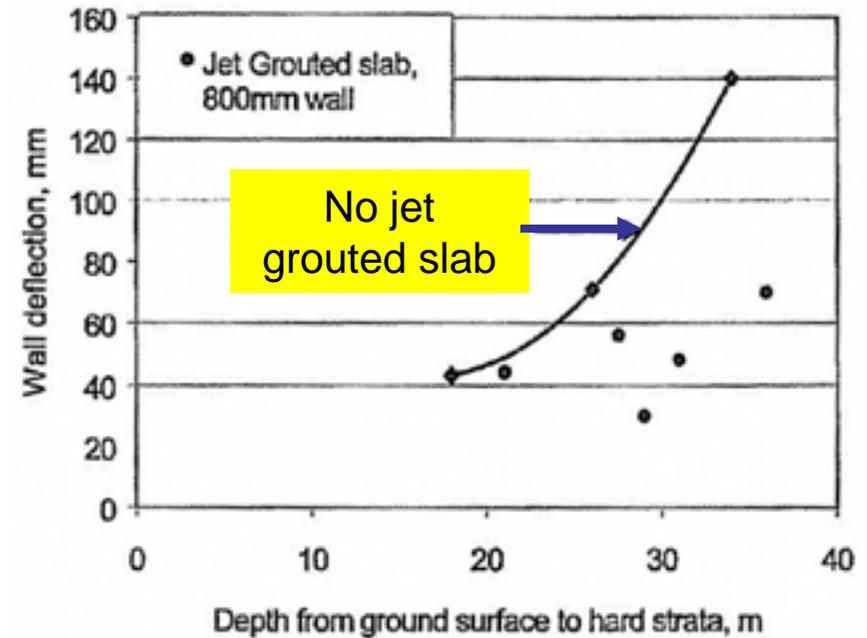
Lime-cement columns

# Procedures for control and reduction of movements

- Bracing below the maximum excavation depth
  - Jet grouting slabs or props



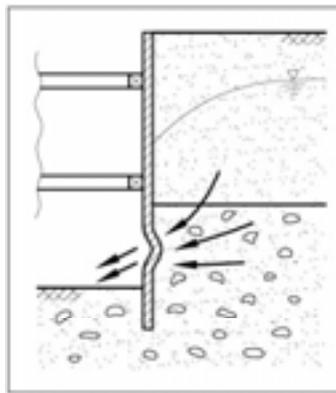
Race Course Road Metro  
Singapore



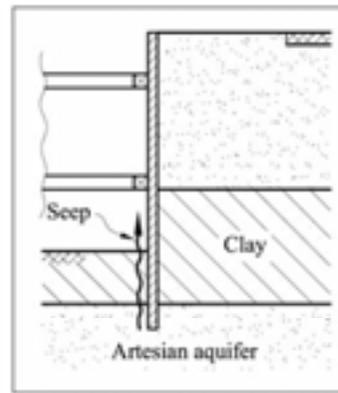
Lateral excavation movements  
in Singapore (Shirlaw, 2006)

# Procedures for control and reduction of movements

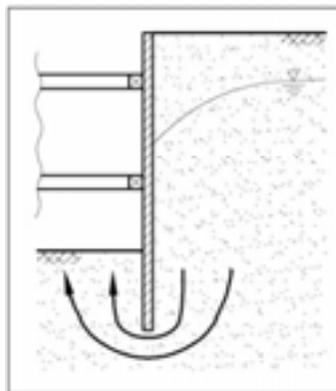
- Impervious wall and water flow control
  - The lack of water flow control or the changes in hydraulic conditions cause sort and long term ground movements



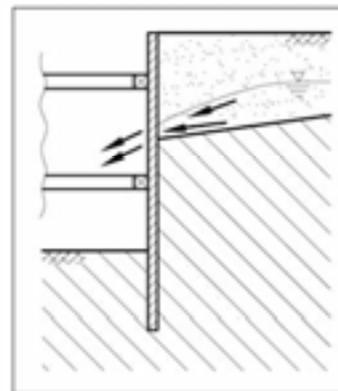
Flow through wall flaw



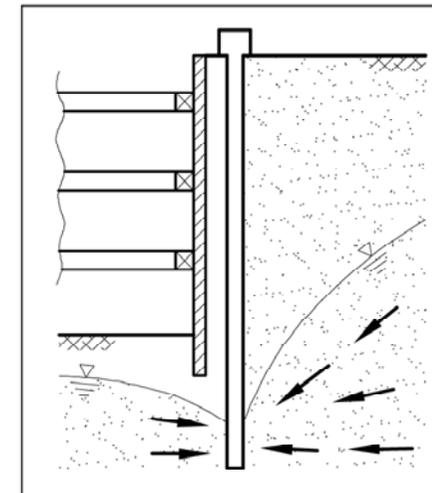
Flow along wall interface



Flow beneath wall



Flow from perched water



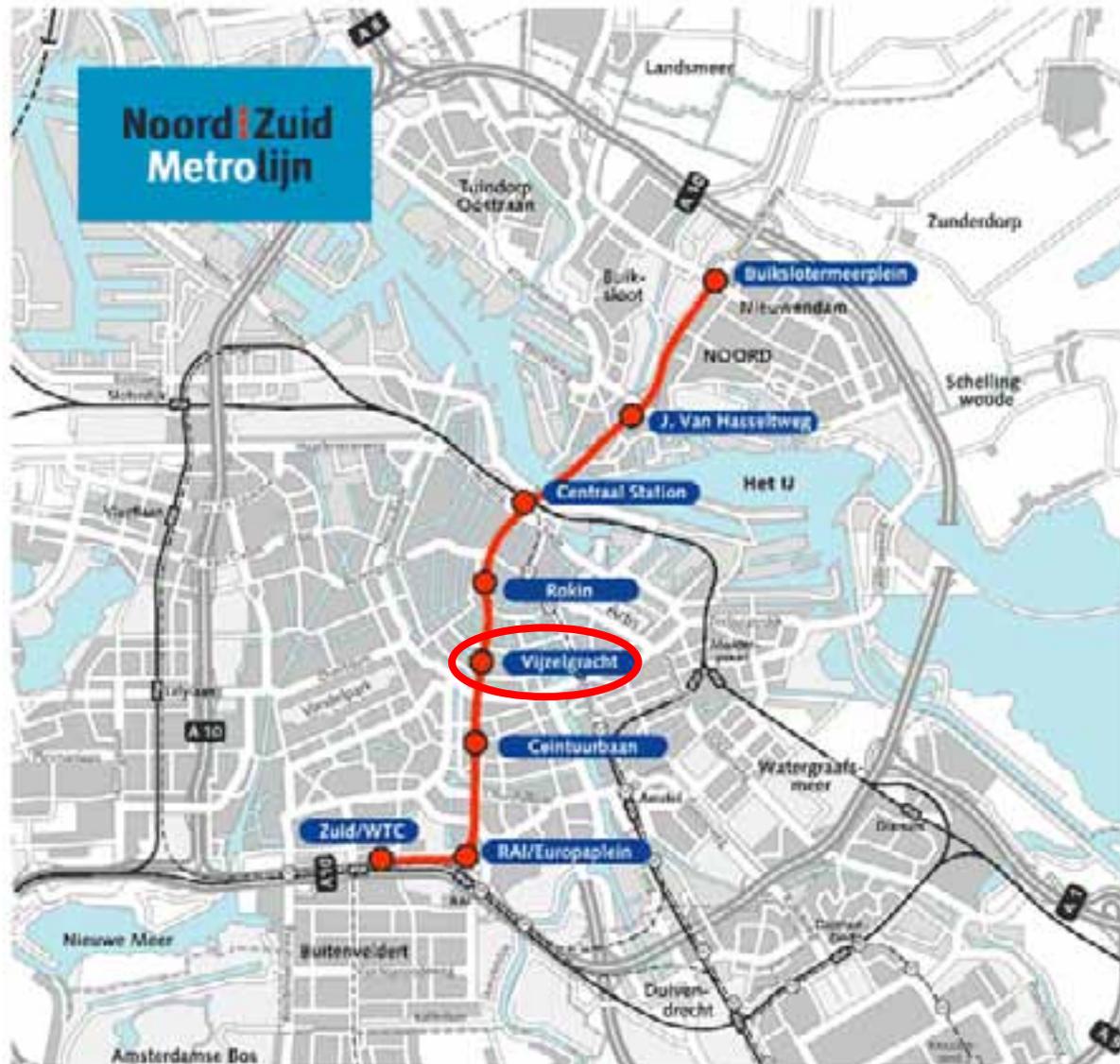
Flow caused by dewatering

Pumping

Sealing defects

# Procedures for control and reduction of movements

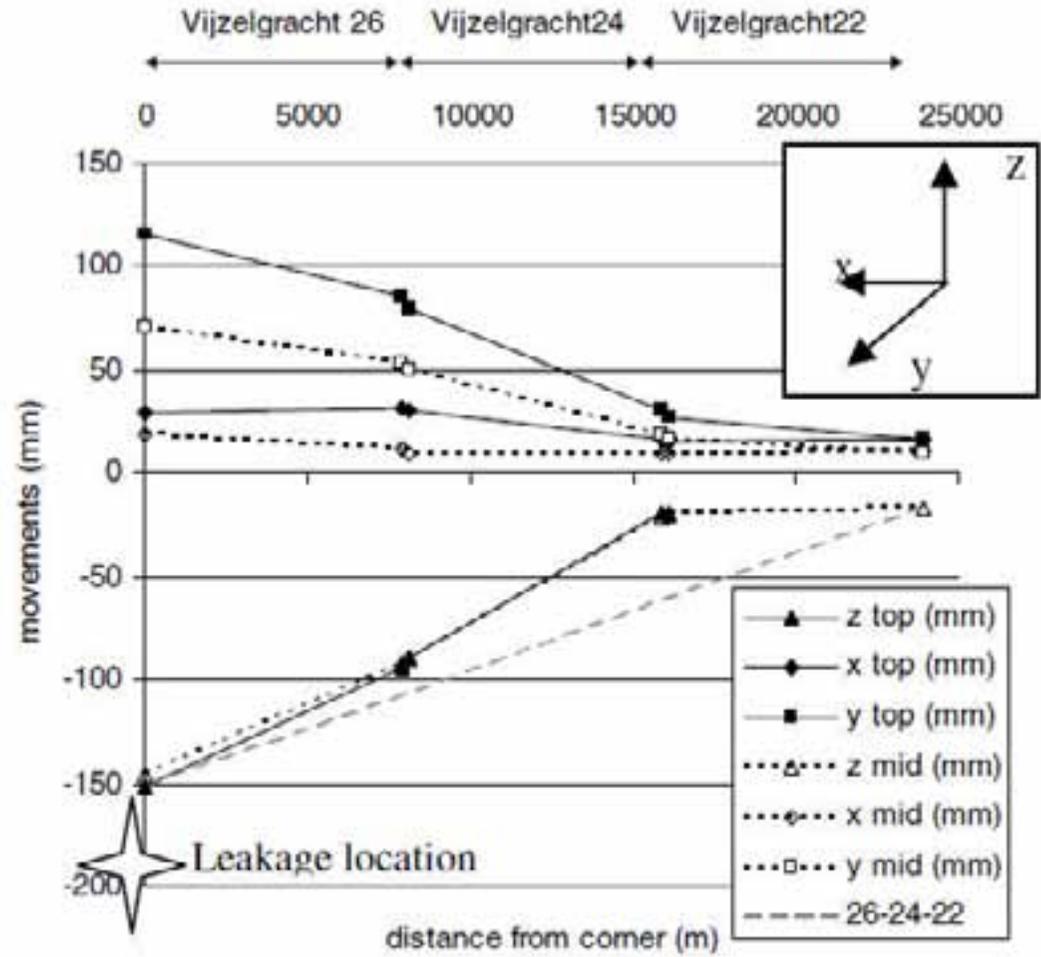
## ○ Amsterdam. North-South line



- Vijzelgracht station
  - 250 m long, 22 m wide, 31 m deep
  - Top down construction
  - 1.2 m thick diaphragm walls 45 m deep
  - 5.1 m long panels with steel stop ends

# Procedures for control and reduction of movements

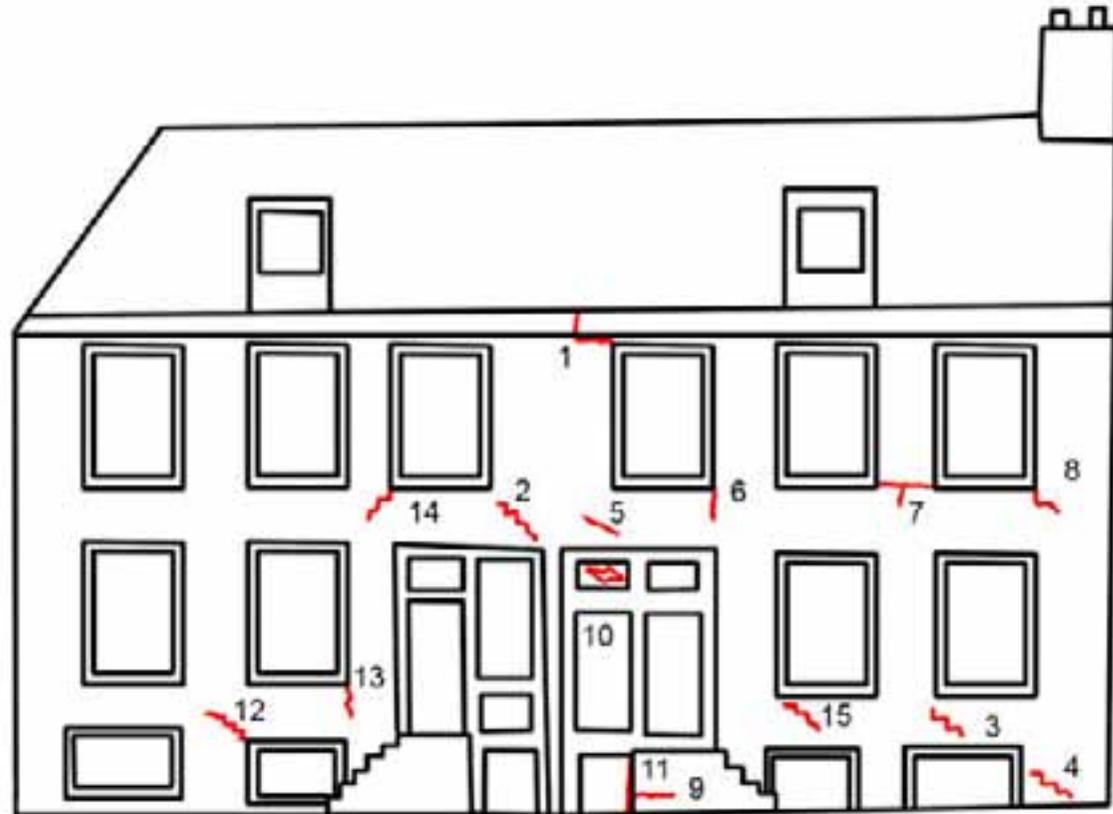
- Vijzelgracht station, North-South line, Amsterdam
  - Vijzelgracht, 26. Leakage due to a steel stop not being removed



(Korff et al., 2009)

## Procedures for control and reduction of movements

- Vijzelgracht station, North-South line, Amsterdam
  - Vijzelgracht, 26. Leakage due to a steel stop not being removed

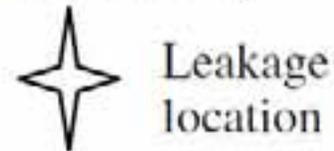
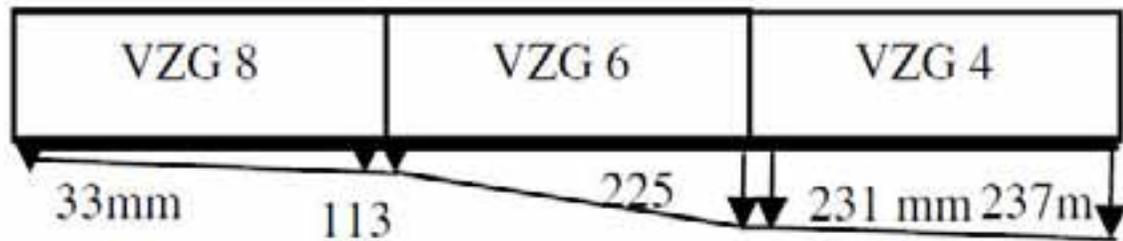


- Settlement due to ground loss
  - Tilt 1/78 and 1/184. *Severe to very severe damage*

(Korff et al., 2009)

## Procedures for control and reduction of movements

- Vijzelgracht station, North-South line, Amsterdam
  - Vijzelgracht, 4. Leakage due a large bentonite inclusion in the wall

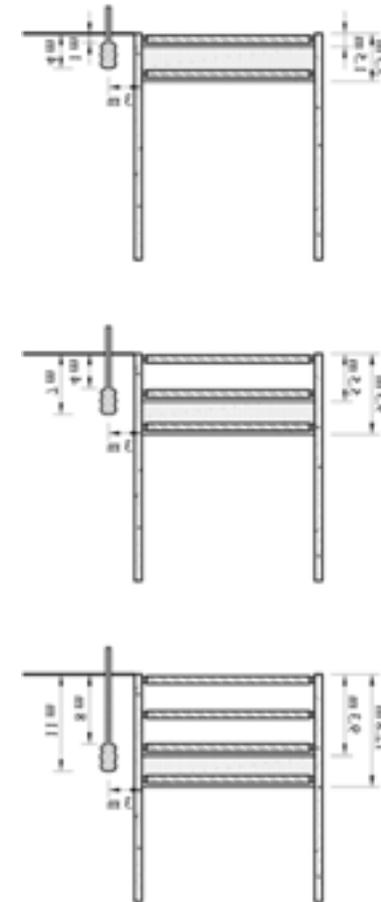
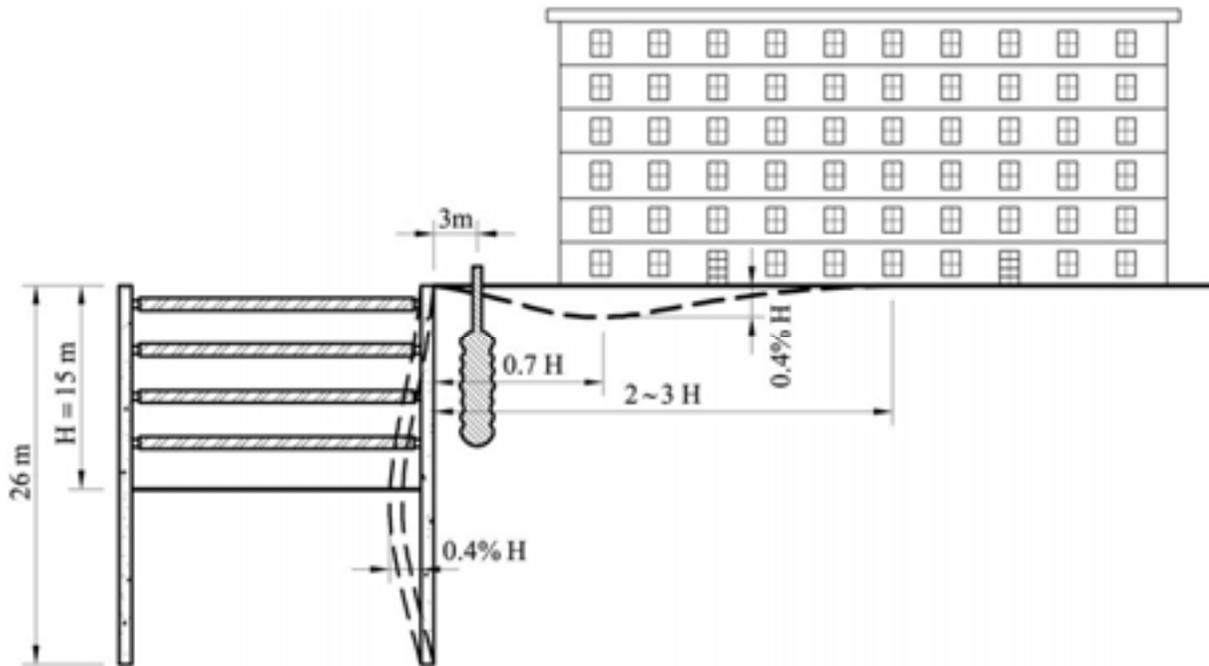


- Settlement due to ground loss
  - Tilt 1/38 and 1/70. *Severe to very severe damage*

(Korff et al., 2009)

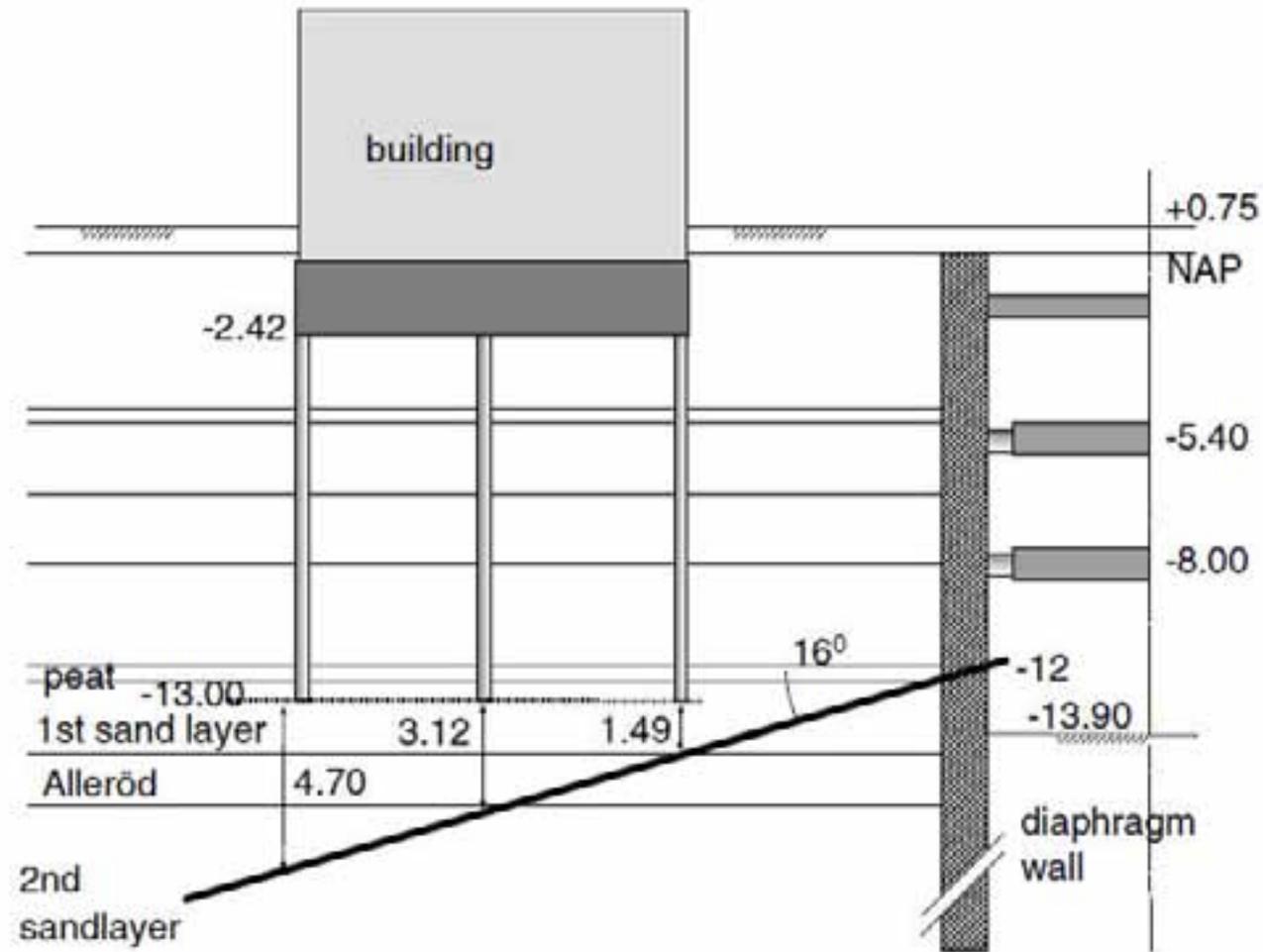
# Procedures for control and reduction of movements

- Compensation grouting
- Excavation in soft clay in Shanghai (Liu, 2003)
  - Risk of affecting the wall (injection is performed after the props have been installed)
  - It is generally counterproductive to perform compensation grouting in soft clays



## Procedures for control and reduction of movements

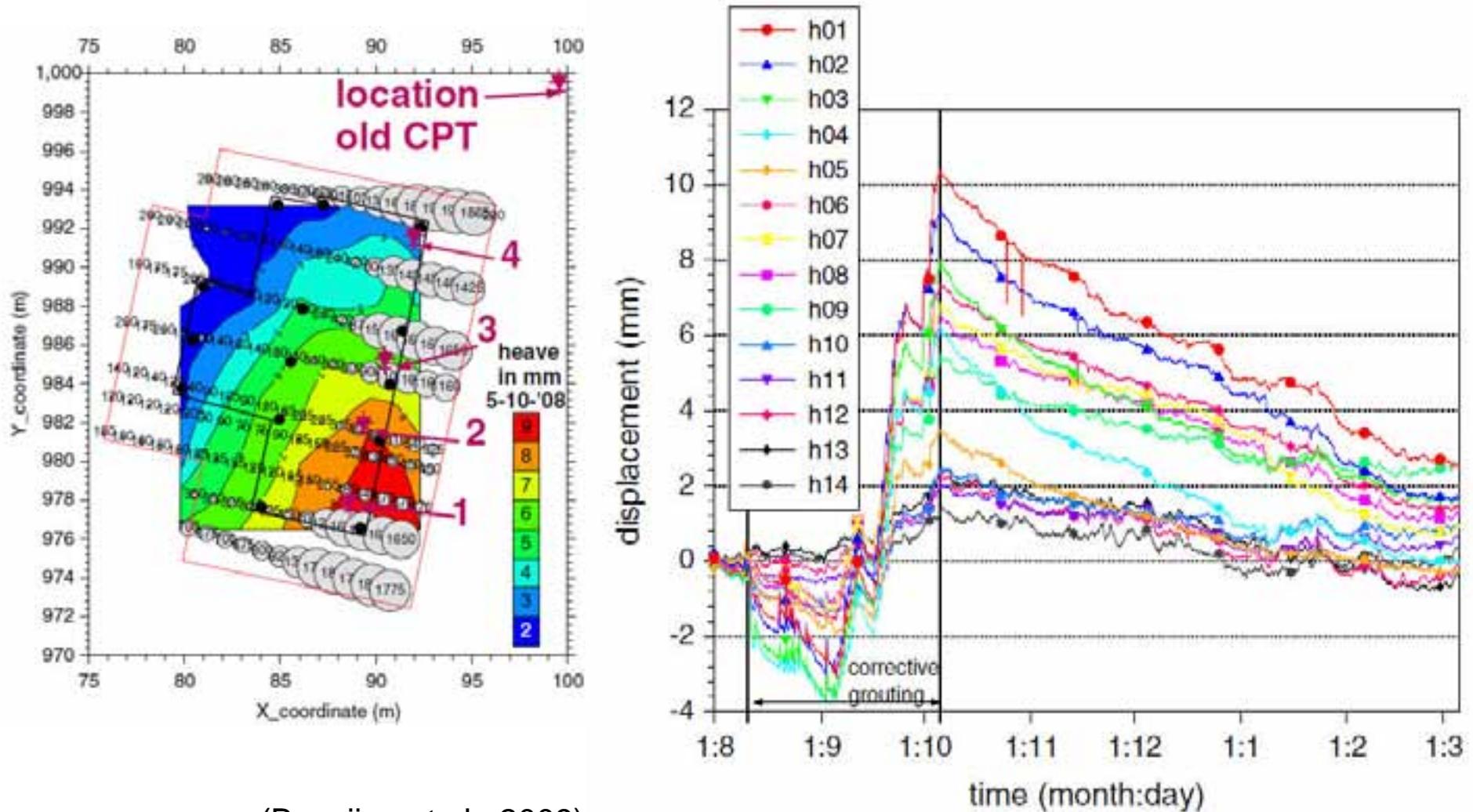
- Vijzelgracht station, North-South line, Amsterdam
  - Corrective grouting at Vijzelgracht, 22, 24, 26



(Bezuijen et al., 2009)

# Procedures for control and reduction of movements

- Vijzelgracht station, North-South line, Amsterdam
  - Corrective grouting at Vijzelgracht, 22, 24, 26



(Bezuijen et al., 2009)

## Esquema de la presentación

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- ❑ Introduction. Generation of ground movements by deep excavations
- ❑ Empirical methods for estimating ground movements
- ❑ Methods for calculating ground movements
- ❑ Procedures for control and reduction of movements
- ❑ A case history
- ❑ Excavations with large movements: announcing failure?
- ❑ Conclusions

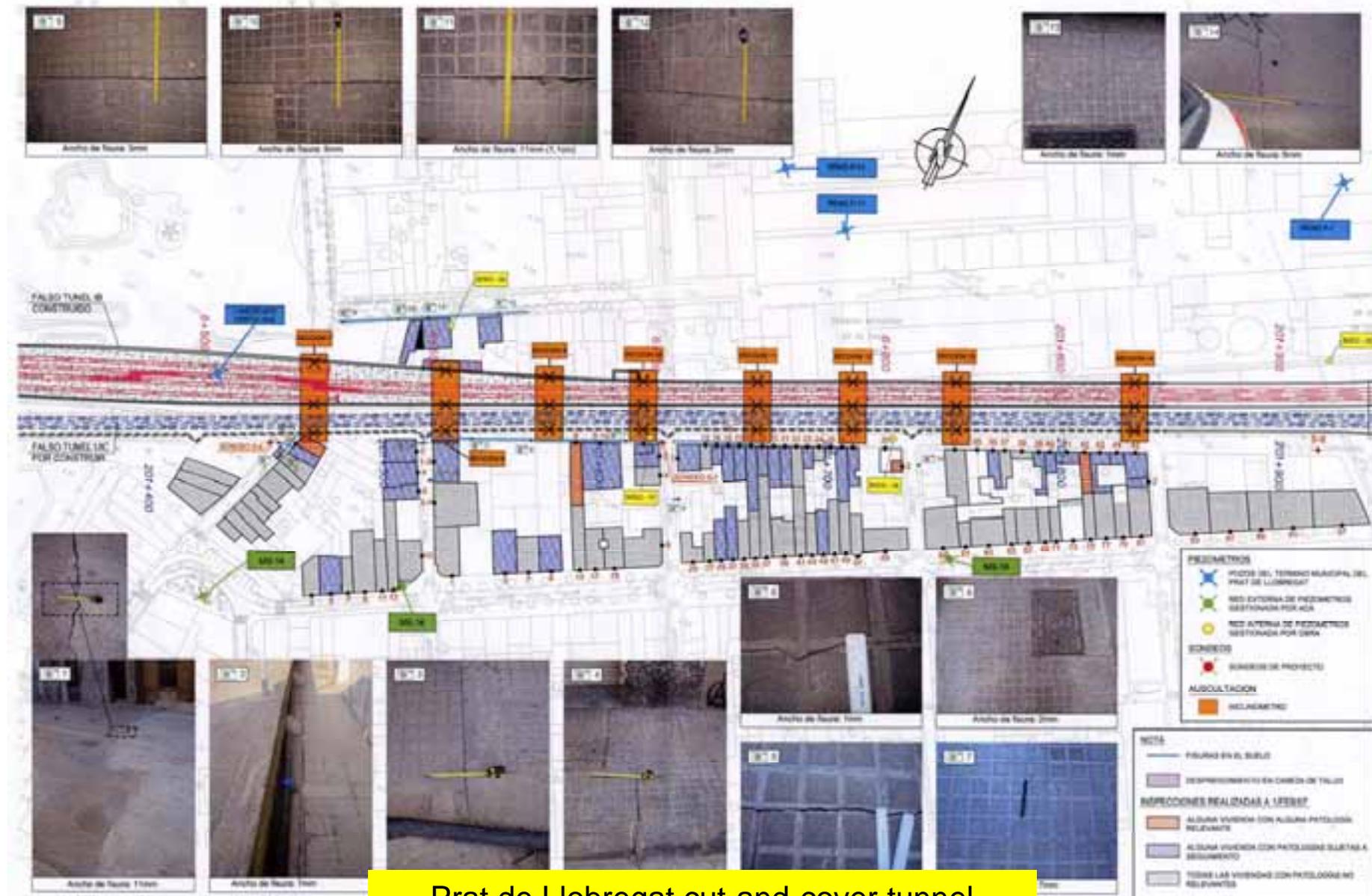
# Procedures for control and reduction of movements

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Prat de Llobregat cut-and-cover tunnel

# Procedures for control and reduction of movements



Prat de Llobregat cut-and-cover tunnel

# Procedures for control and reduction of movements

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Prat de Llobregat cut-and-cover tunnel

# What the papers say...

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Josep F.  
EL PRAT  
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Un tren passa davant les cases del carrer Major del Prat, ahir.

TEMOR POC PER ELS HABITANTS DEL BLOC LLIBREGAT

## Les garanties dels tècnics no tranquil·litzen els veïns

○ Els afectats denuncien que cada dia surten noves fissures

RODRIGUE WINKELS  
EL PRAT DE LLOBREGAT

Diguin el que diguin tècnics i altres experts sobre l'absència de perill, els veïns del Prat que dia darrere dia veuen aparèixer noves esquerdes i exemplars de les existents dins i fora dels setis pisos, ja no estan tranquils. «Aquest matí m'he aixecat a les cinc i he sortit al carrer. No podia dormir més. Tant al bany com a la cuina, l'alumini s'està separant de les parets cada vegada més», deia ahir Pepi Bautista, veïna del número 2 del carrer de Manuel

Bertrand, un dels edificis més malmesos. A la paret mitgera que dona a les vies del tren, Joan Ribas assenyalava quatre noves esquerdes que un parell de dies abans encara no es veïen. Pot ser que no totes les fissures siguin noves; que la goma, fixant-s'hi molt, trobi de sobte moltes més esquerdes que abans no veia, però l'entorn de l'epicentre no ofereix dubtes que la zona afectada està creixent de manera preocupant.

INVENTARI MUNICIPAL. Després que el cas rotllí dissabte a la llum pública, cada vegada són més els veïns que s'acosten a l'ajuntament per denunciar esquerdes a les seves vivendes. Fins divendres passat hi constaven 14 casos concrets, però aquest nom-

bre ja va créixer ahir i segurament anirà en augment en els pròxims dies. L'ajuntament diu que cada denúncia és revisada in situ per tècnics municipals per fer així un inventari de les esquerdes. Segons el tinent d'alcaldia i regidor d'Urbanisme, Sergi Alegre, que va recomenar l'extraordinària complexitat de subòl del Prat «per això ho sabem totes les construccions», les inspeccions han descartat danys estructuralment fals.

Però a més de les esquerdes aparegudes ara, la gran preocupació és la segona fase de les obres, quan s'excavarà un altre fals túnel. Mentre que el primer, acabat al novembre, dis corre a 15 metres dels blocs del Nucli Antic, el segon passarà a tot just dos metres. ■



## Los vecinos de El Prat afectados por el AVE amenazan con...

## Vecinal por los informes las grietas por el AVE

datos relevantes en siete inmuebles

daños "no preocupan. La mayoría, más de dos, tienen lesiones "no antes", como manchas, hinchazón o microfisuras, o una patología. Estos datos no convencen a los vecinos. Según la presidenta de la Asociación del Antic, Rosario Amador, dicen que hay siete viviendas afectadas cuando el Ayuntamiento tiene más de 10 censadas con problemas. La indignación vecinal radica en que se hacen hechos públicos los resultados del informe sin haber-

los hecho llegar antes ni a vecinos ni a Ayuntamiento.

En cuanto al estudio encargado a la Universitat Politècnica de Catalunya, constata que las lesiones de las viviendas tienen origen en las obras, concretamente en los "movimientos de tierra inducidos por la deformación de las pantallas" colocadas para la construcción de los túneles, pero concluye que no entrañan "riesgo de ruptura o de fallo estructural" en los edificios examinados. Además, todas las lesiones "no superan la cate-

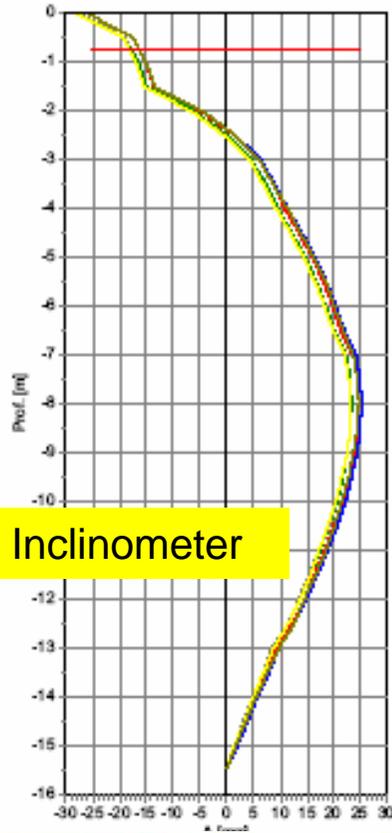
goría de ligeras" y se han producido en edificios de planta baja construidos en los años 50, "de estructura muy simple y posiblemente de cimentación sucinta". El presidente de Adif se comprometió a reparar todos los daños ocasionados si se constataba su responsabilidad, como así ha sido.

El estudio propone que para la construcción del próximo túnel se controle mejor el nivel freático, que es muy elevado en la zona, y que se refuerce el sistema constructivo con barras horizontales para que el problema no se reproduzca. González avanzó que se mantendrá toda la instrumentación de pantallas prevista en el proyecto y la obra se seguirá mediante la observación "continua" de las pantallas existentes.

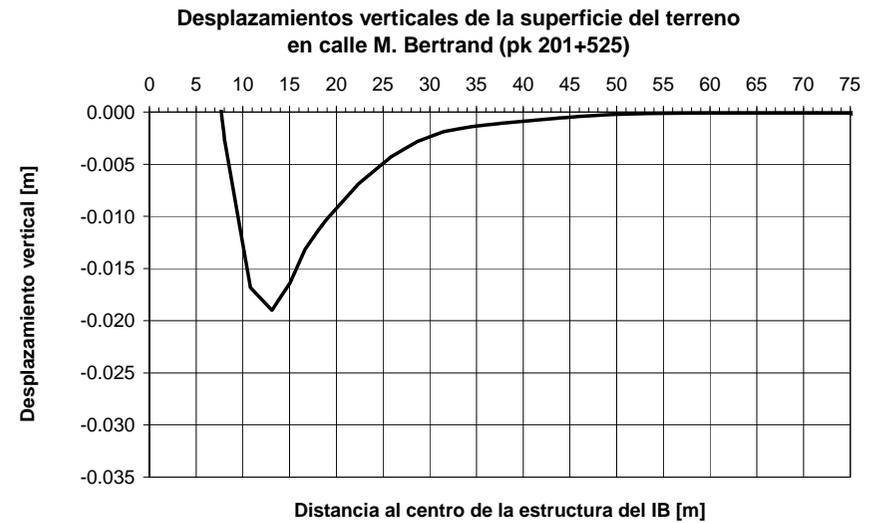
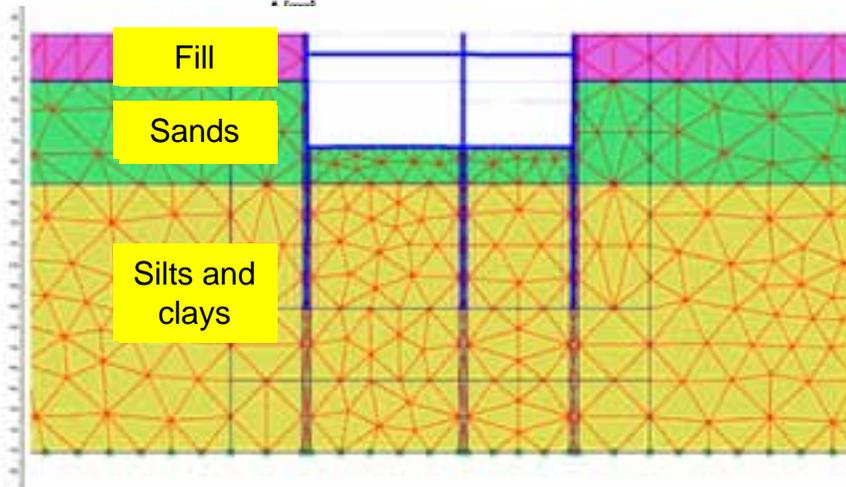
El plano muestra la amplitud de una grieta aparecida en...

Adif asegura que cumple con su parte, informó de que iba cargado con todos los compromisos y que no se iba a ir a ningún lado. Además, aseguró que los vecinos que vivían más cerca de las obras, y en el caso de El Prat y a Adif se le facilitó la construcción de un túnel que asegurase a los vecinos del resultado del estudio de la UPC -sin conclusiones- que deberá determinar el tipo de las grietas, que...

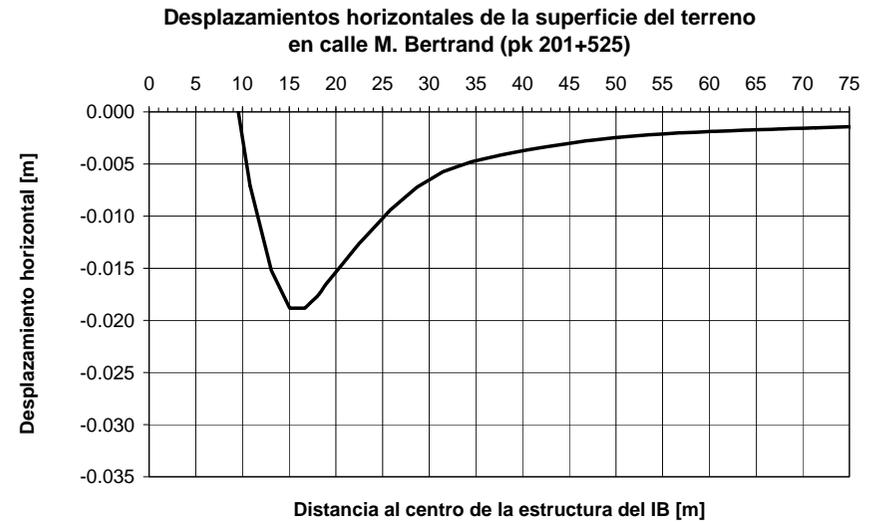
# Procedures for control and reduction of movements



Inclinometer

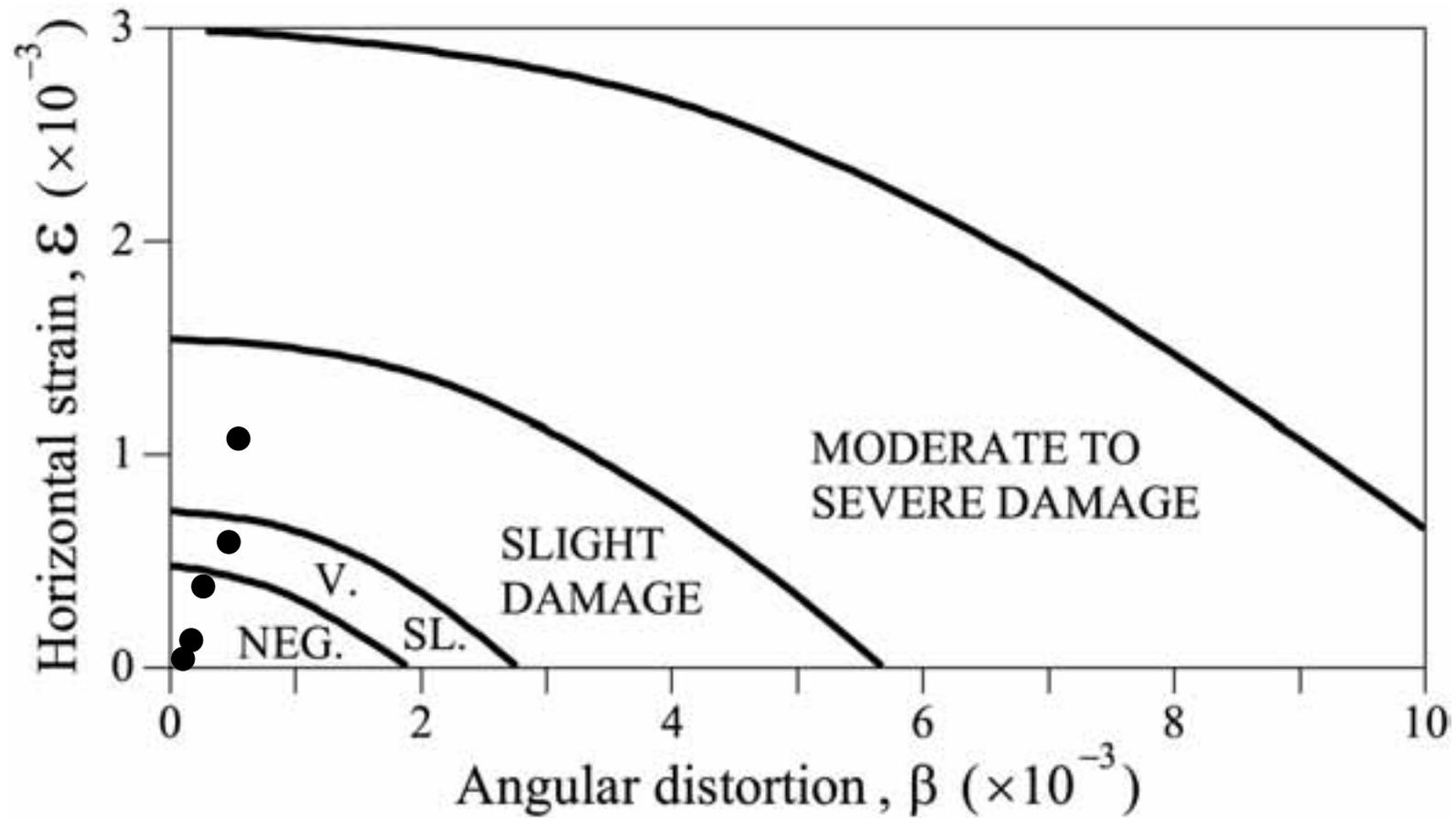


Computed settlements

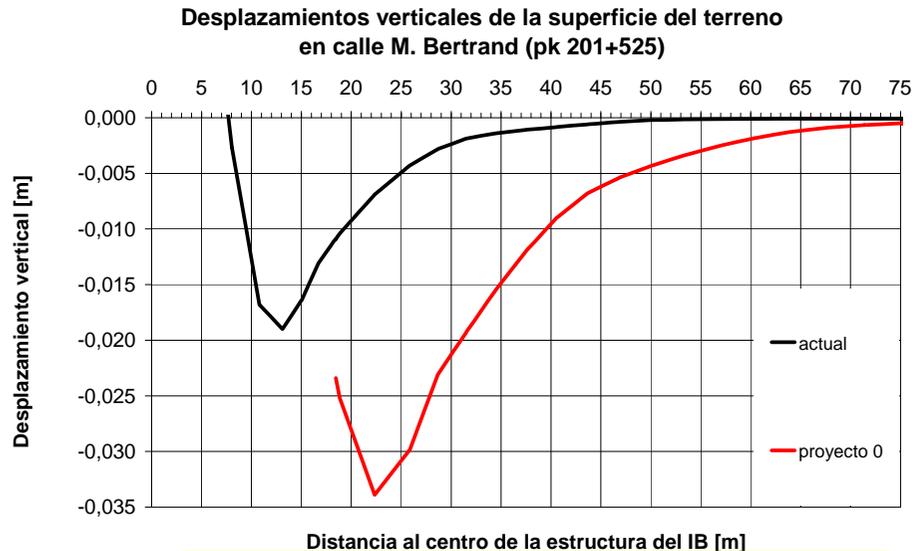


Computed horizontal displacements

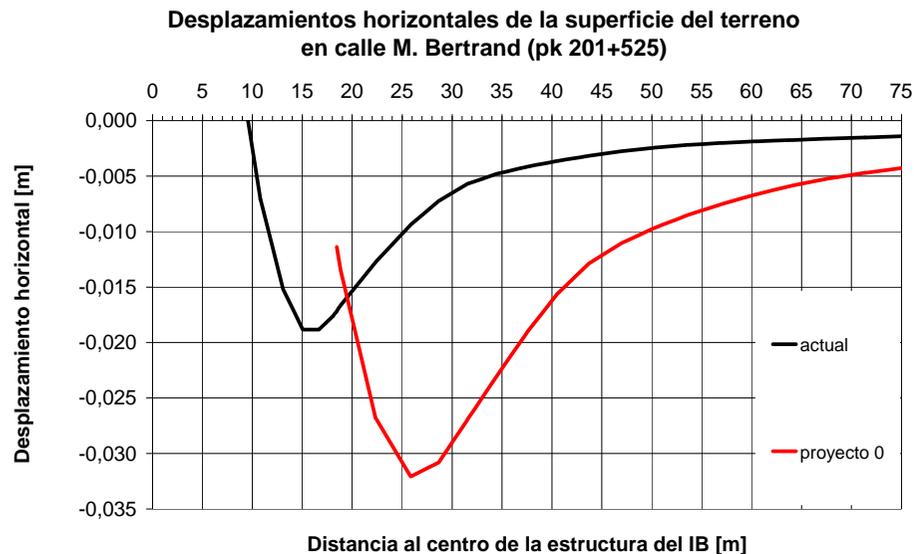
## Procedures for control and reduction of movements



# Procedures for control and reduction of movements



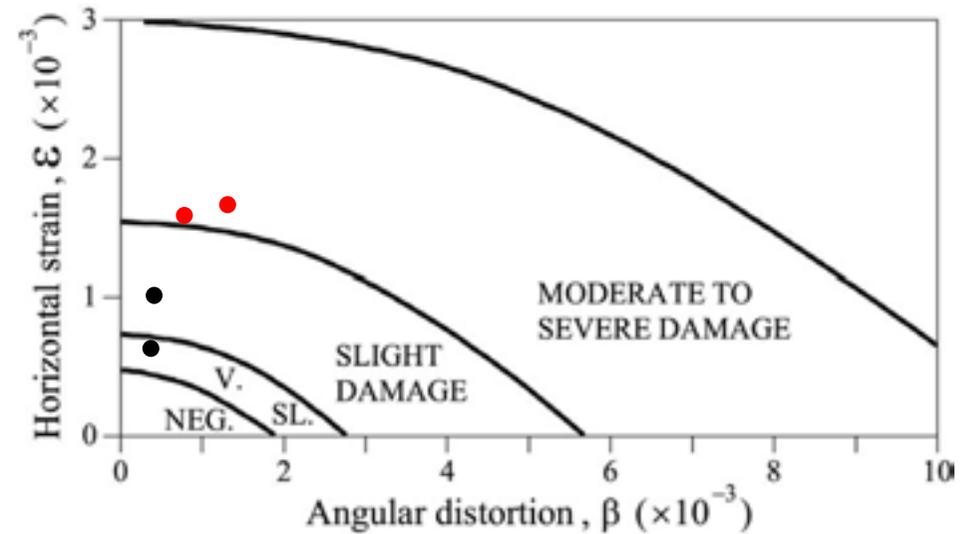
Computed settlements



Computed horizontal displacements

○ Project 0

➤ No additional measures



# Procedures for control and reduction of movements

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## ○ Possible additional measures

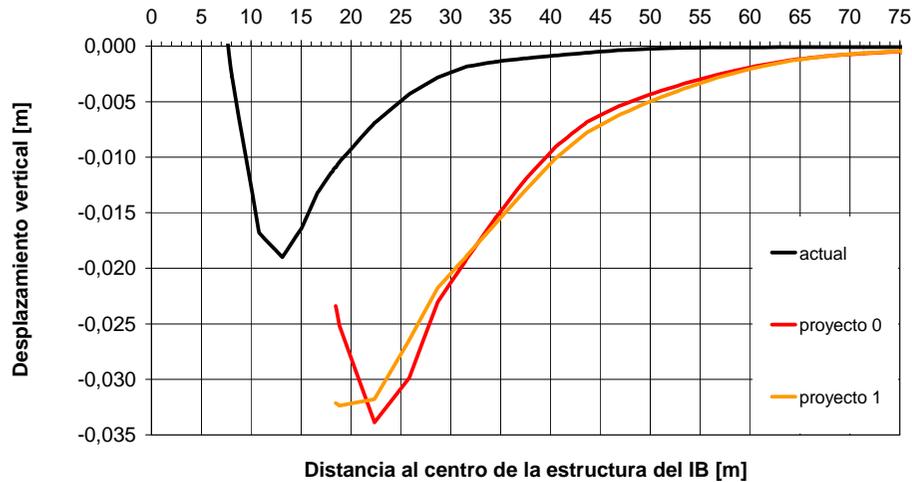
- Increase wall thickness
- Install additional props
- Increase wall length
- Embed the diaphragm wall in a stiff layer
- Install bracing below the maximum excavation depth (jet grouting)

## ○ Cases examined

- Project 0: No additional measure
- Project 1: 1.20 m wall thickness (UIC2)
- Project 2: Project 1 + Temporary intermediate prop
- Project 3: Project 2 + wall depth to elevation -27
- Project 4: Project 2 + wall depth to elevation -34
- Project 5: Project 3 + bracing below the maximum excavation depth

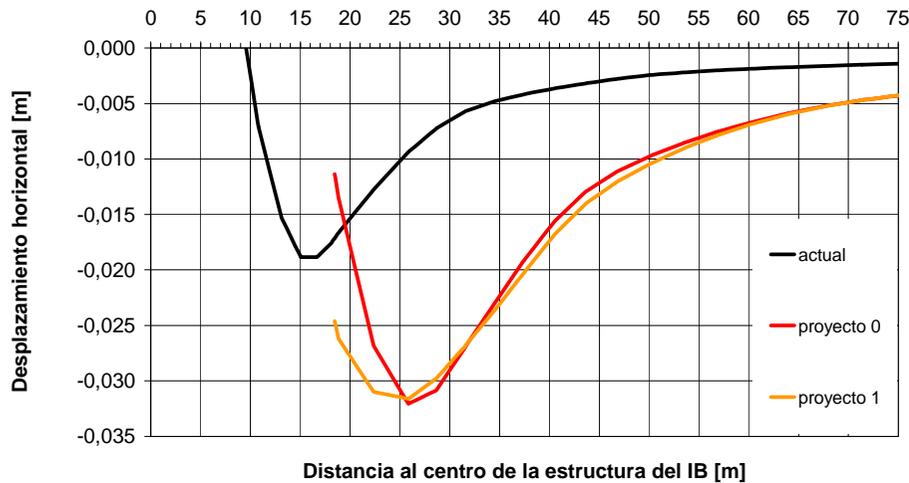
# Procedures for control and reduction of movements

Desplazamientos verticales de la superficie del terreno en calle M. Bertrand (pk 201+525)



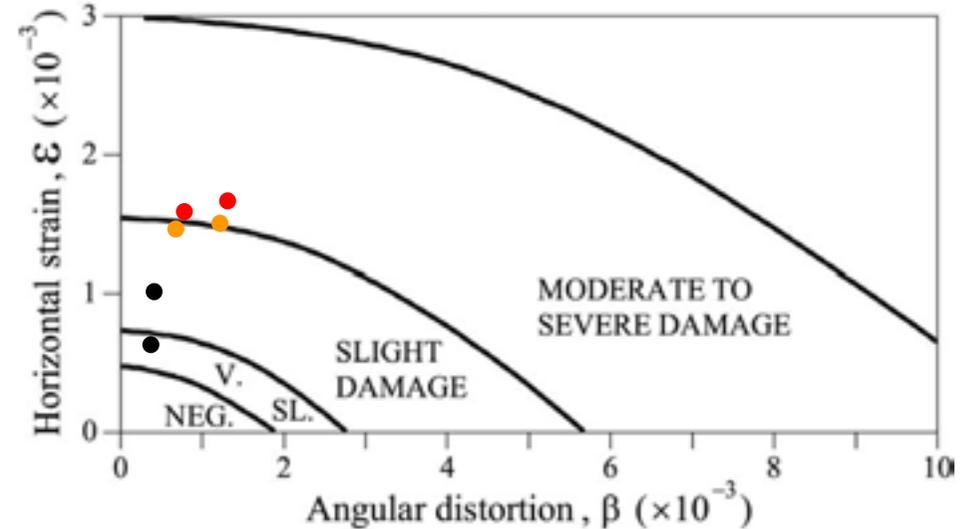
Computed settlements

Desplazamientos horizontales de la superficie del terreno en calle M. Bertrand (pk 201+525)

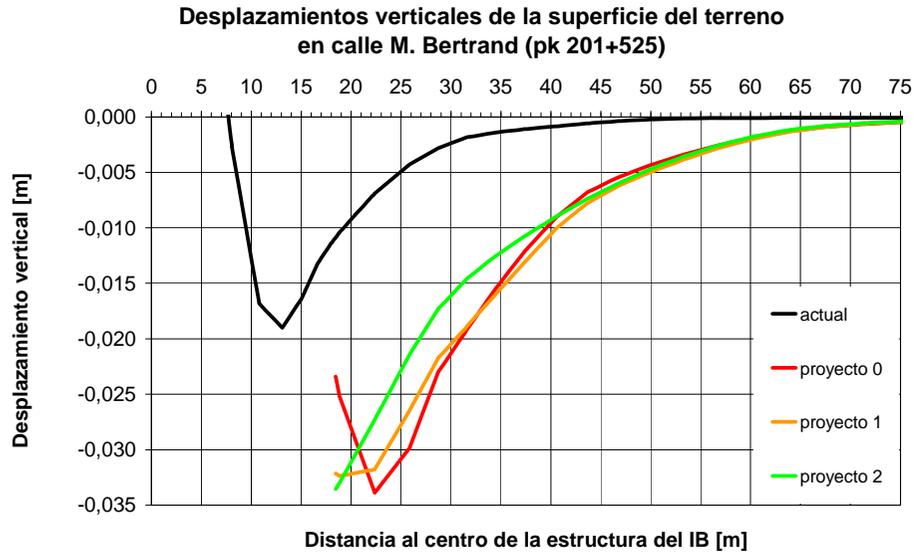


Computed horizontal displacements

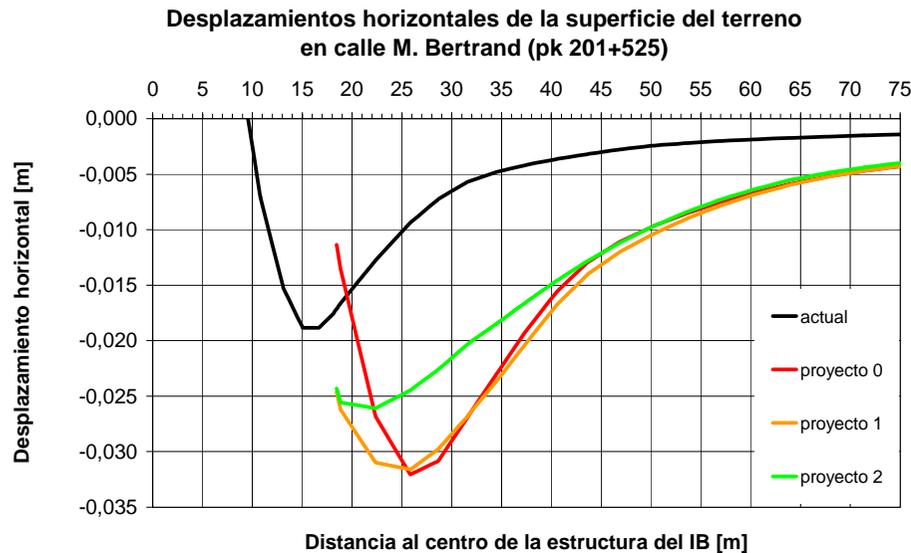
- Project 0
  - No additional measures
- Project 1
  - Wall thickness: 1.2 m



# Procedures for control and reduction of movements

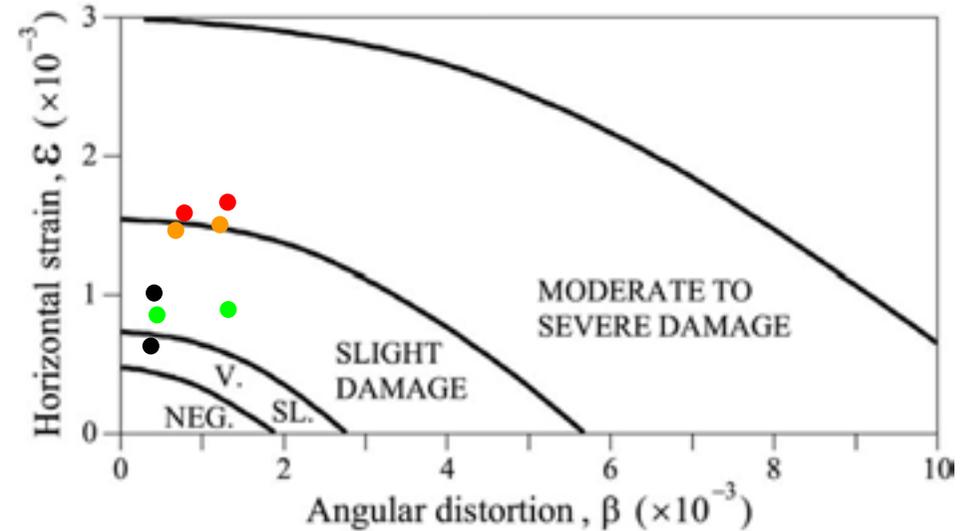


Computed settlements

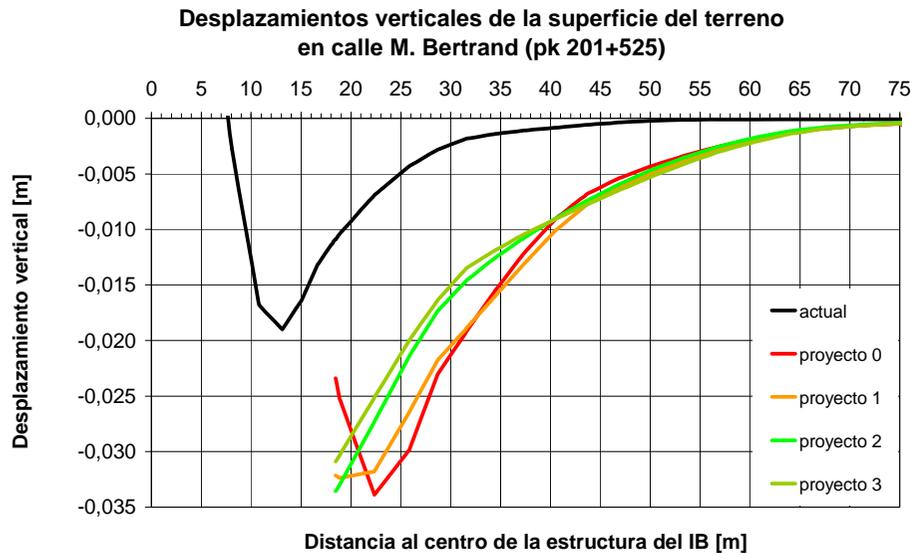


Computed horizontal displacements

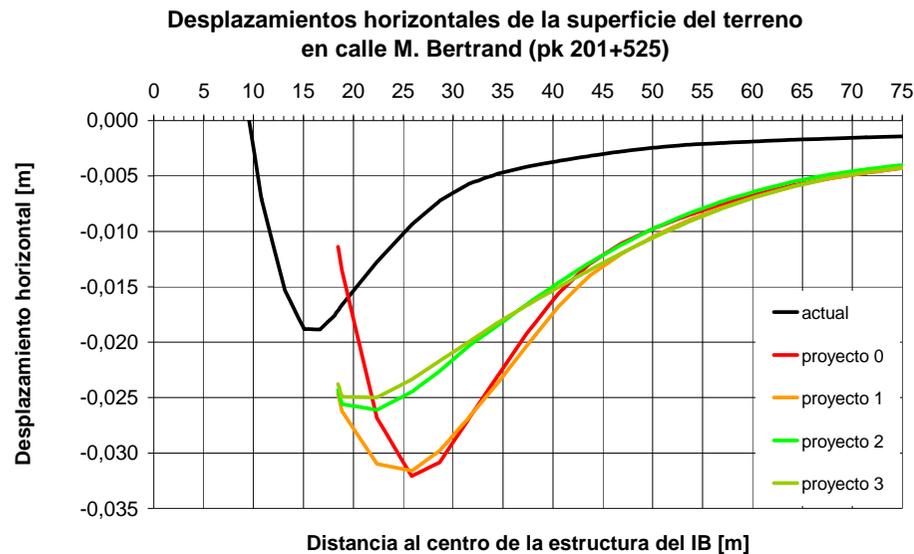
- Project 0
  - No additional measures
- Project 1
  - Wall thickness: 1.2m
- Project 2
  - Project 1 + additional prop



# Procedures for control and reduction of movements

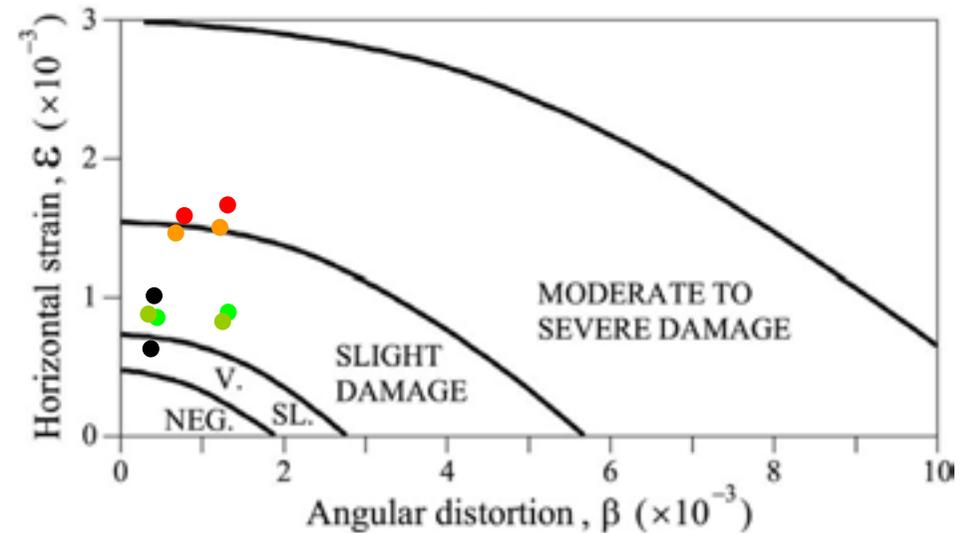


Computed settlements



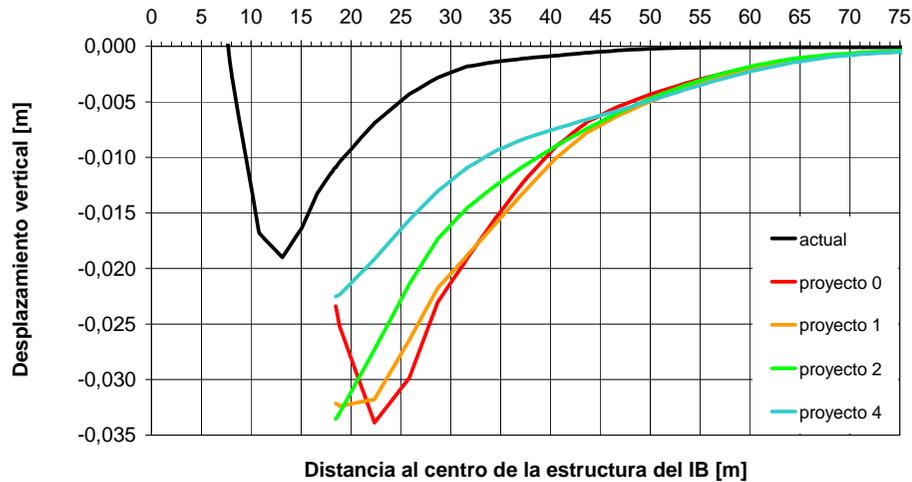
Computed horizontal displacements

- Project 0
  - No additional measures
- Project 1
  - Wall thickness: 1.2m
- Project 2
  - Project 1 + additional prop
- Project 3
  - Project 2 + wall to elevation -27



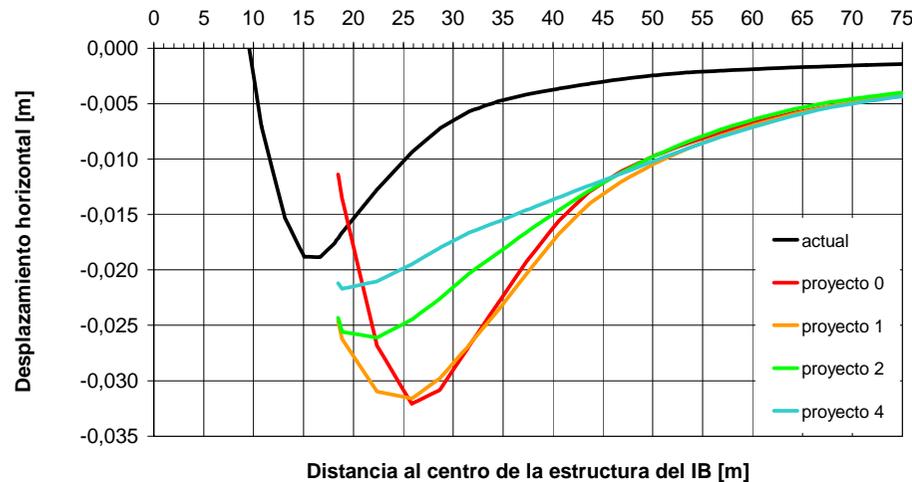
# Procedures for control and reduction of movements

Desplazamientos verticales de la superficie del terreno en calle M. Bertrand (pk 201+525)



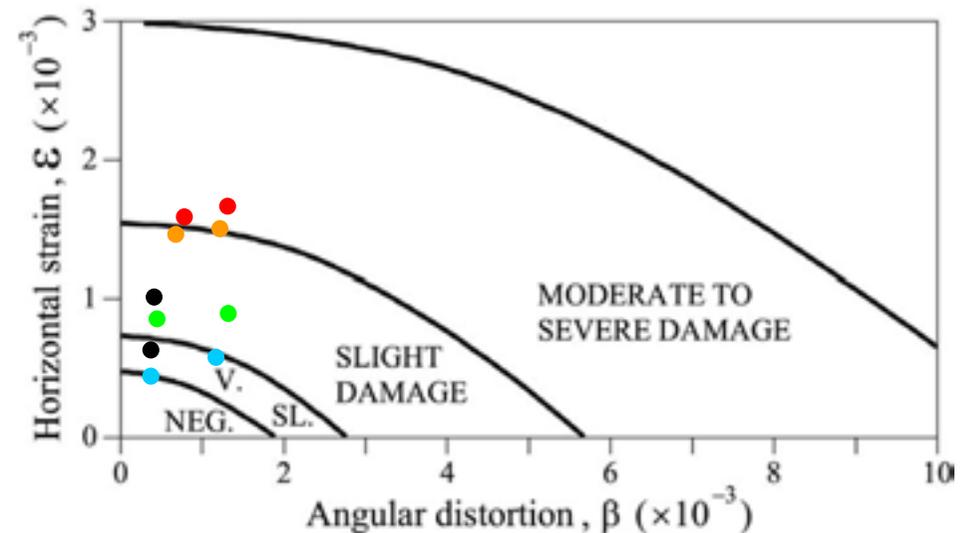
Computed settlements

Desplazamientos horizontales de la superficie del terreno en calle M. Bertrand (pk 201+525)

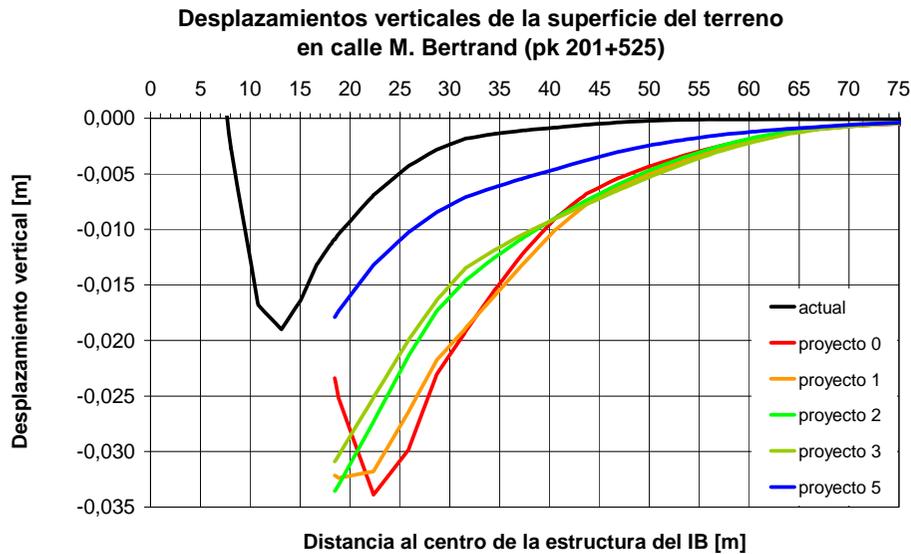


Computed horizontal displacements

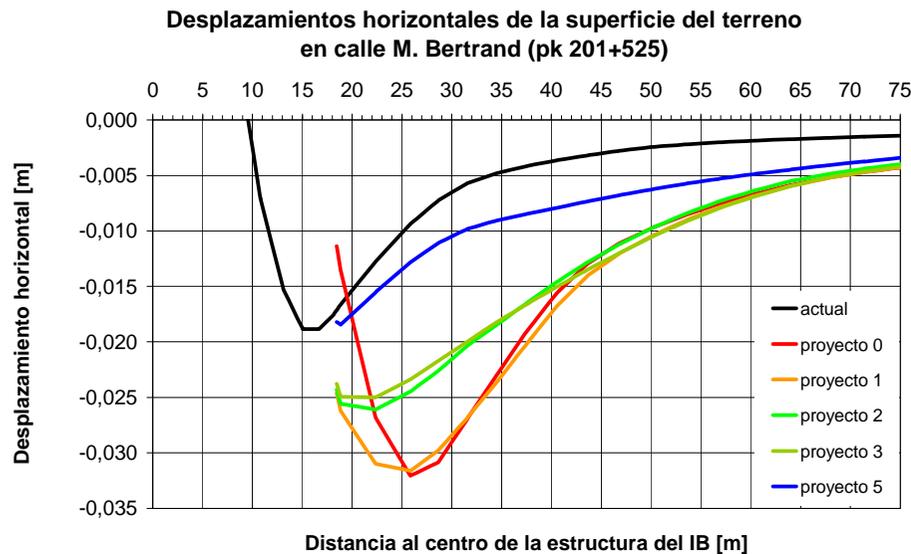
- Project 0
  - No additional measures
- Project 1
  - Wall thickness: 1.2m
- Project 2
  - Project 1 + additional prop
- Project 4
  - Project 2 + wall to elevation -34



# Procedures for control and reduction of movements

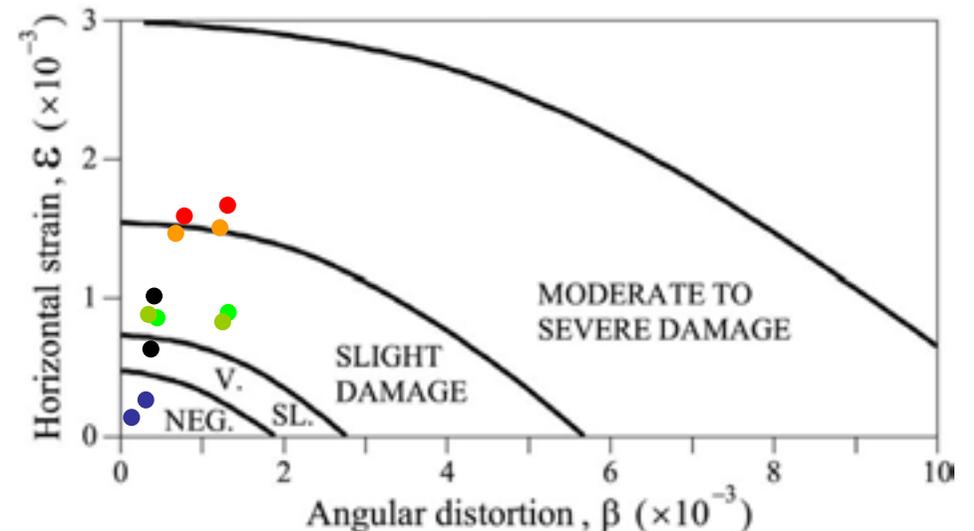


Computed settlements



Computed horizontal displacements

- Project 0
  - No additional measures
- Project 1
  - Wall thickness: 1.2m
- Project 2
  - Project 1 + additional prop
- Project 3
  - Project 2 + wall to elevation -27
- Project 5
  - Project 3 + Jet grouting prop



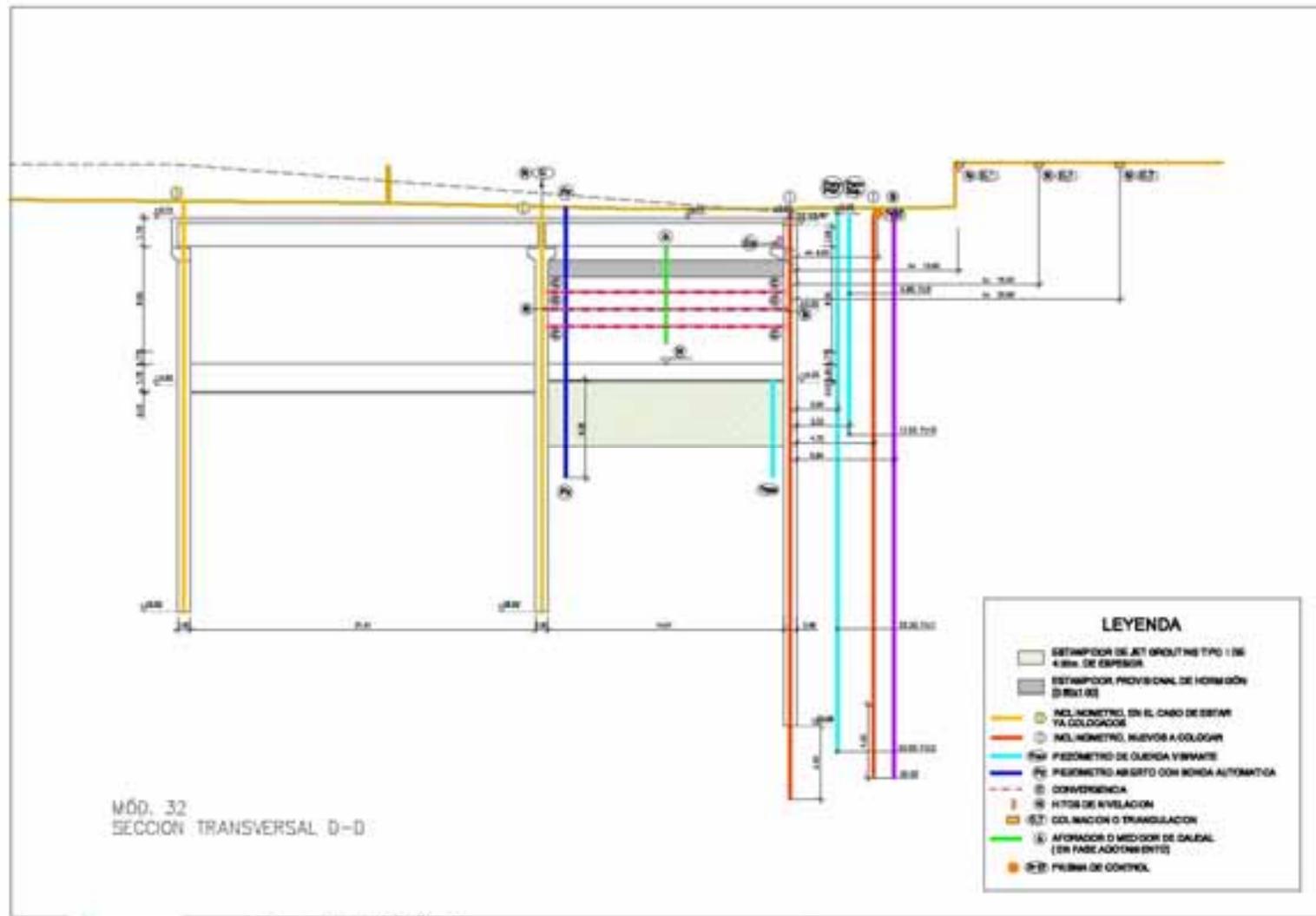
# Procedures for control and reduction of movements

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Trial sections

# Procedures for control and reduction of movements



Adopted construction procedure

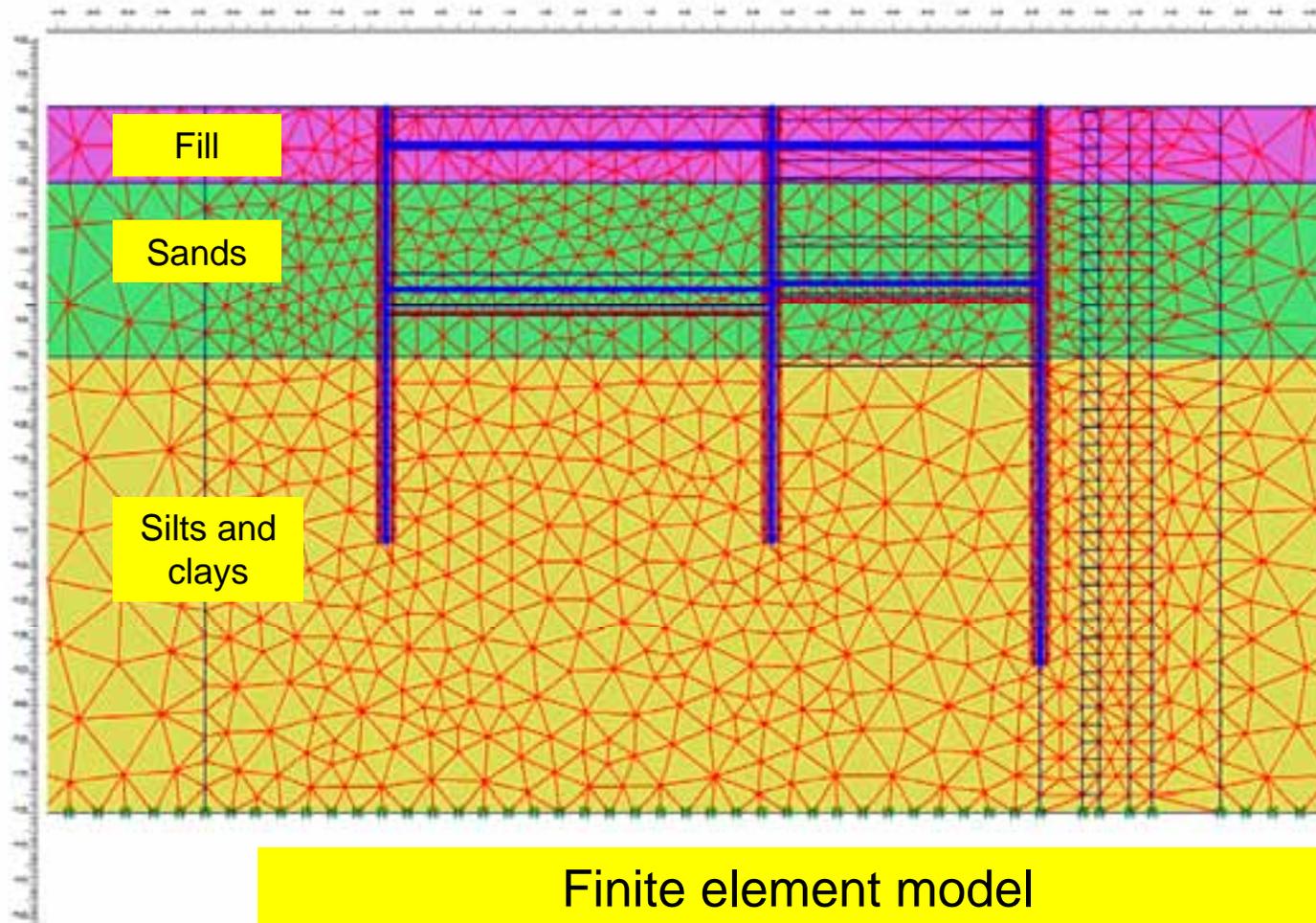
# Procedures for control and reduction of movements



Jet grouting props below maximum excavation depth

# Procedures for control and reduction of movements

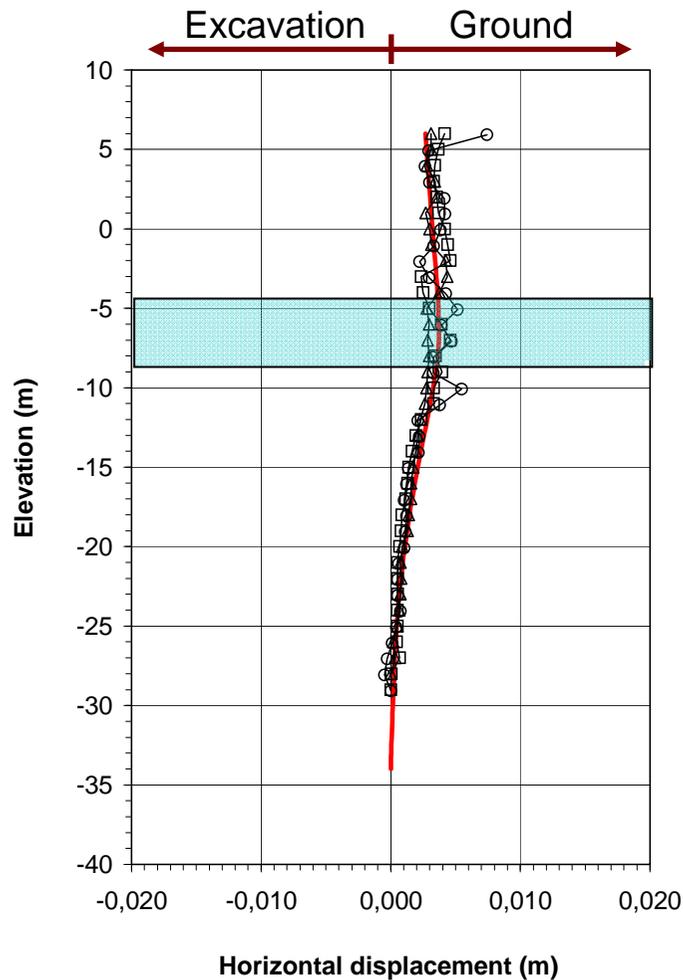
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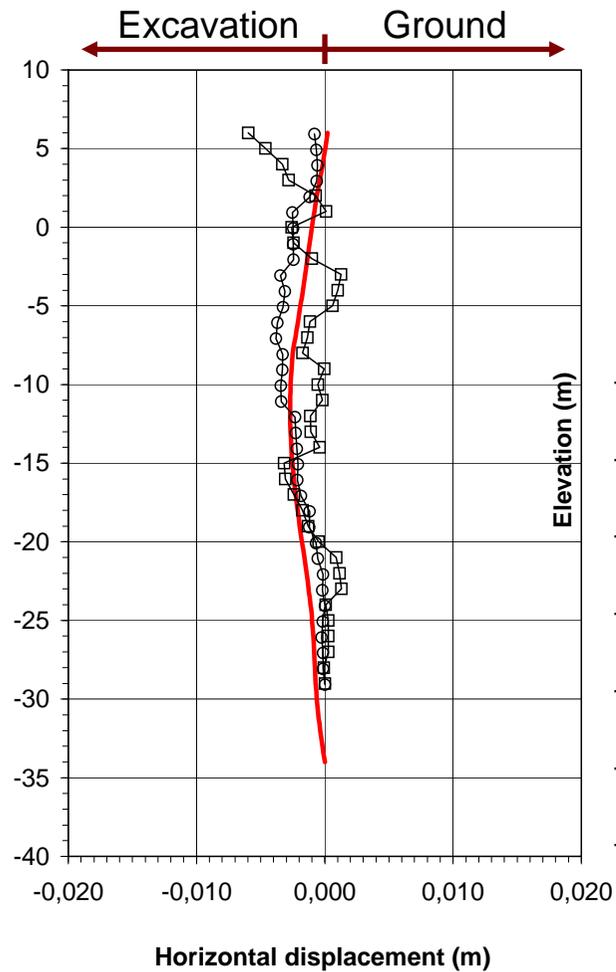
- “Hardening Soil model” for all layers

# Procedures for control and reduction of movements

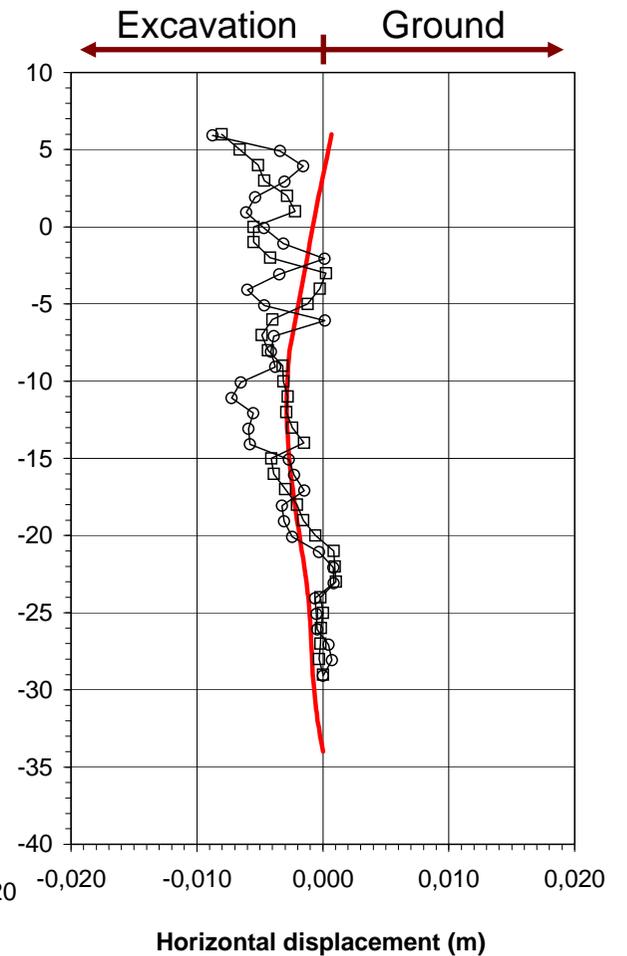
- Observations and model calibration. Ground inclinometers



Jet-grouting

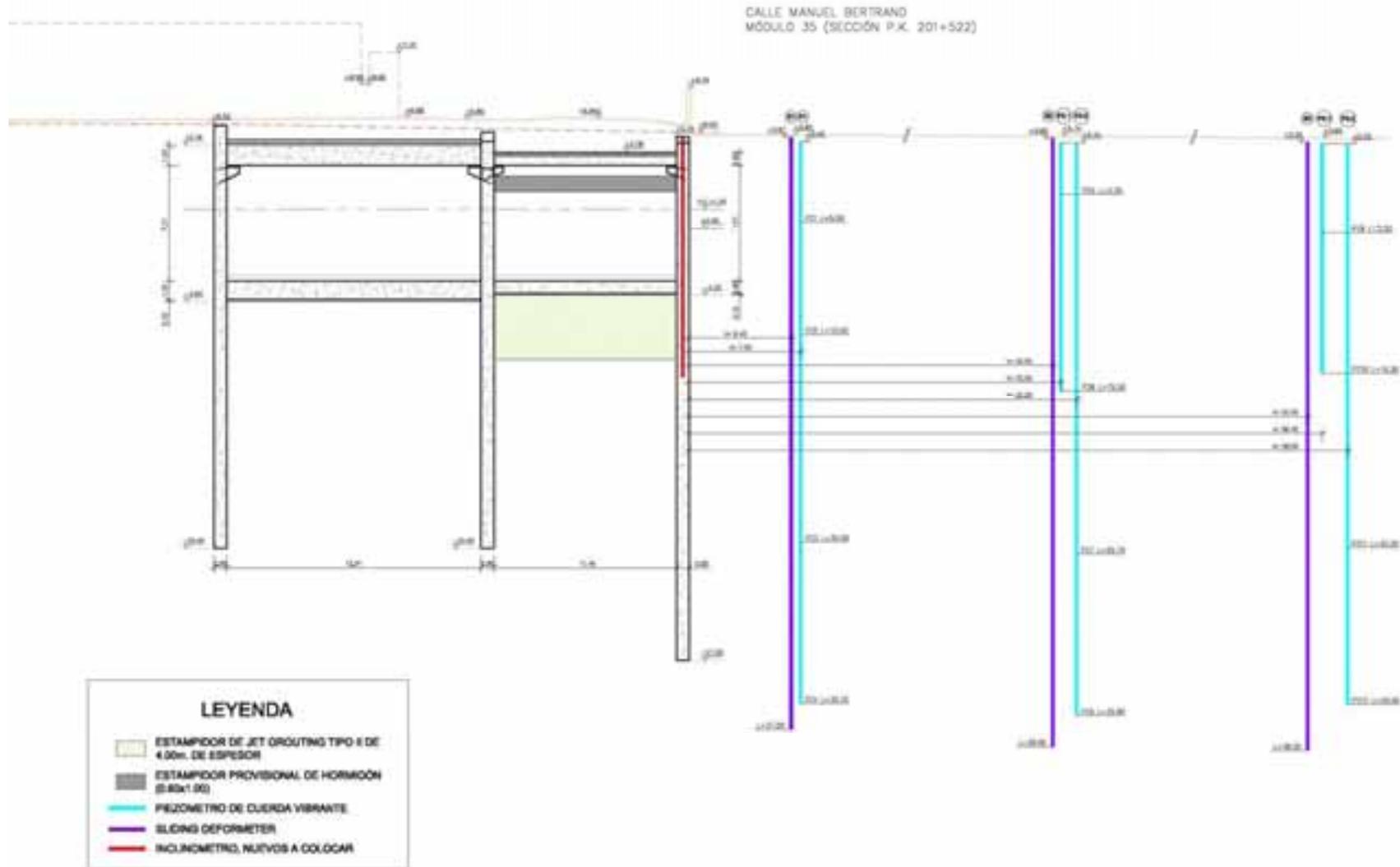


Excavation from top to bottom slab



Total excavation

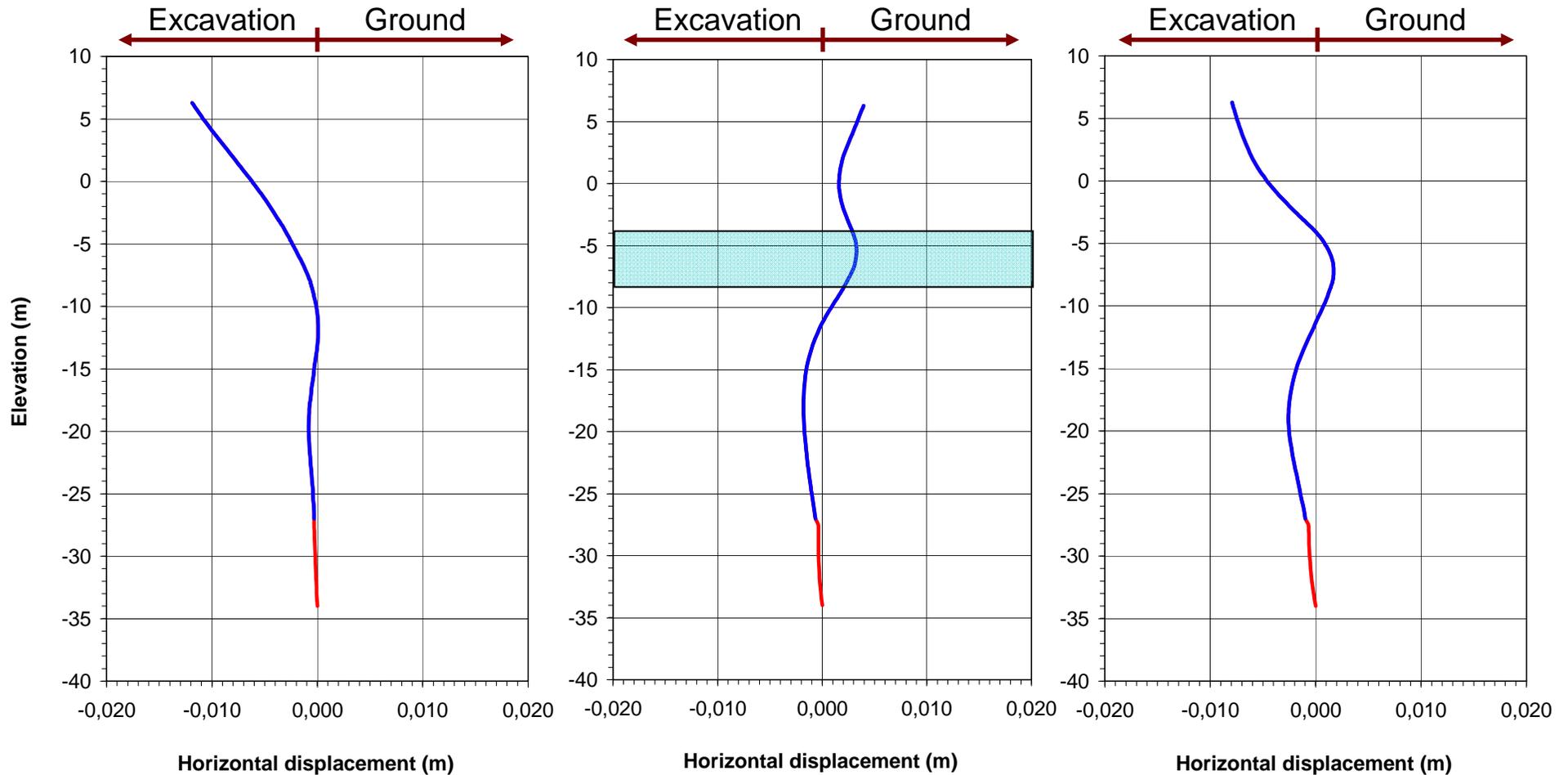
# Procedures for control and reduction of movements



Maragall St. section

# Procedures for control and reduction of movements

□ Predictions of the calibrated model. Wall inclinometer



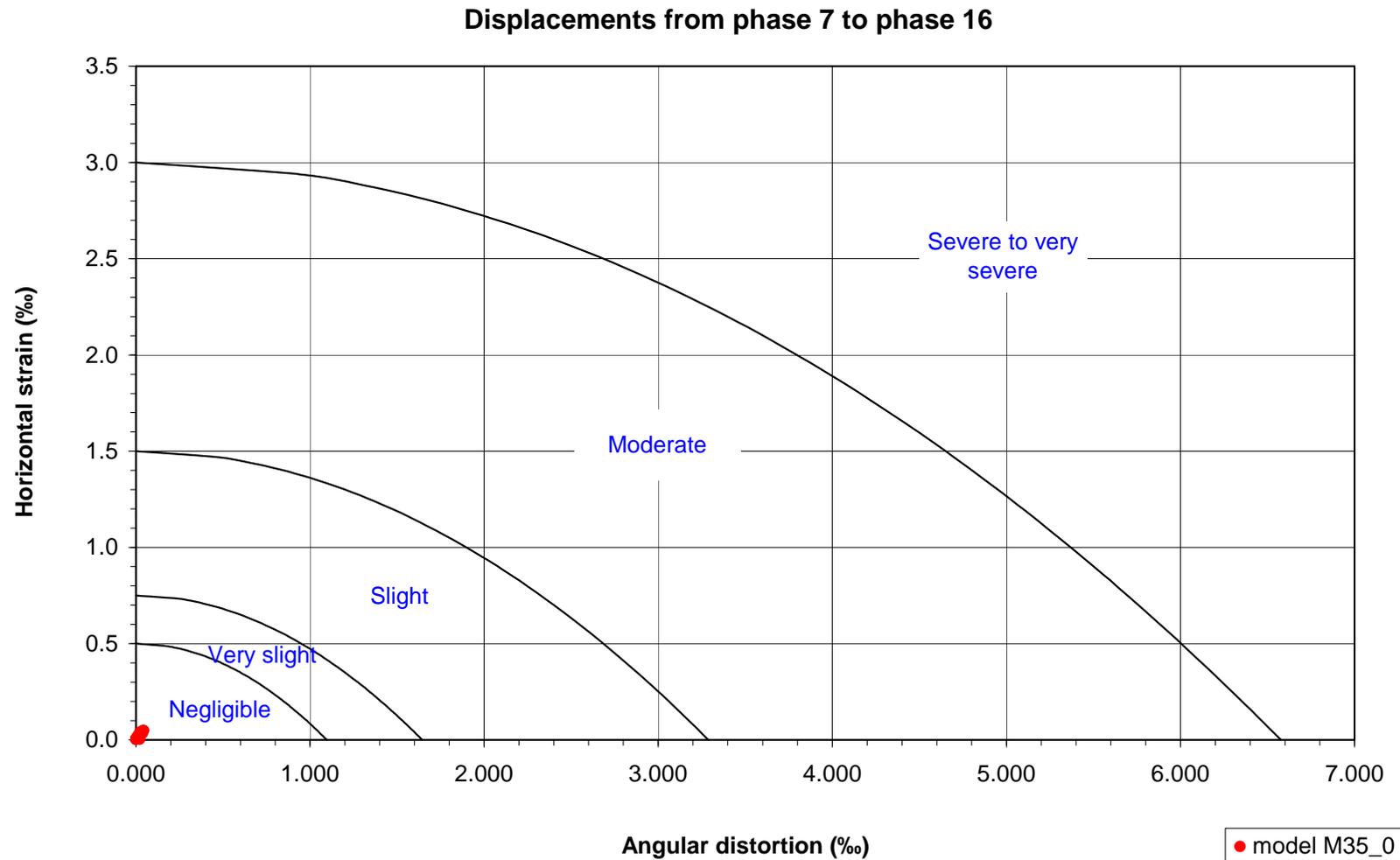
Excavation of first  
tunnel

Excavation of  
second tunnel

Total excavation

# Procedures for control and reduction of movements

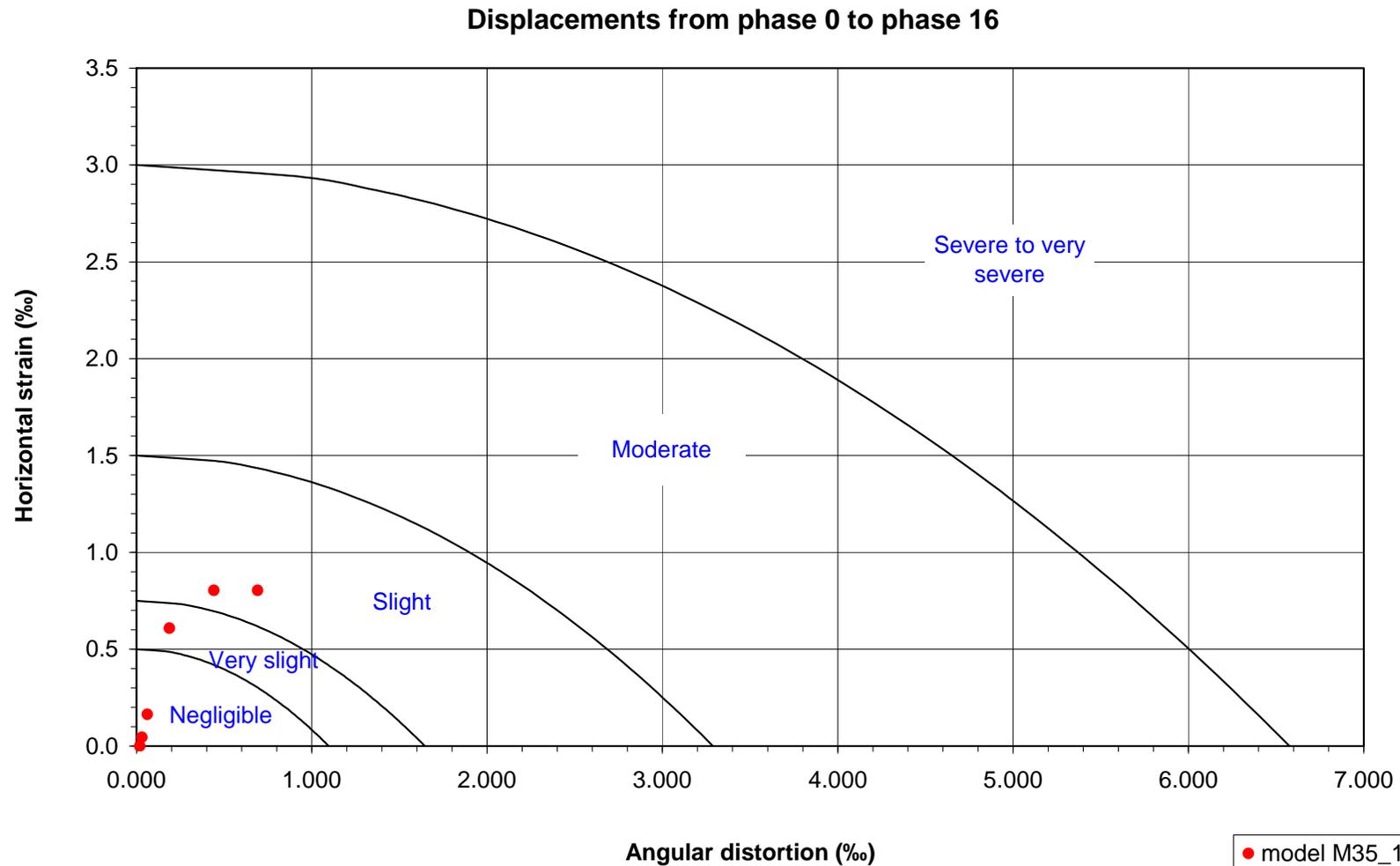
## ❑ Estimation of potential damage



Excavation of the second tunnel

# Procedures for control and reduction of movements

## ❑ Estimation of potential damage



Total excavation

# Procedures for control and reduction of movements

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## Construction of tunnel UIC



## Procedures for control and reduction of movements



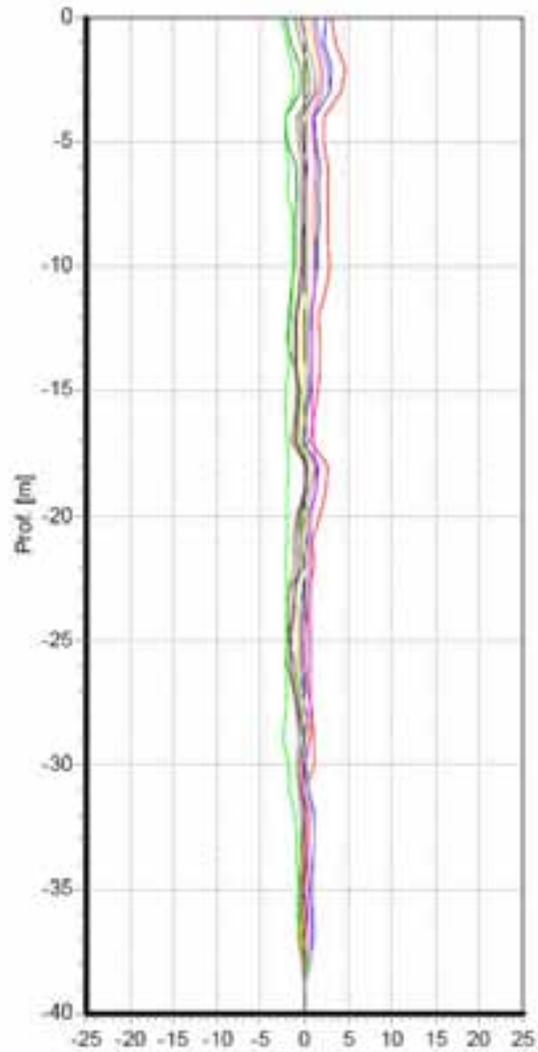
7-06-2007



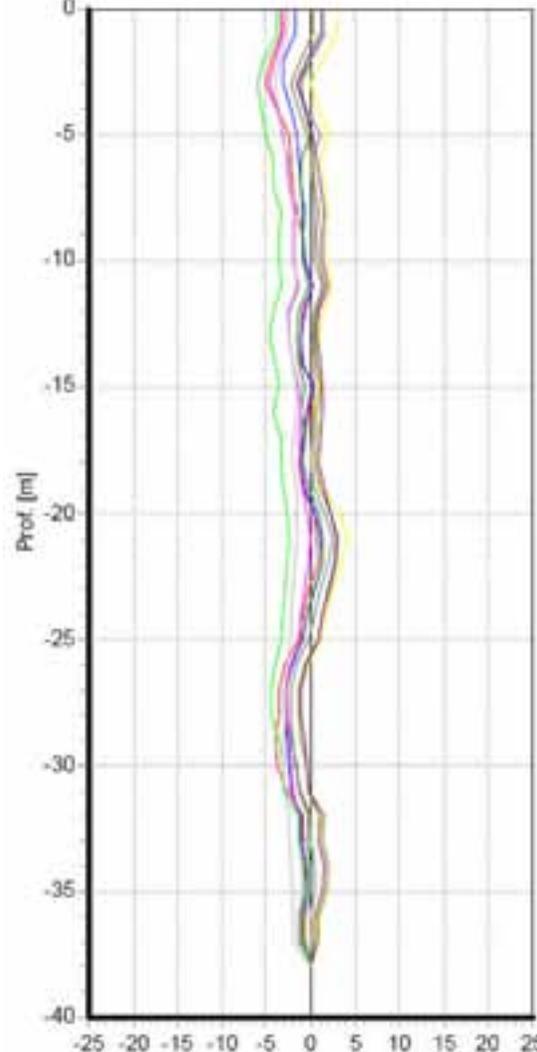
15-10-2007

## Procedures for control and reduction of movements

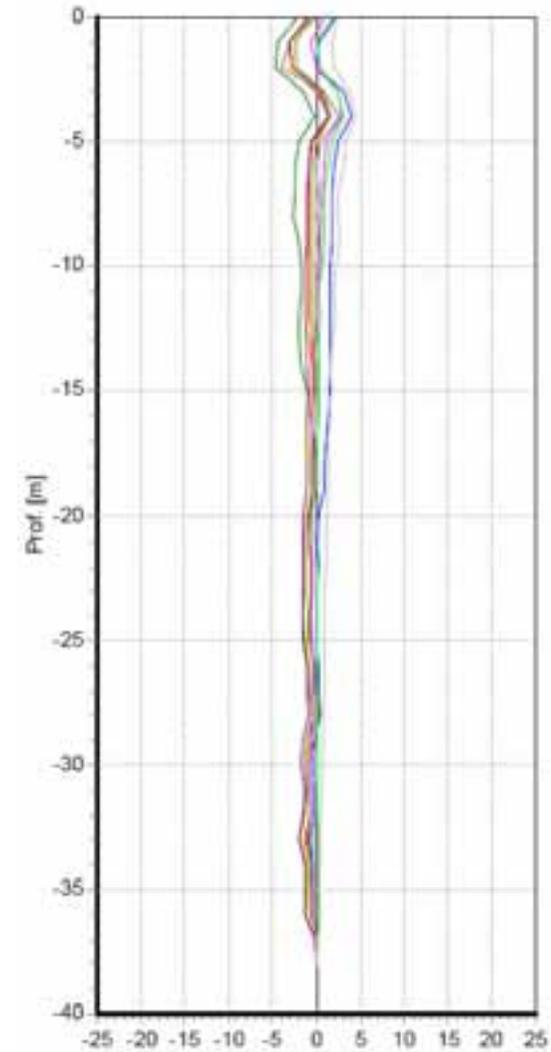
### ☐ Observations Maragall St.



$d=7\text{m}$



$d=32\text{m}$



$d=55\text{m}$

Horizontal displacements

## Esquema de la presentación

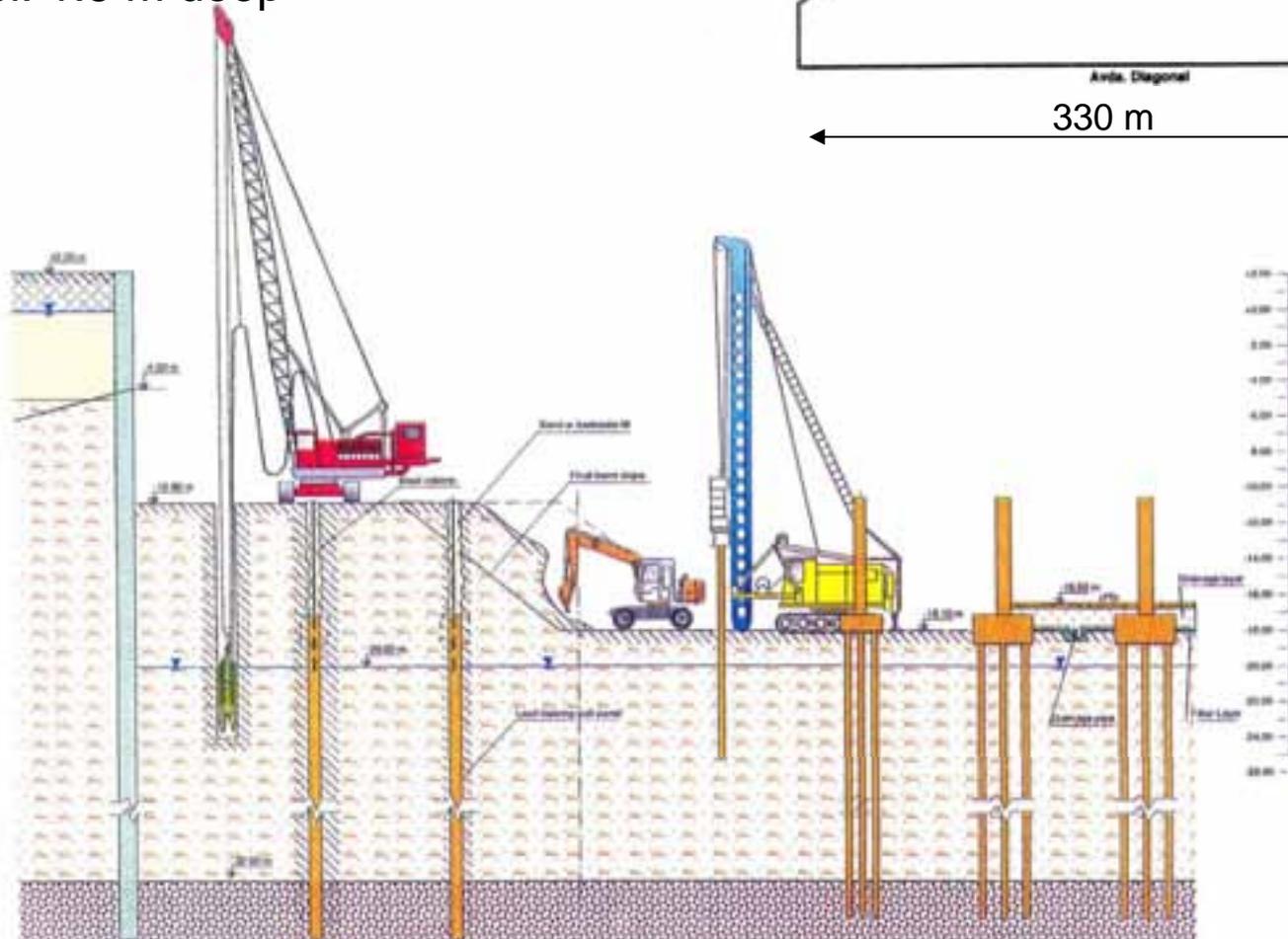
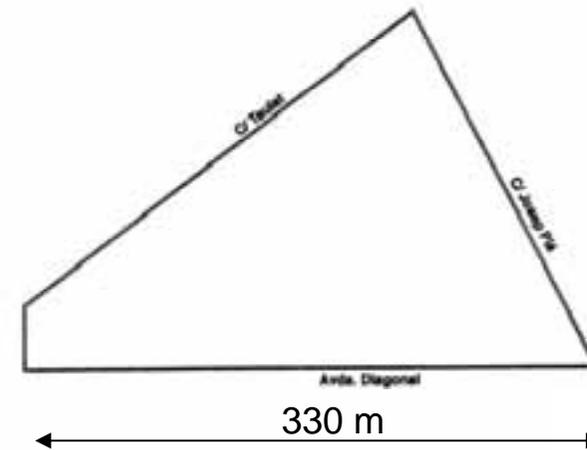
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- ❑ Introduction. Generation of ground movements by deep excavations
- ❑ Empirical methods for estimating ground movements
- ❑ Methods for calculating ground movements
- ❑ Procedures for control and reduction of movements
- ❑ A case history
- ❑ Excavations with large movements: announcing failure?
- ❑ Conclusions

# Excavations with large movements: announcing failure?

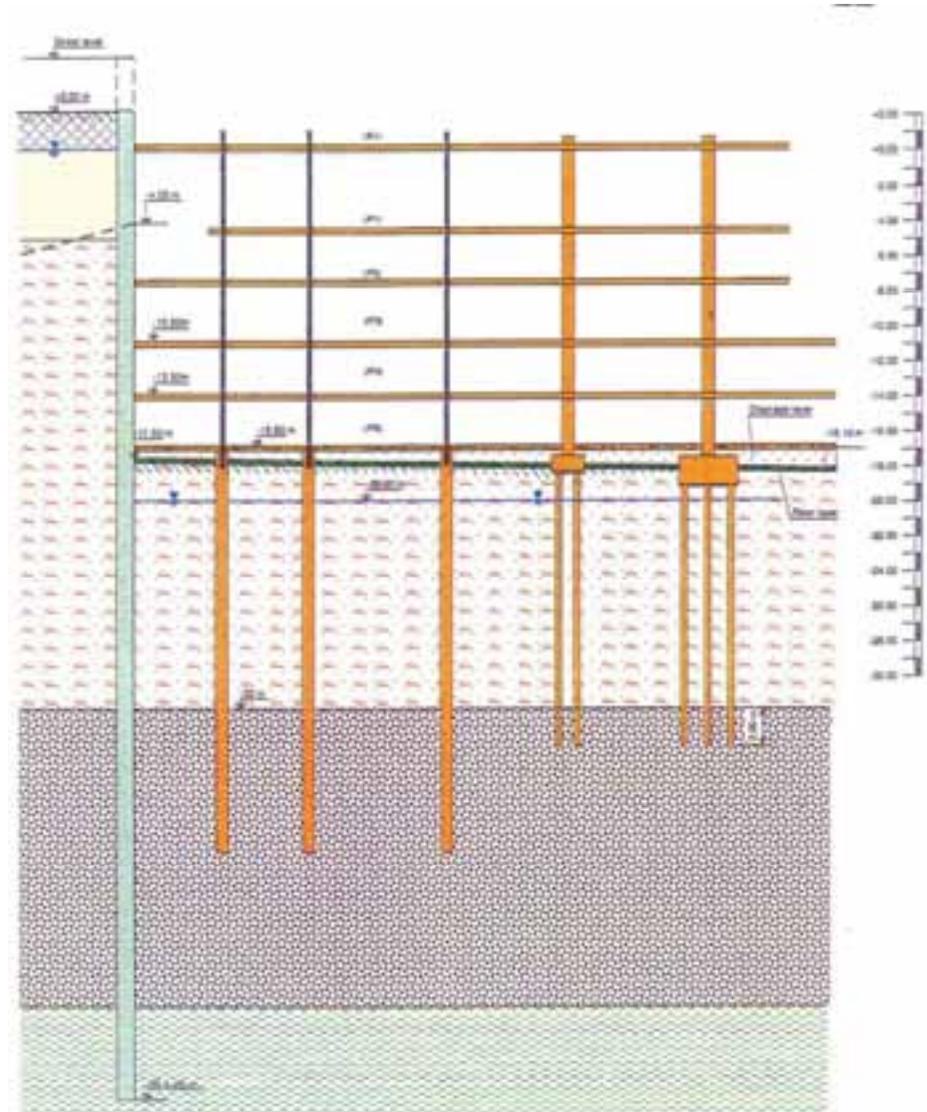
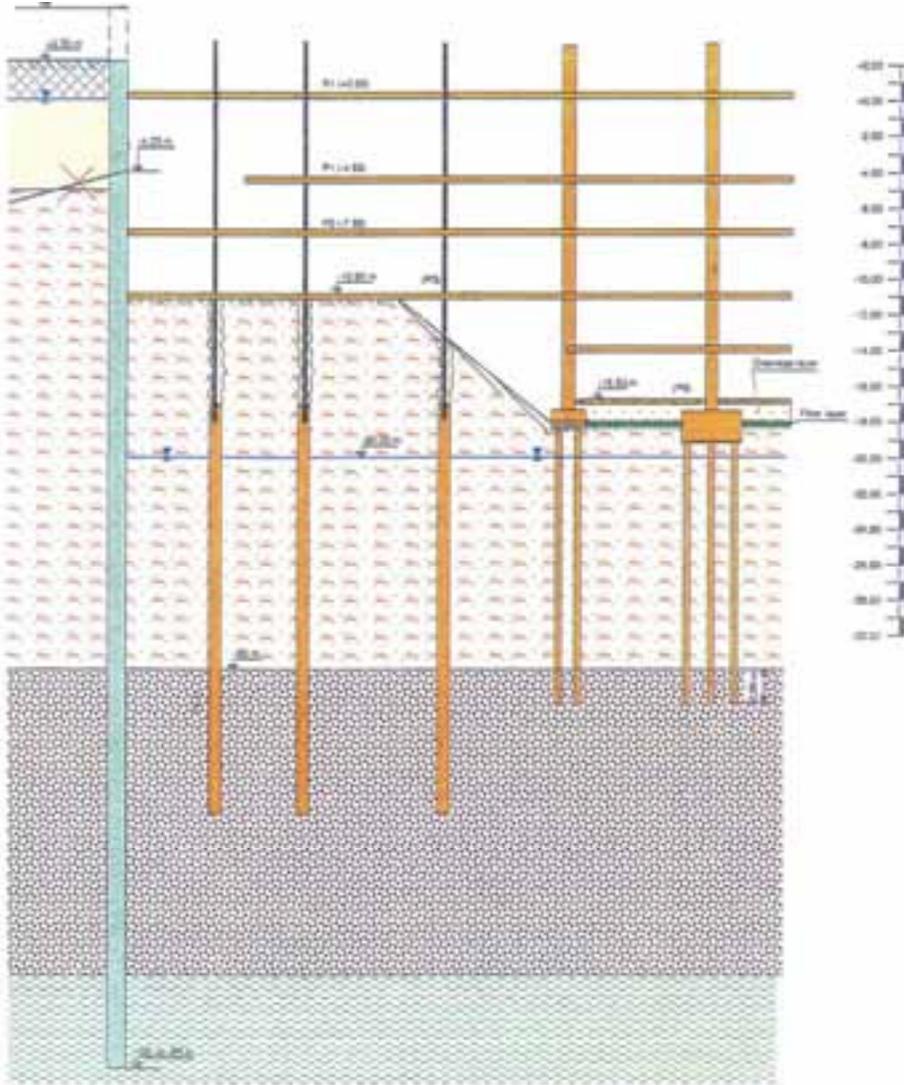
## □ Diagonal Mar

- Surface: 40,000 m<sup>2</sup>
- Excavation depth: 20 m
- Excavation volume: 800,000 m<sup>3</sup>
- Water level: 1.5 m deep



# Excavations with large movements: announcing failure?

## ❑ Diagonal Mar



# Excavations with large movements: announcing failure?

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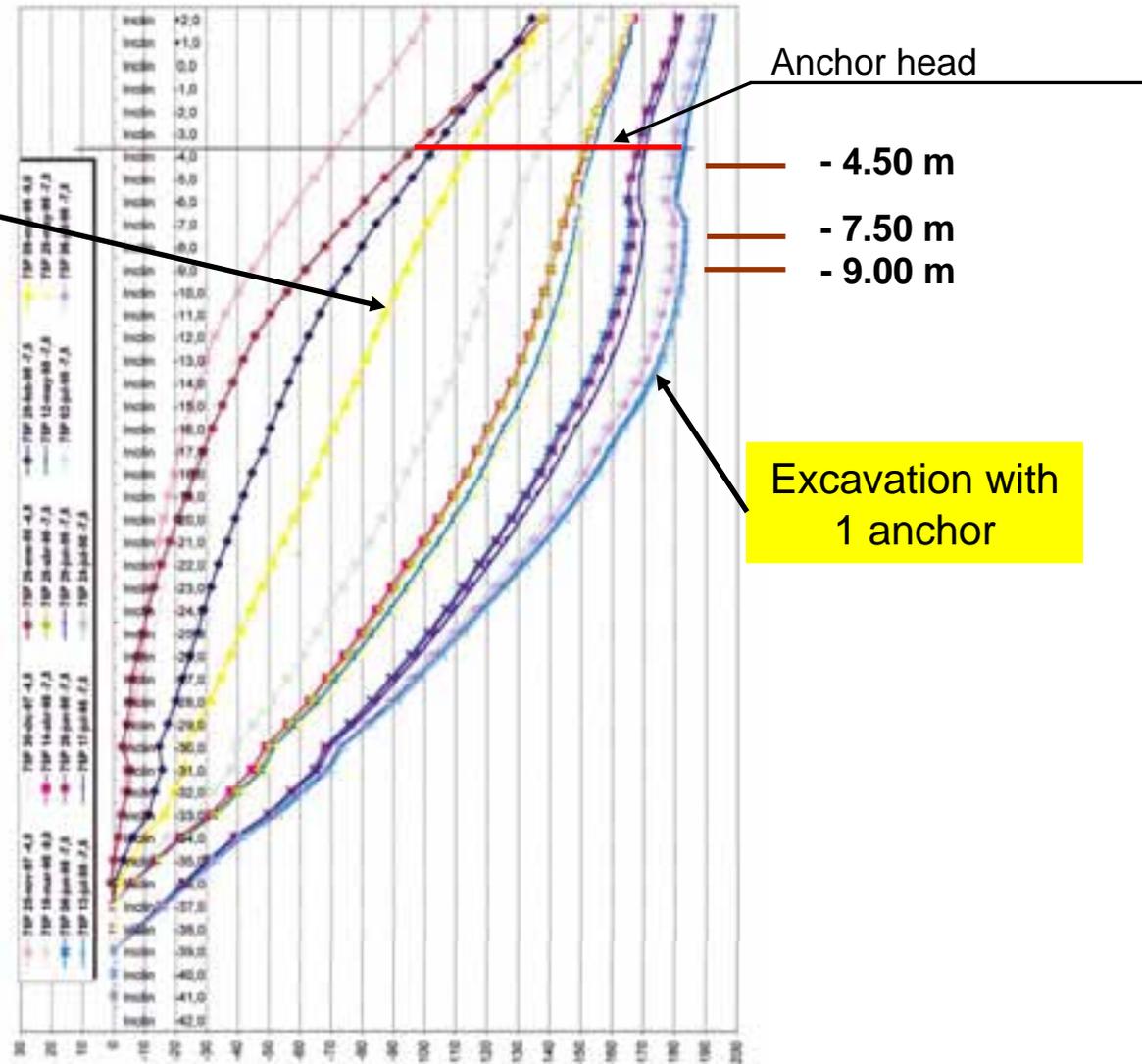
## ❑ Diagonal Mar



# Excavations with large movements: announcing failure?

## Diagonal Mar

Cantilever excavation to -4.50 m

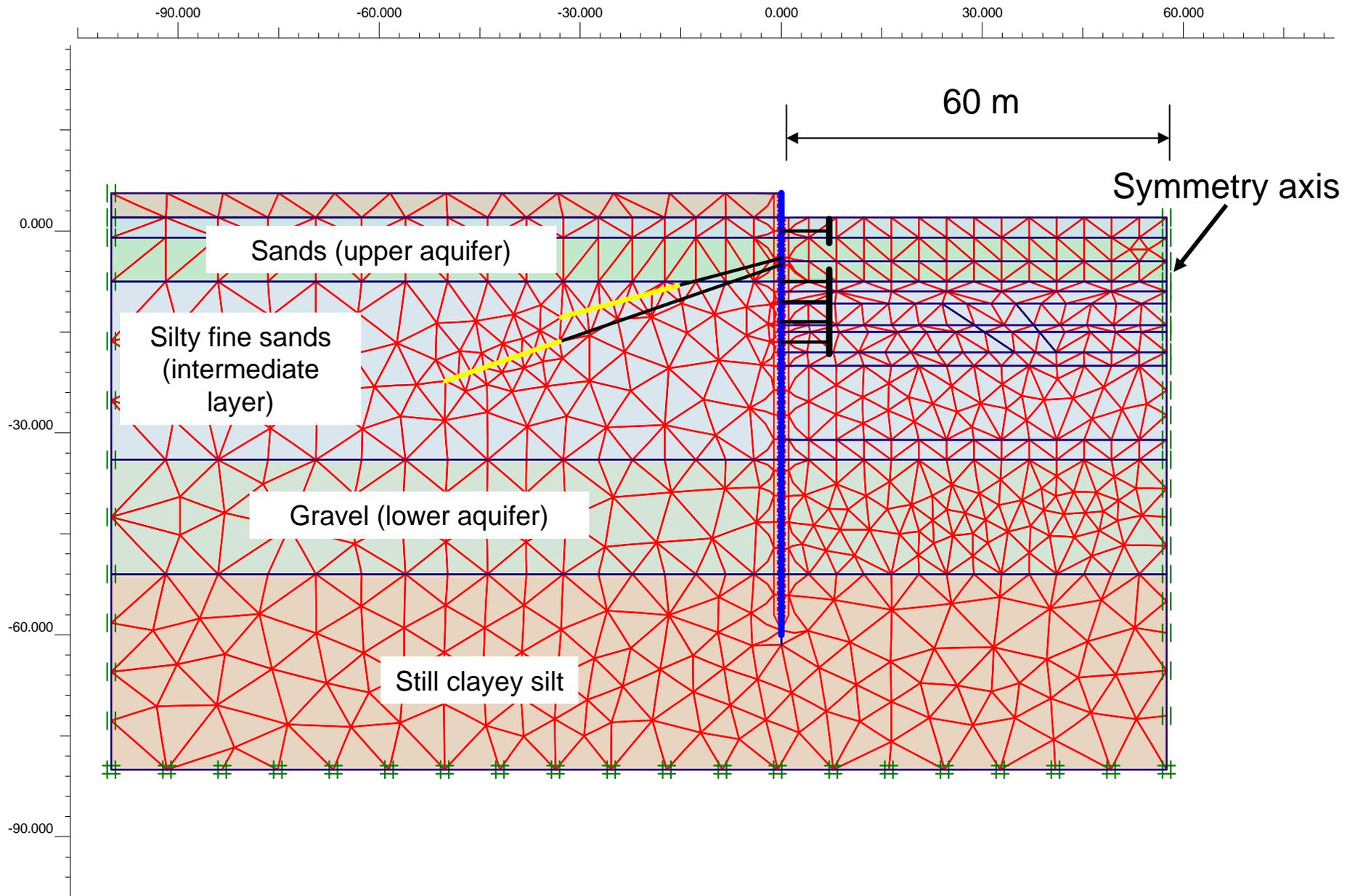


Excavation with 1 anchor

Wall horizontal displacements

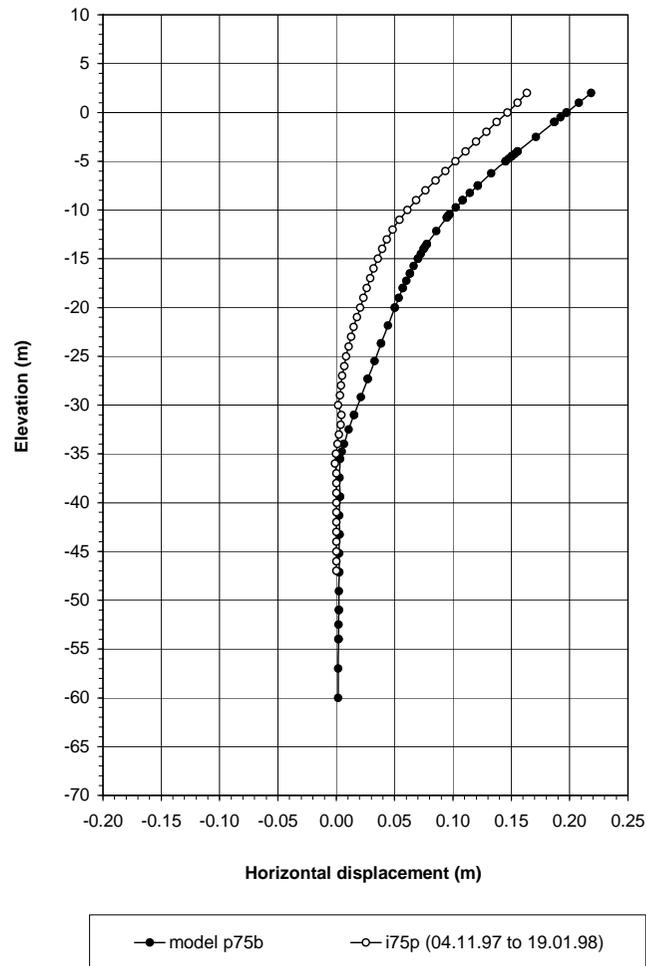
# Excavations with large movements: announcing failure?

## □ Diagonal Mar: numerical model

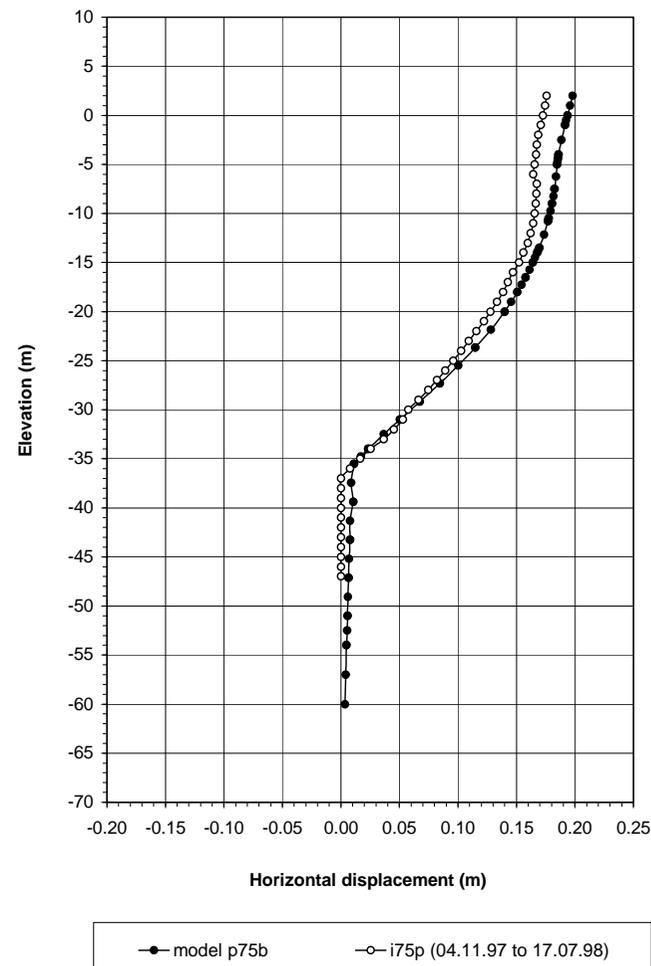


# Excavations with large movements: announcing failure?

## □ Diagonal Mar: calculations and observations



Cantilever excavation to -4.50 m

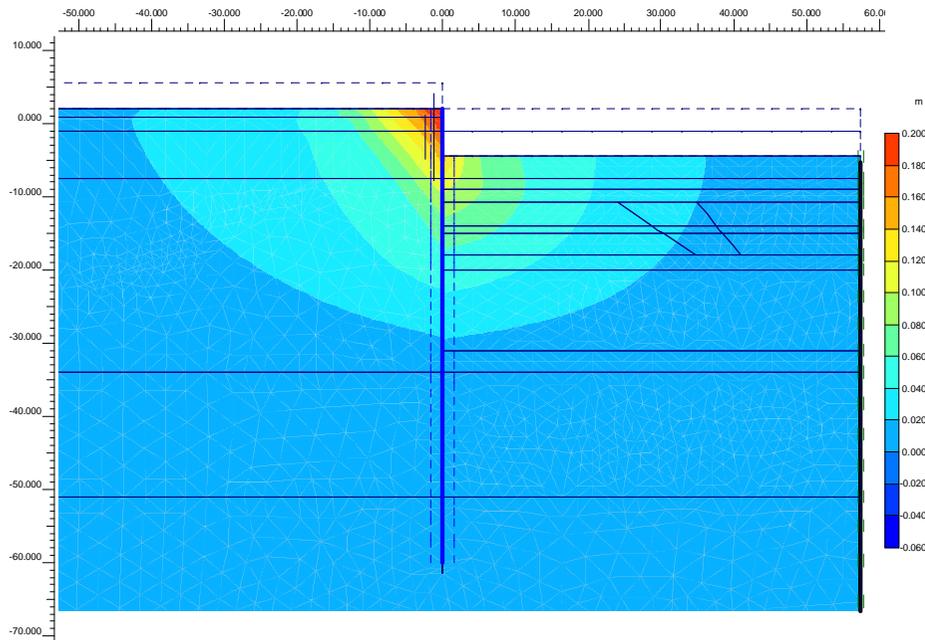


Anchored excavation to -7.50 m

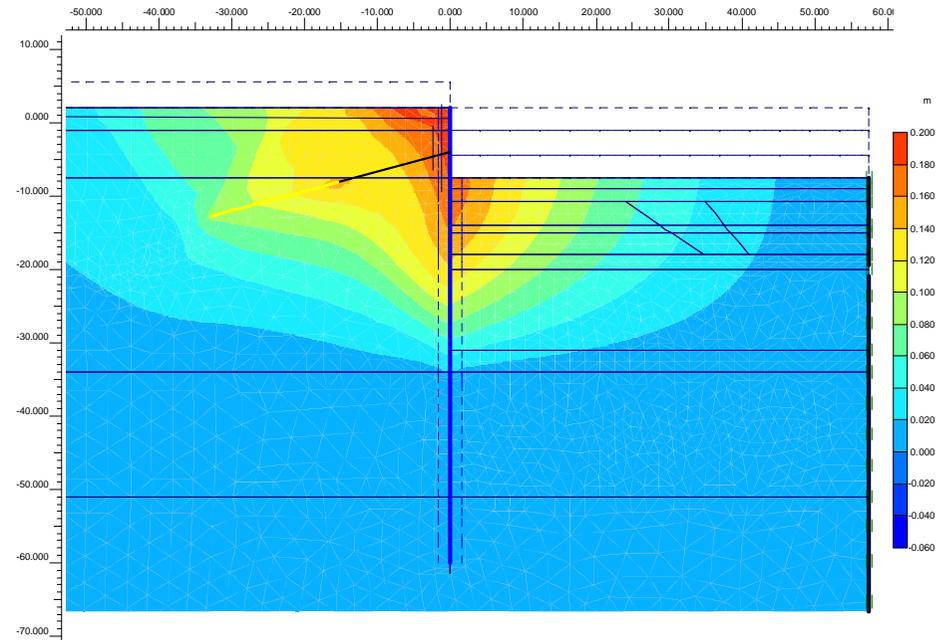
Horizontal wall displacements

# Excavations with large movements: announcing failure?

□ Diagonal Mar: numerical model



**Cantilever wall**



**Anchored wall**

Horizontal displacements contours

## Excavations with large movements: announcing failure?

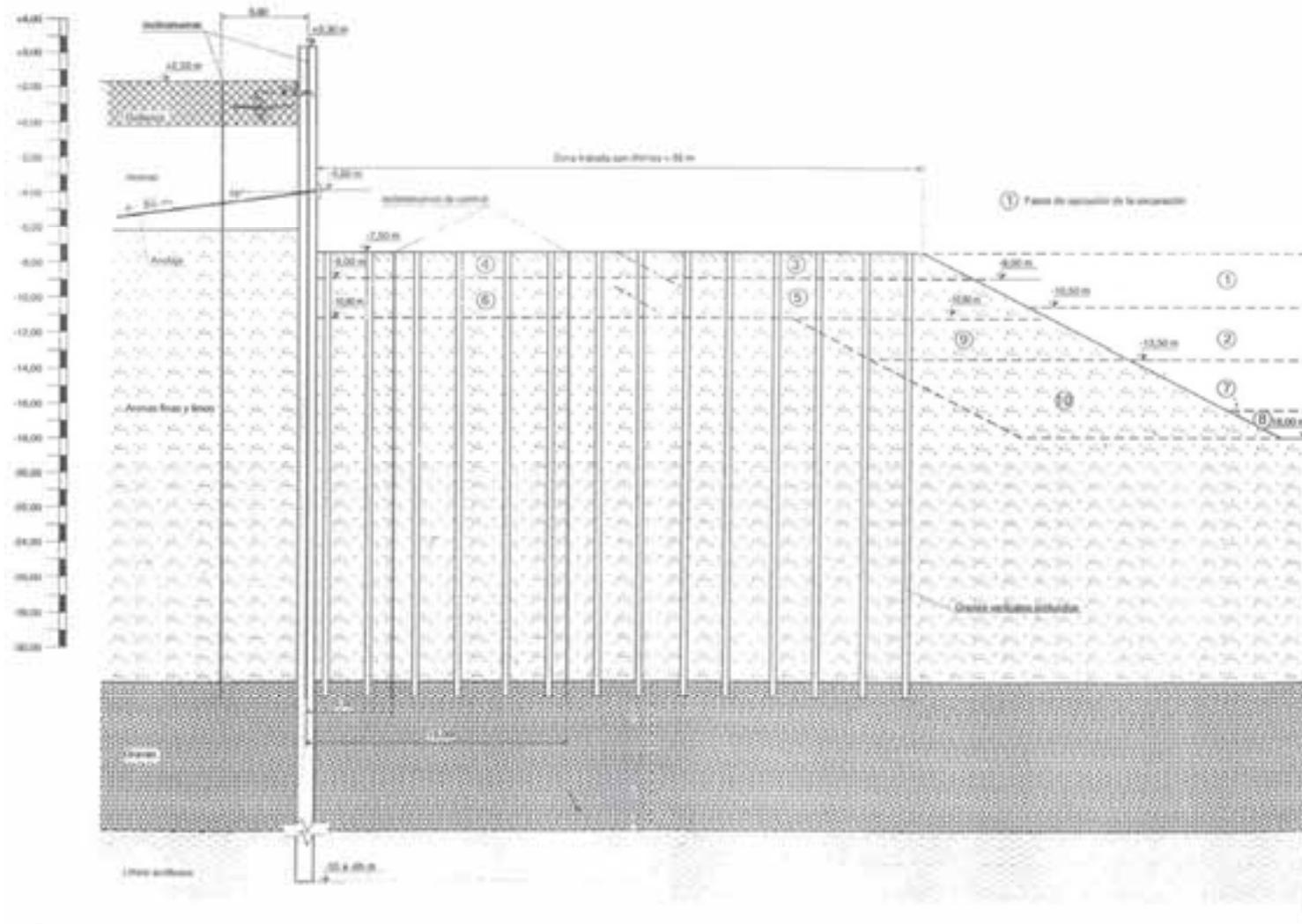
### □ Diagonal Mar: field observations



Surface crack 35 m away from the wall

# Excavations with large movements: announcing failure?

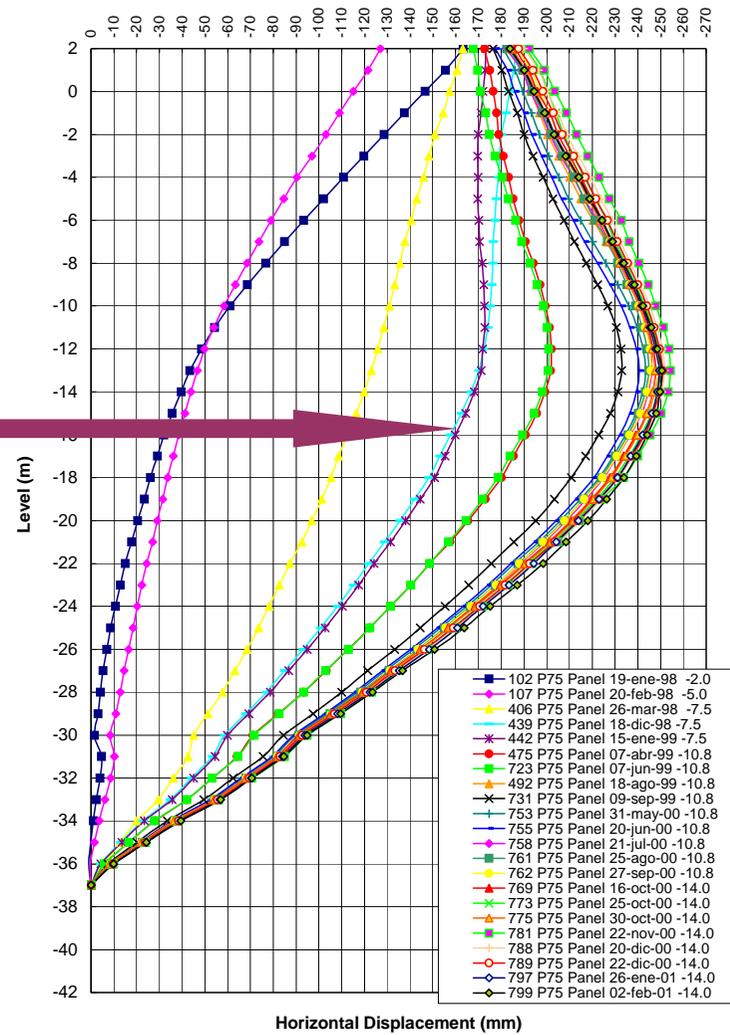
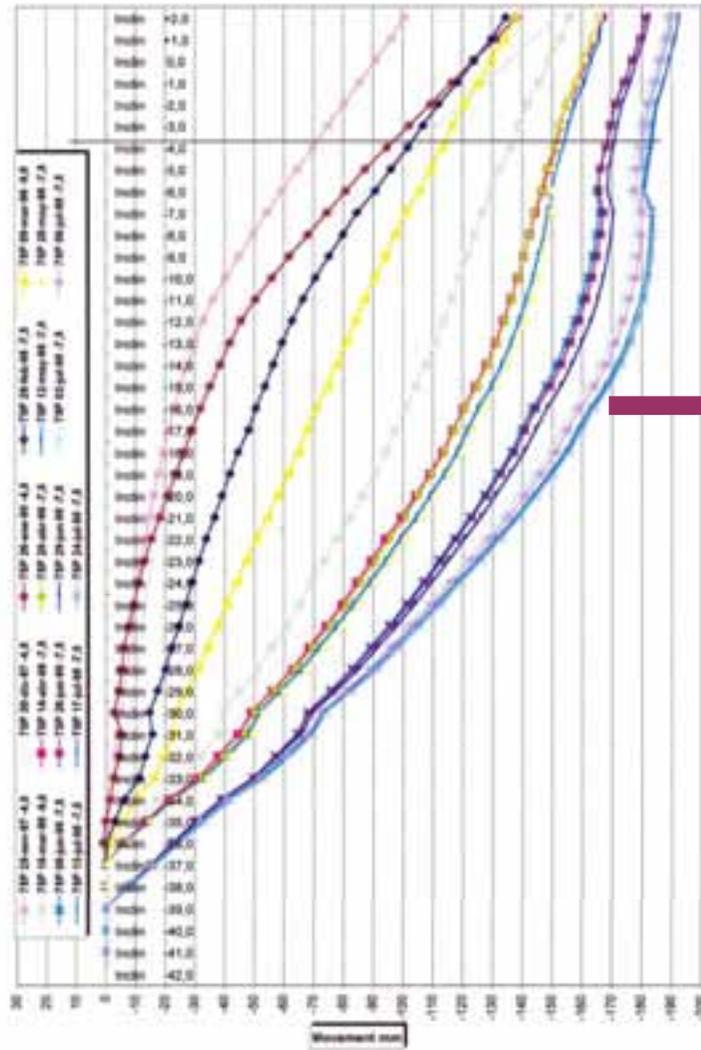
## ❑ Diagonal Mar



Excavation trial

# Excavations with large movements: announcing failure?

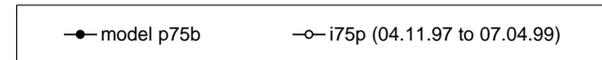
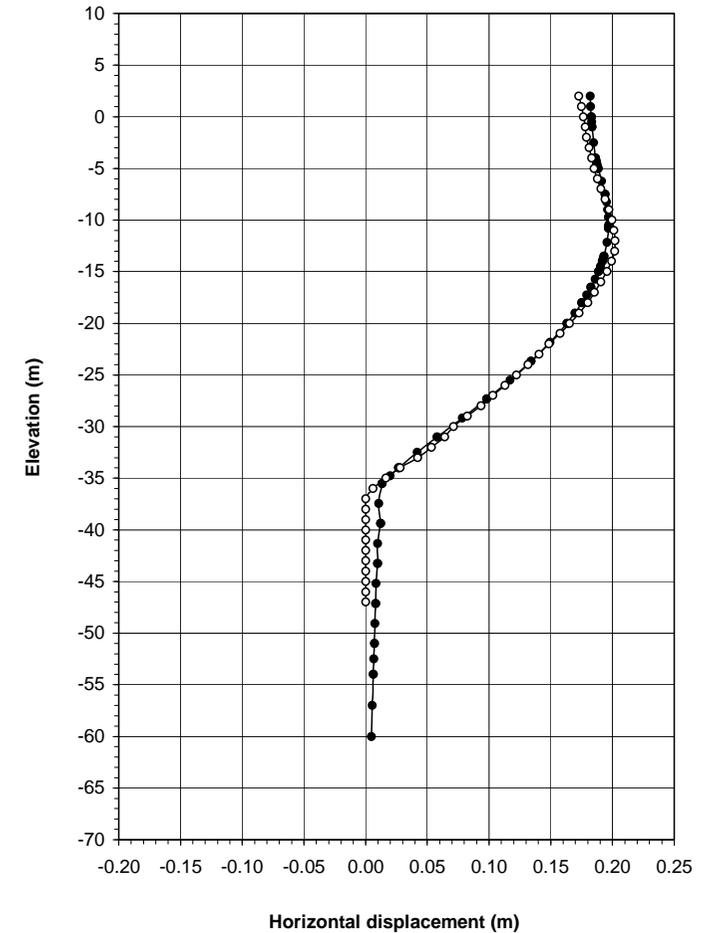
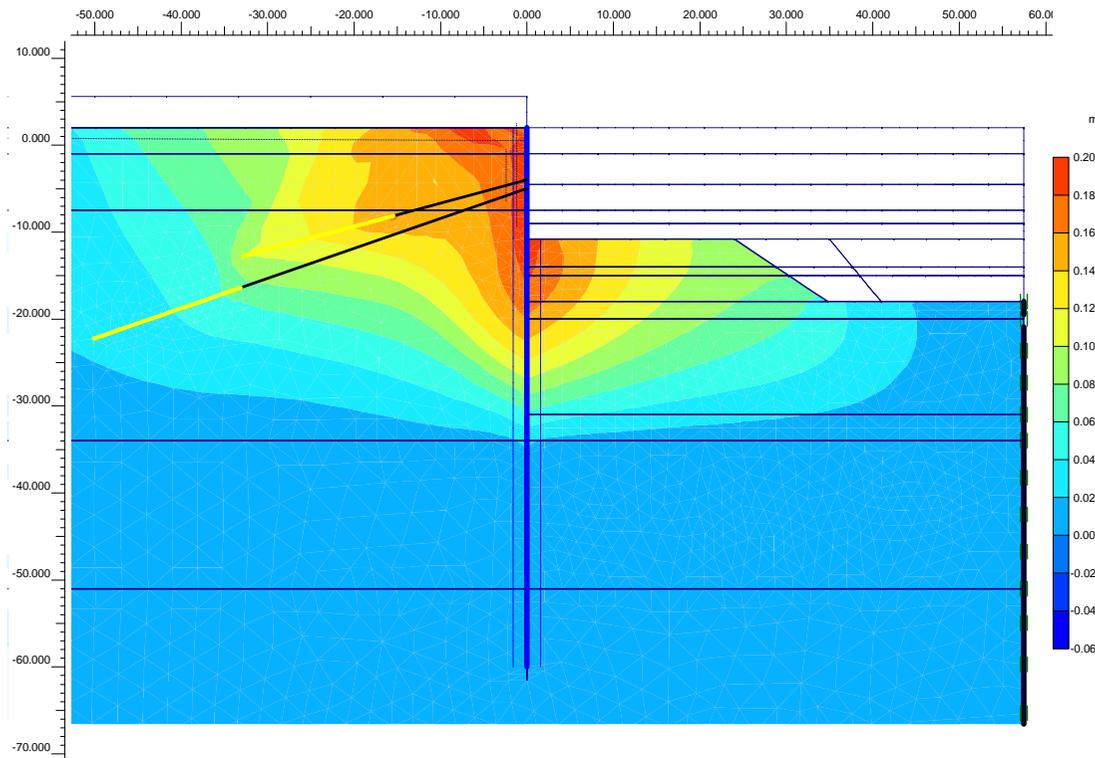
## □ Diagonal Mar



Excavation trial

# Excavations with large movements: announcing failure?

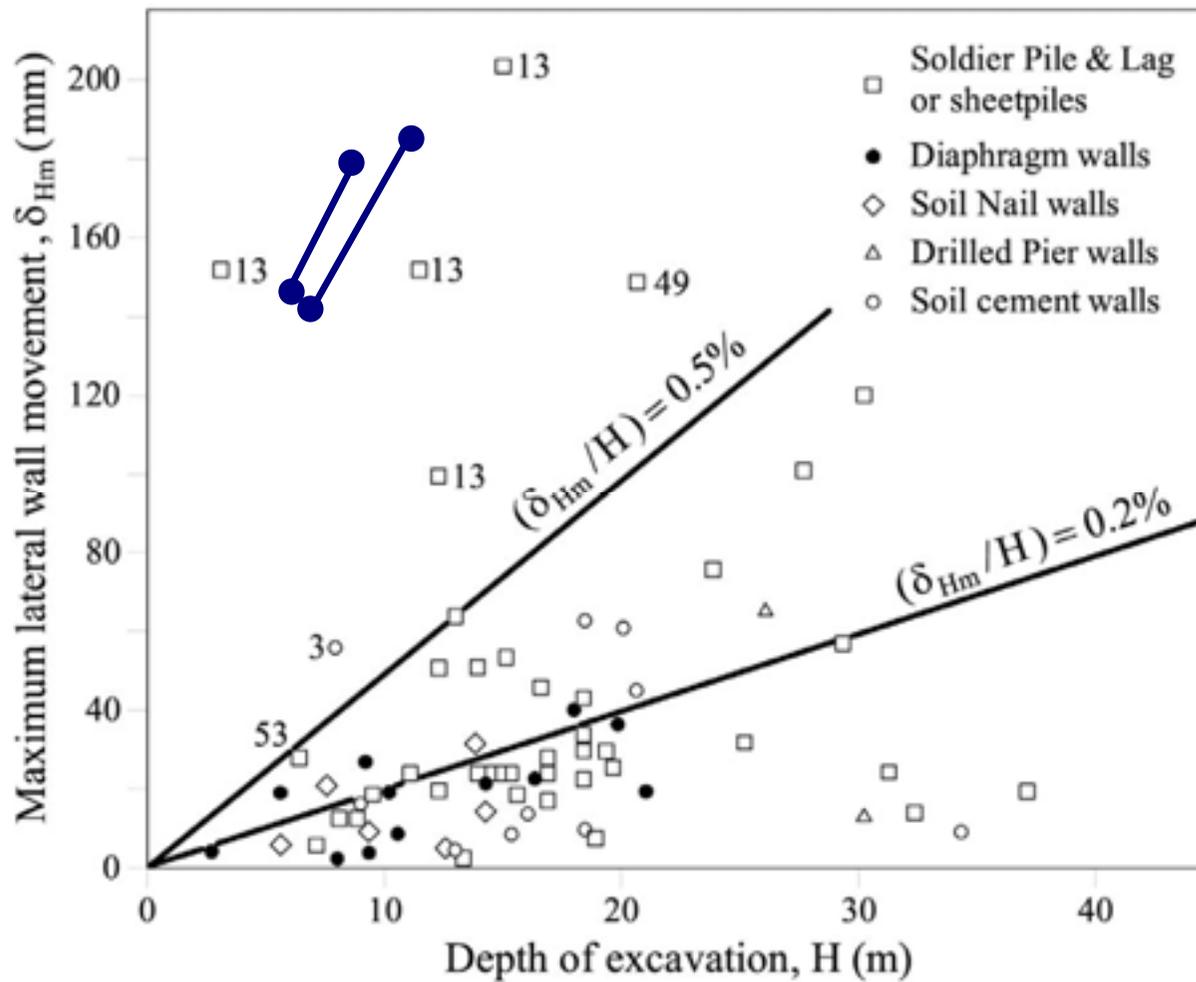
## □ Diagonal Mar: observations and calculations



Anchored excavation to -18.0 m. Horizontal displacements

# Excavations with large movements: announcing failure?

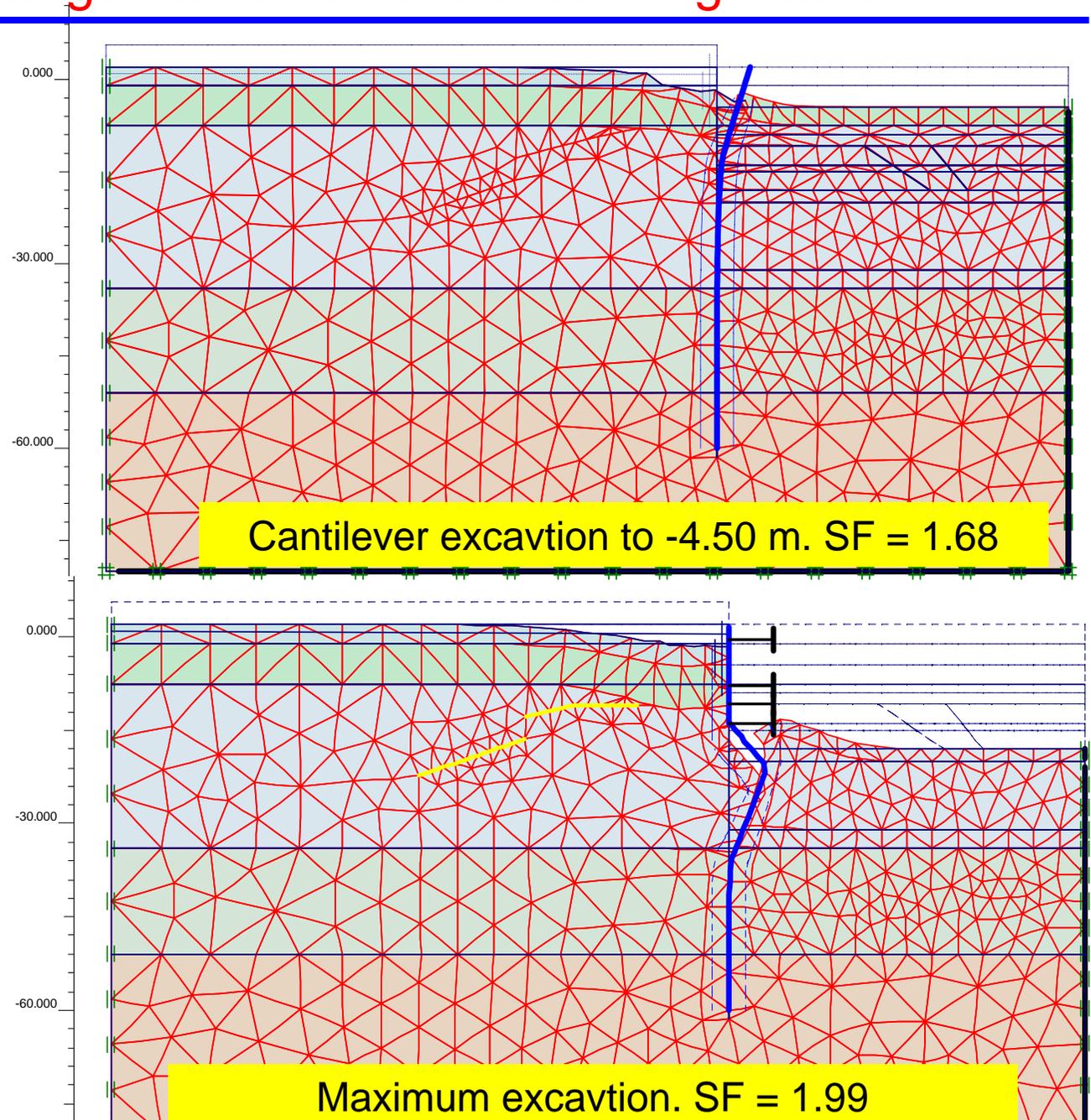
## Diagonal Mar



# Excavations with large movements: announcing failure?

## □ Diagonal Mar

Phase	Safety factors
2	1.68
8	3.09
16	>1.88
19	1.99
21	2.34



# Excavations with large movements: announcing failure?

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## ❑ Diagonal Mar



## Excavations with large movements: announcing failure?

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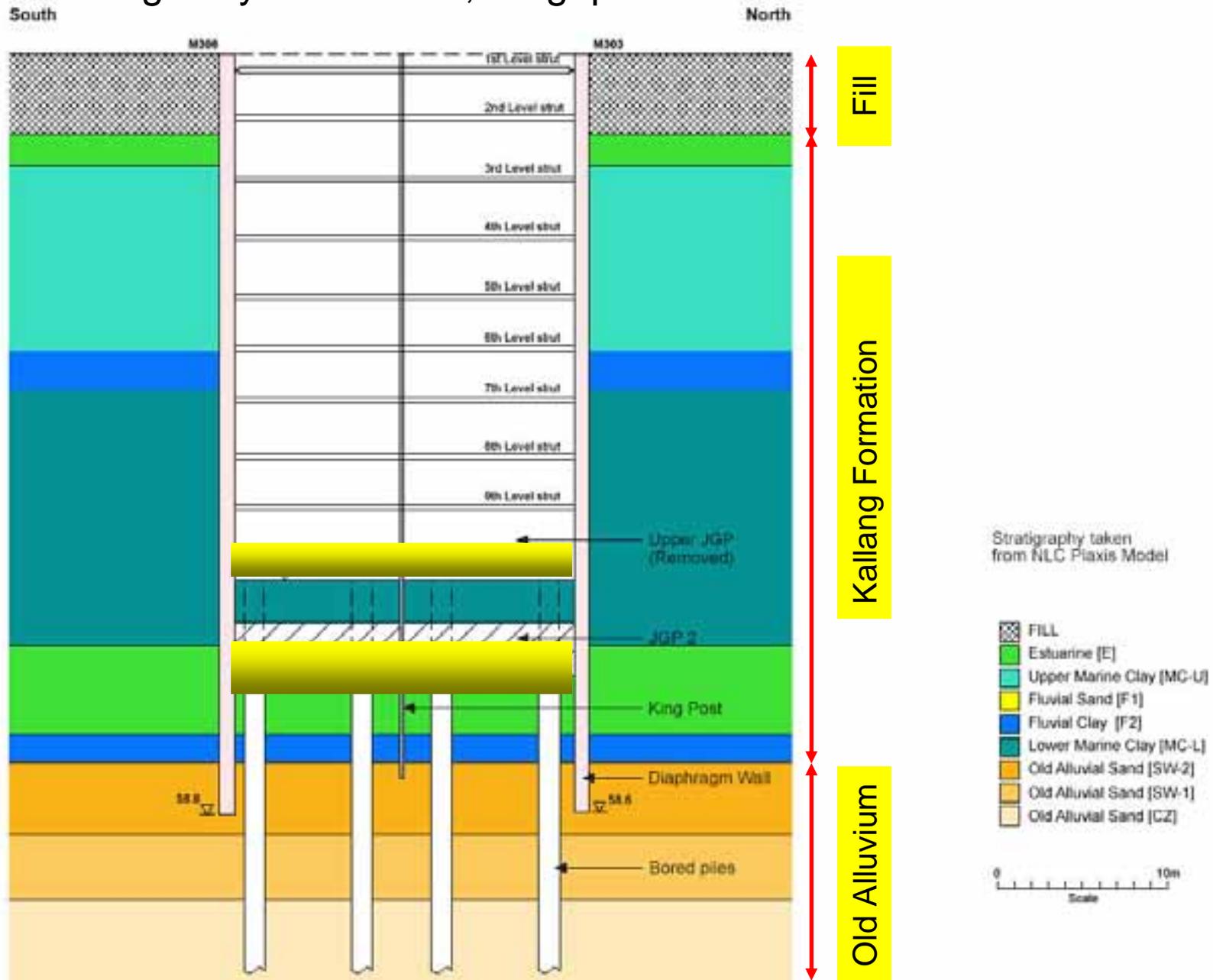
- ❑ Nicoll Highway excavation, Singapore



20/4/04

# Excavations with large movements: announcing failure?

## ❑ Nicoll Highway excavation, Singapore



## Excavations with large movements: announcing failure?

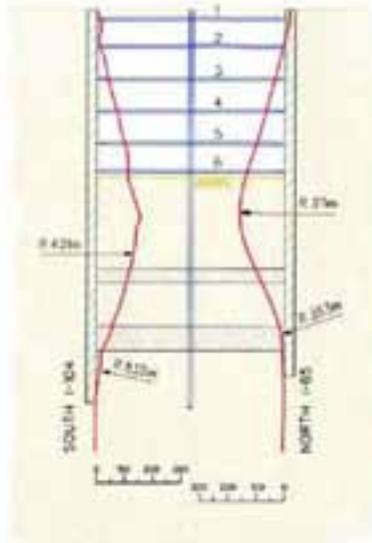
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- ❑ Nicoll Highway excavation, Singapore

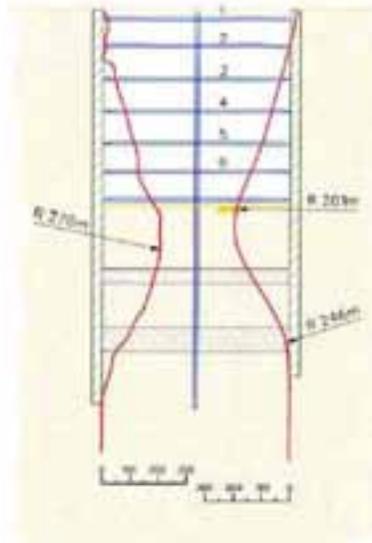


# Excavations with large movements: announcing failure?

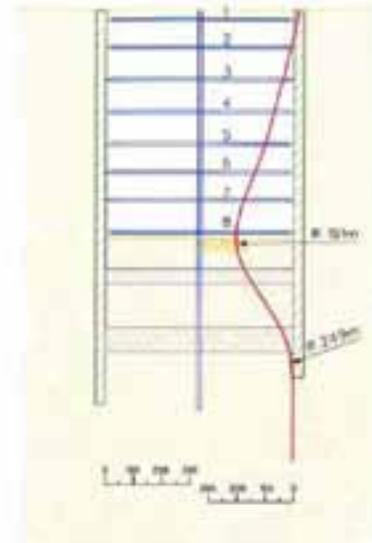
## ❑ Nicoll Highway excavation, Singapore



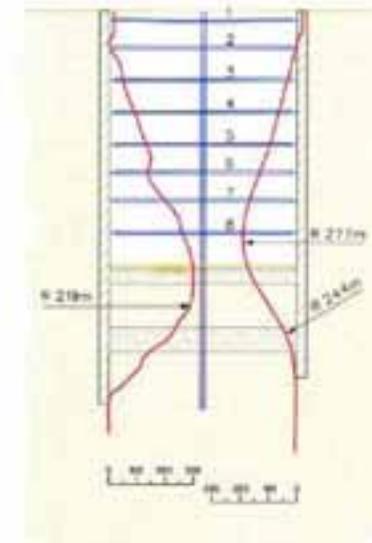
23FEB04



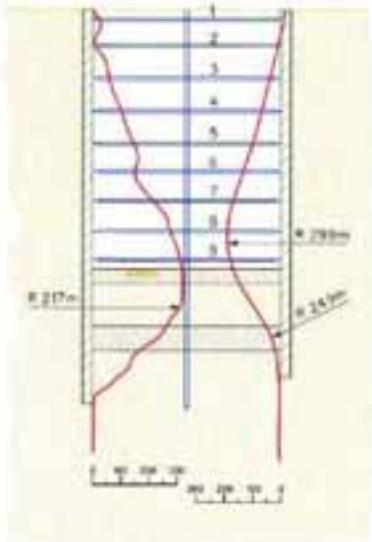
05MAR04



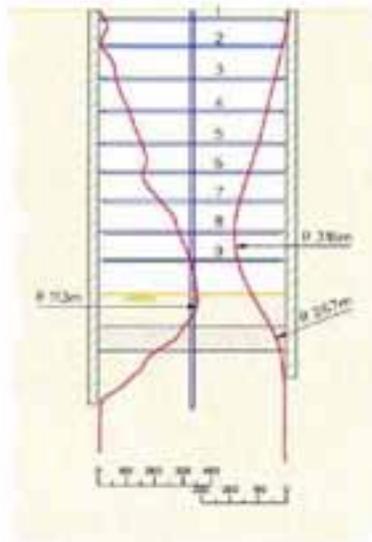
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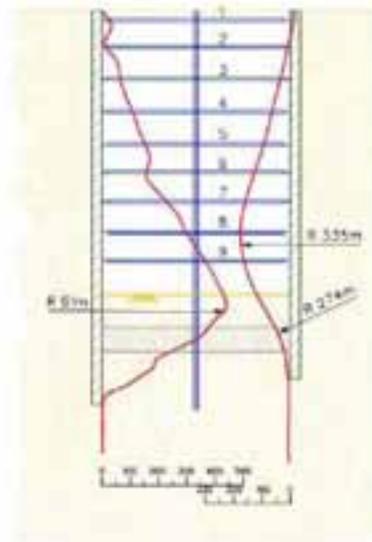
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07APR04



17APR04

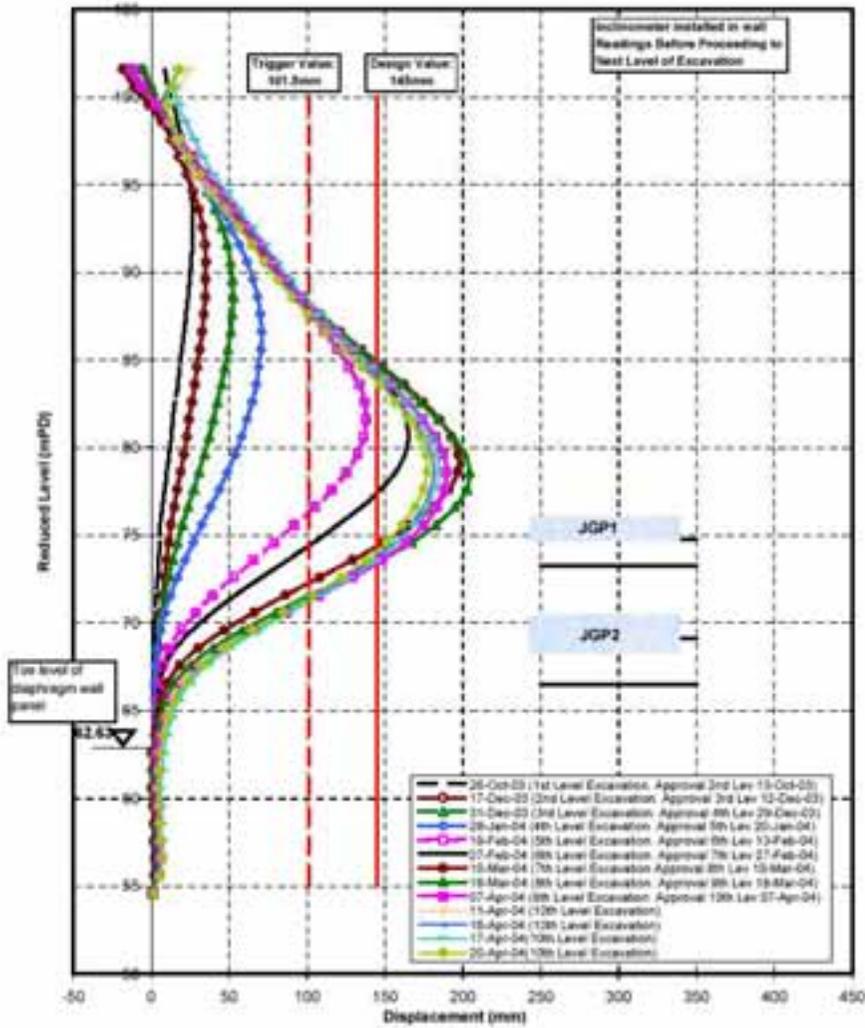


20APR04

TYPE-M3  
DISPLACEMENT PROFILE  
( INCLINOMETERS  
I-104 & I-65 )

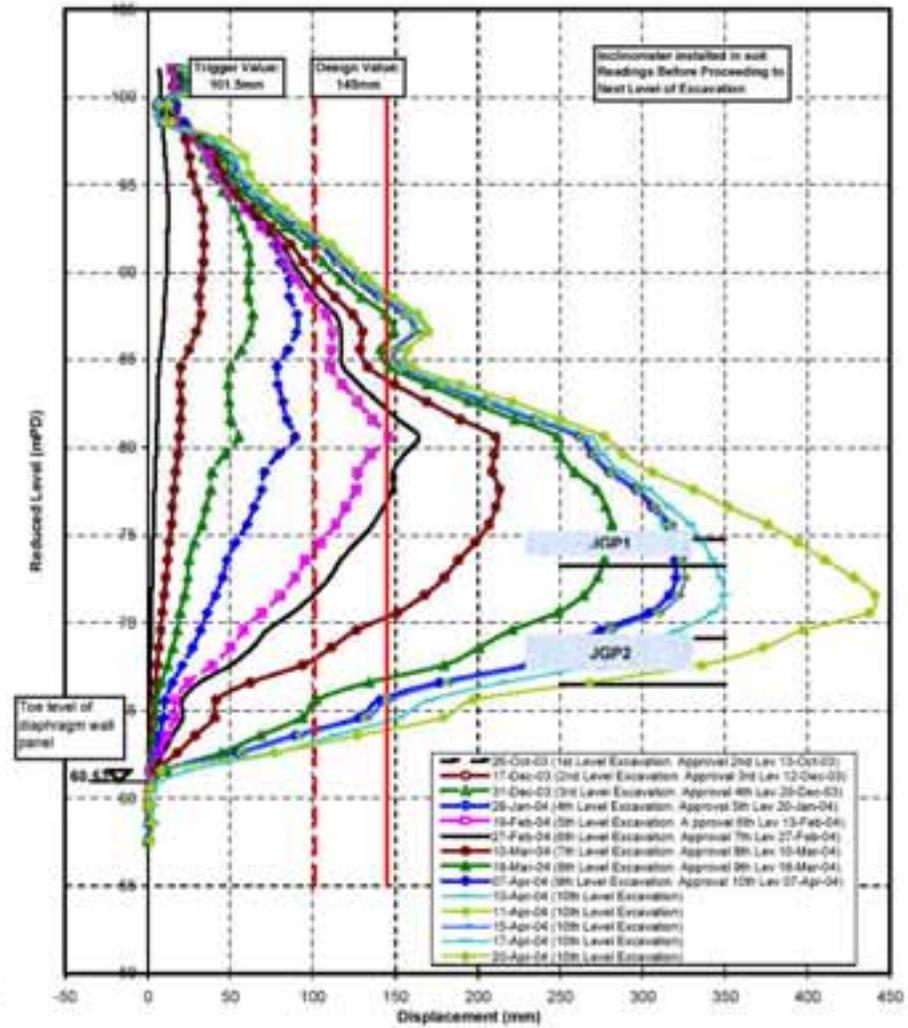
# Excavations with large movements: announcing failure?

## ❑ Nicoll Highway excavation, Singapore



I65-ID

North wall

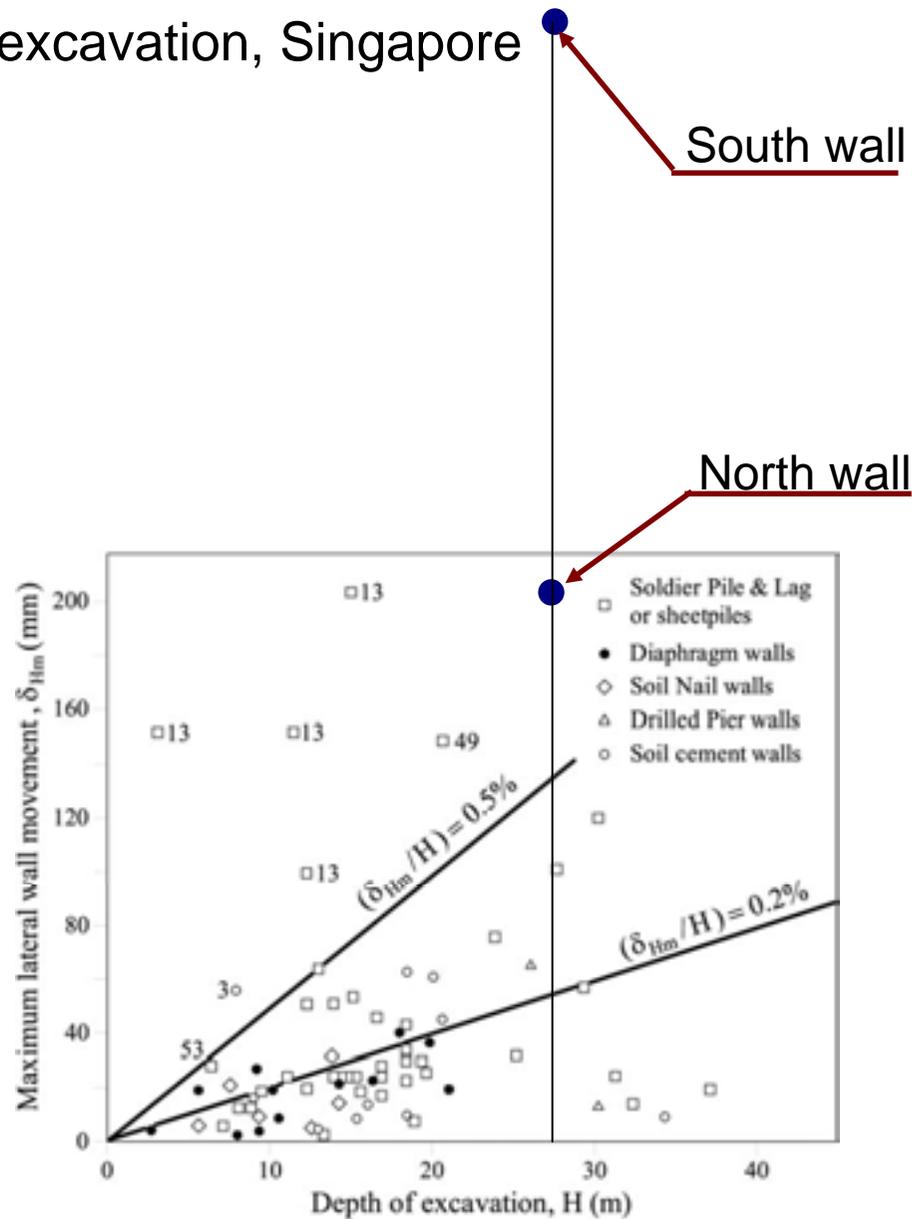


I104-S

South wall

# Excavations with large movements: announcing failure?

□ Nicoll Highway excavation, Singapore

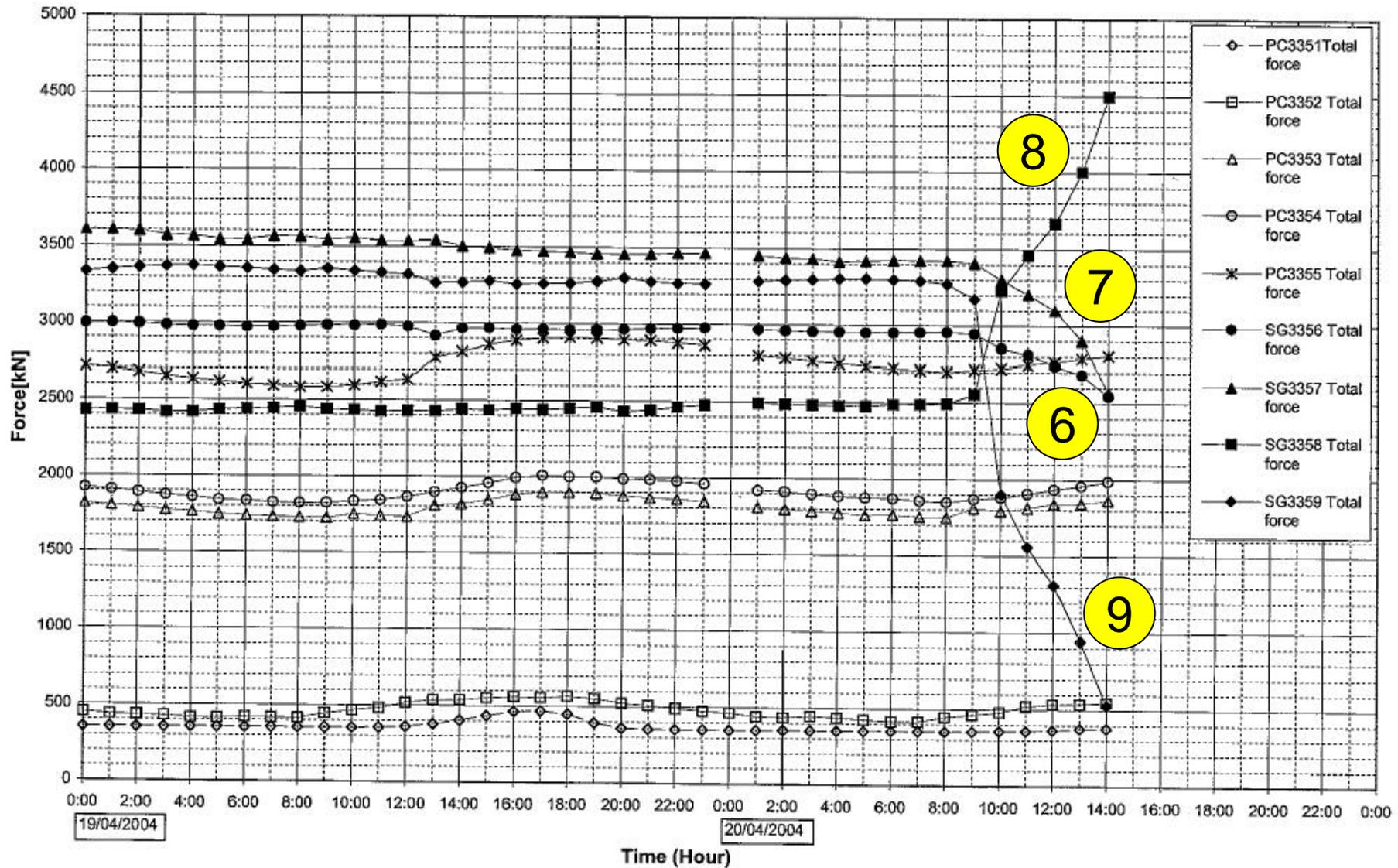


Maximum lateral wall displacements

# Excavations with large movements: announcing failure?

## ☐ Nicoll Highway excavation, Singapore

Strain Guage Reading for SG335 from 19/4/2004 to 20/4/2004 @ Type M3 DWall



## Excavations with large movements: announcing failure?

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- ❑ Nicoll Highway excavation, Singapore



## Excavations with large movements: announcing failure?

- ❑ Nicoll Highway excavation, Singapore



## Summary & conclusions

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- Performing a deep excavation inevitably produces movements in the retaining walls and surrounding ground. The tolerance concerning acceptable deformation levels (in urban conditions) has reduced significantly in recent years
- The mechanisms of excavation-induced deformations are well identified. Horizontal movements are mainly tension ones, more unfavourable than in the tunnelling case
- There exists a wide documented experience providing useful information to estimate excavation-induced ground movements and their possible effects on nearby structures
- Numerical analyses of excavations are becoming widespread. Those methods provide a more complete perspective of the problem but must be validated and calibrated if used for prediction purposes.
- There is a large range of construction procedures to reduce the excavation-induced ground movements to the required levels.
- Although it is advisable to try to prevent large deformations of the retaining walls, they are not necessarily signs of impending failure.